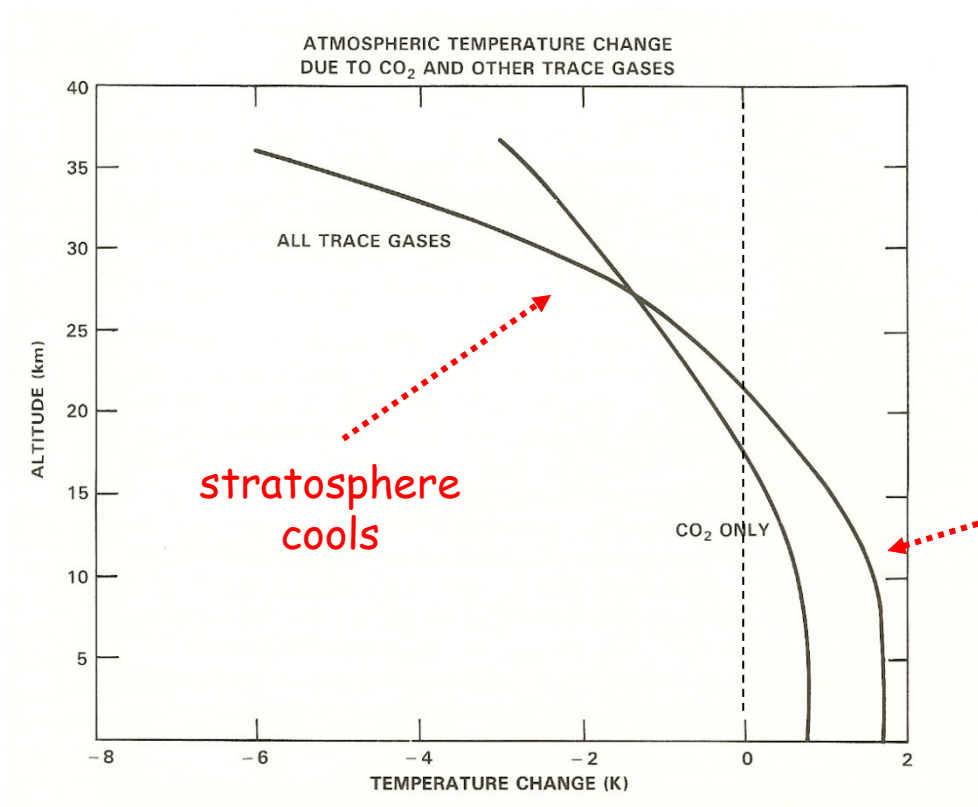


## Lecture 2: Variability and trends in stratospheric temperature and water vapor

- Stratospheric temperature
  - Climate change and the stratosphere
  - Stratospheric temperature trends:  
observations (balloons and satellites) and model simulations
  - Recent results from the upper stratosphere
- Stratospheric water vapor
  - Seasonal cycle and the 'tape recorder'
  - Interannual changes
  - Links to tropical tropopause temperatures

## Simple view: climate change in the stratosphere



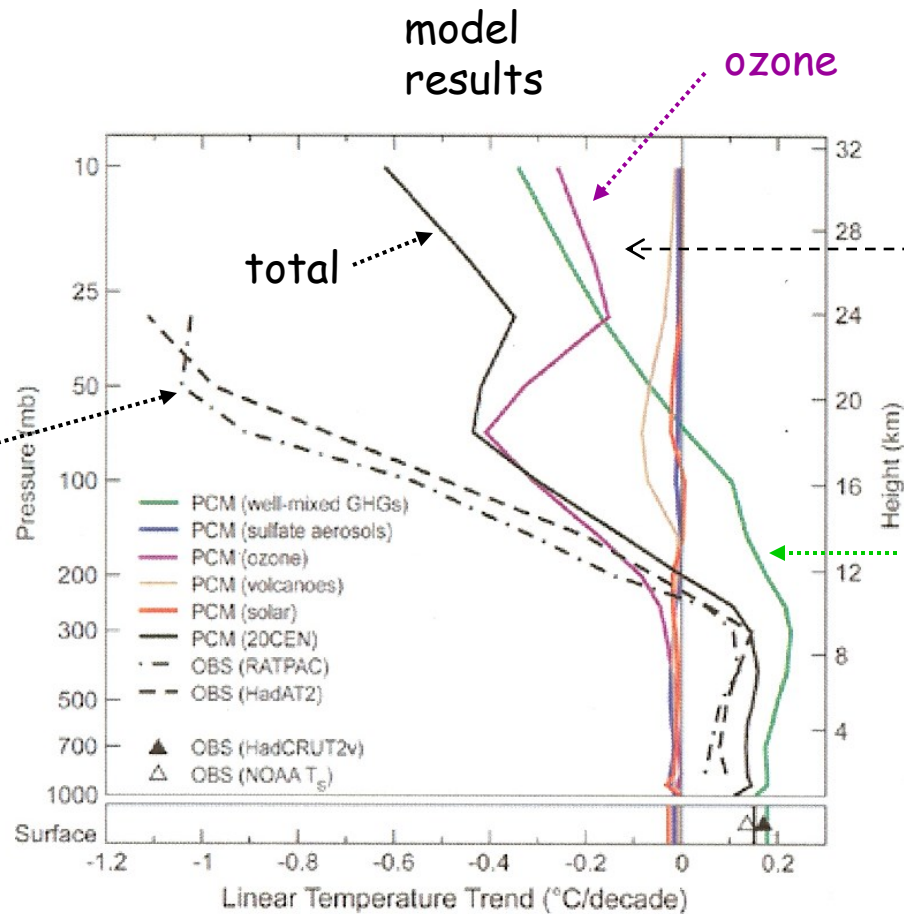
WMO Ozone Assessment, 1985

# United States CCSP 2006 Assessment: Temperature Trends in the Lower Atmosphere

temp trends  
for 1958-1999

radiosonde  
data sets

note that radiosonde  
data give stronger  
cooling than models

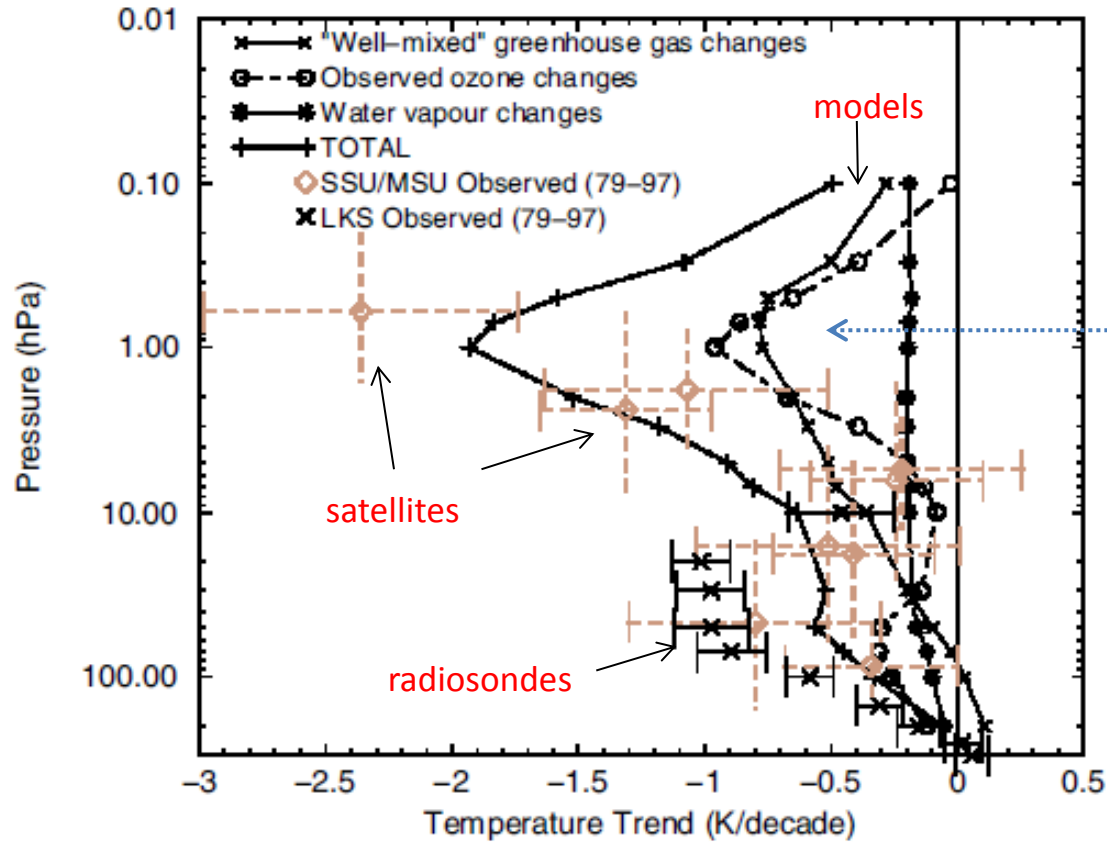


CO<sub>2</sub> increases and  
O<sub>3</sub> decreases act to  
cool the stratosphere

CO<sub>2</sub>

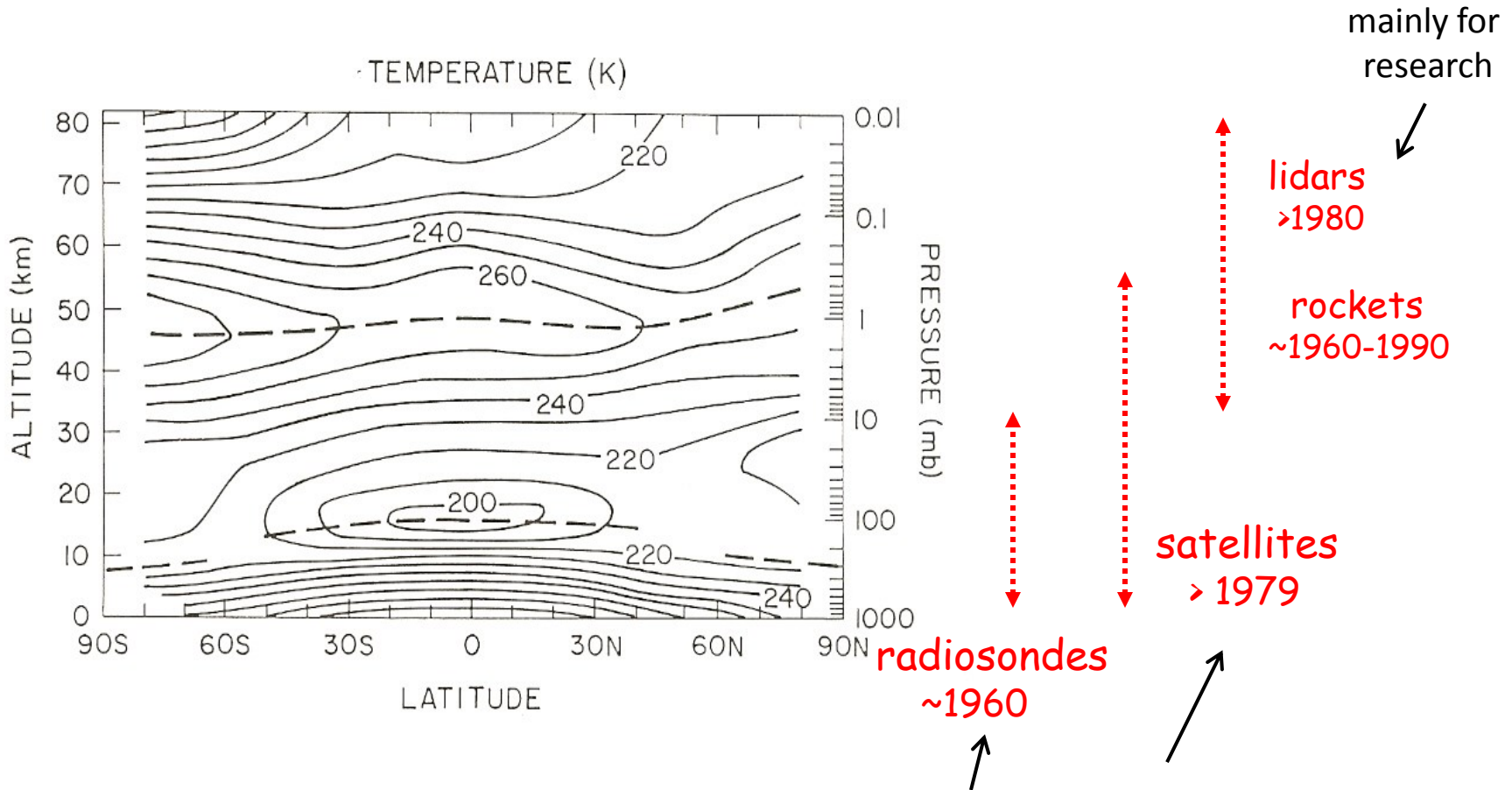
# Model calculated stratospheric temperature trends

Shine et al 2003



stratosphere cooling:  
about ½ from CO<sub>2</sub> increase  
and ½ from O<sub>3</sub> decrease

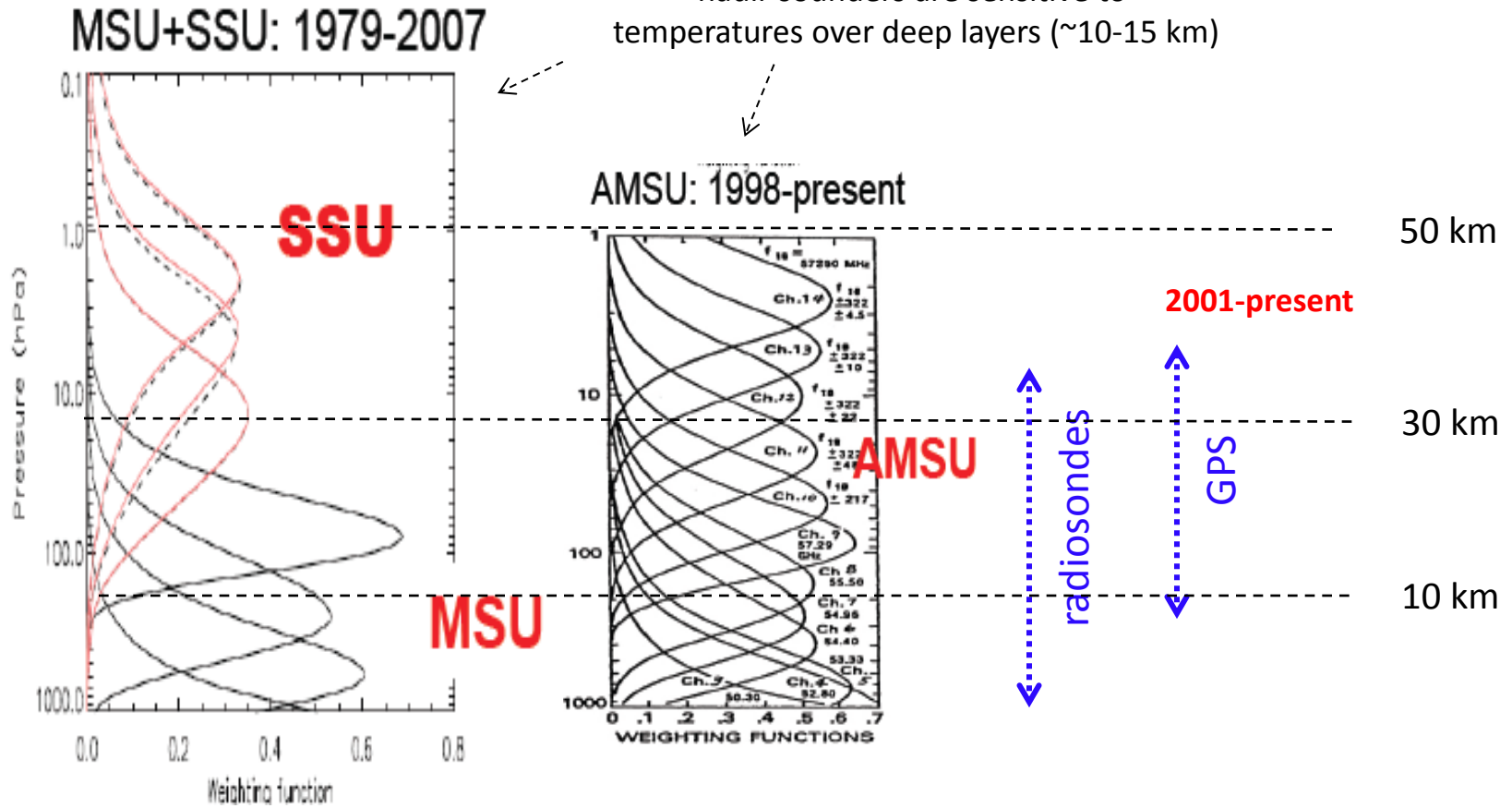
# Data sources for stratospheric temperature trends:



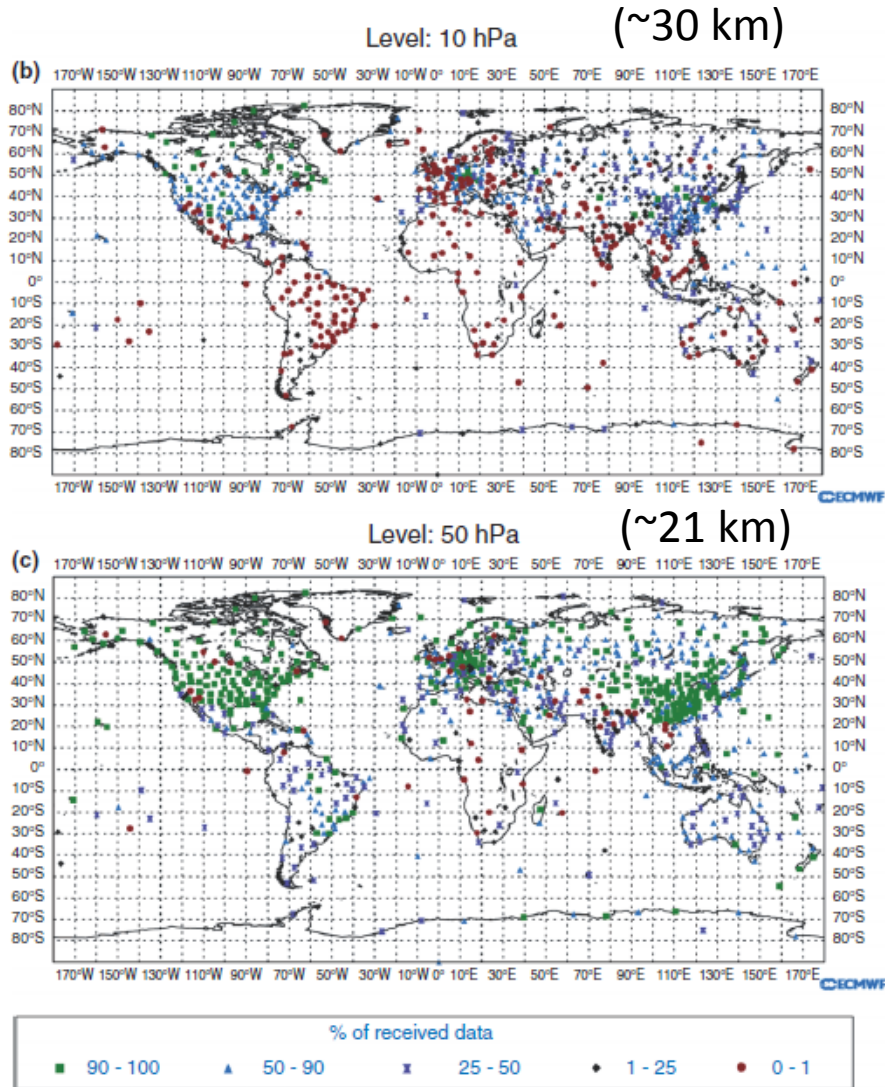
**Fundamental problem:** data are intended for weather forecasting, not climate variability and trends

# Operational satellites (nadir sounders)

nadir sounders are sensitive to temperatures over deep layers (~10-15 km)



# Global radiosonde network

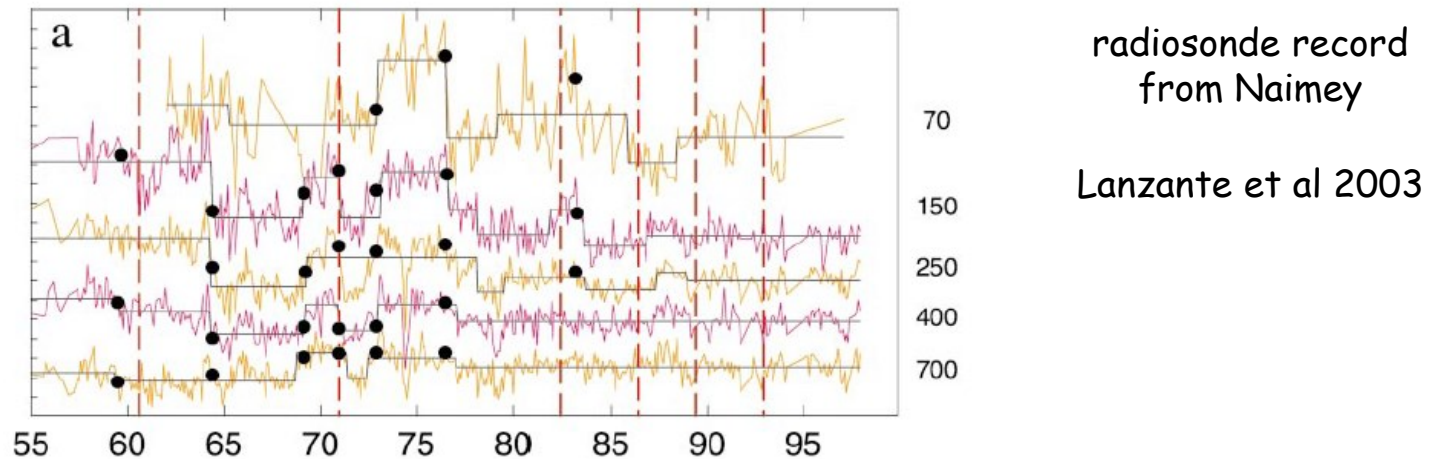


## Characteristics:

- Majority of measurements over continents
- Poorer coverage at upper levels
- Radiosonde sensors change over time



Problem: inhomogeneities in historical radiosonde data due to instrumentation changes, radiation corrections, etc.

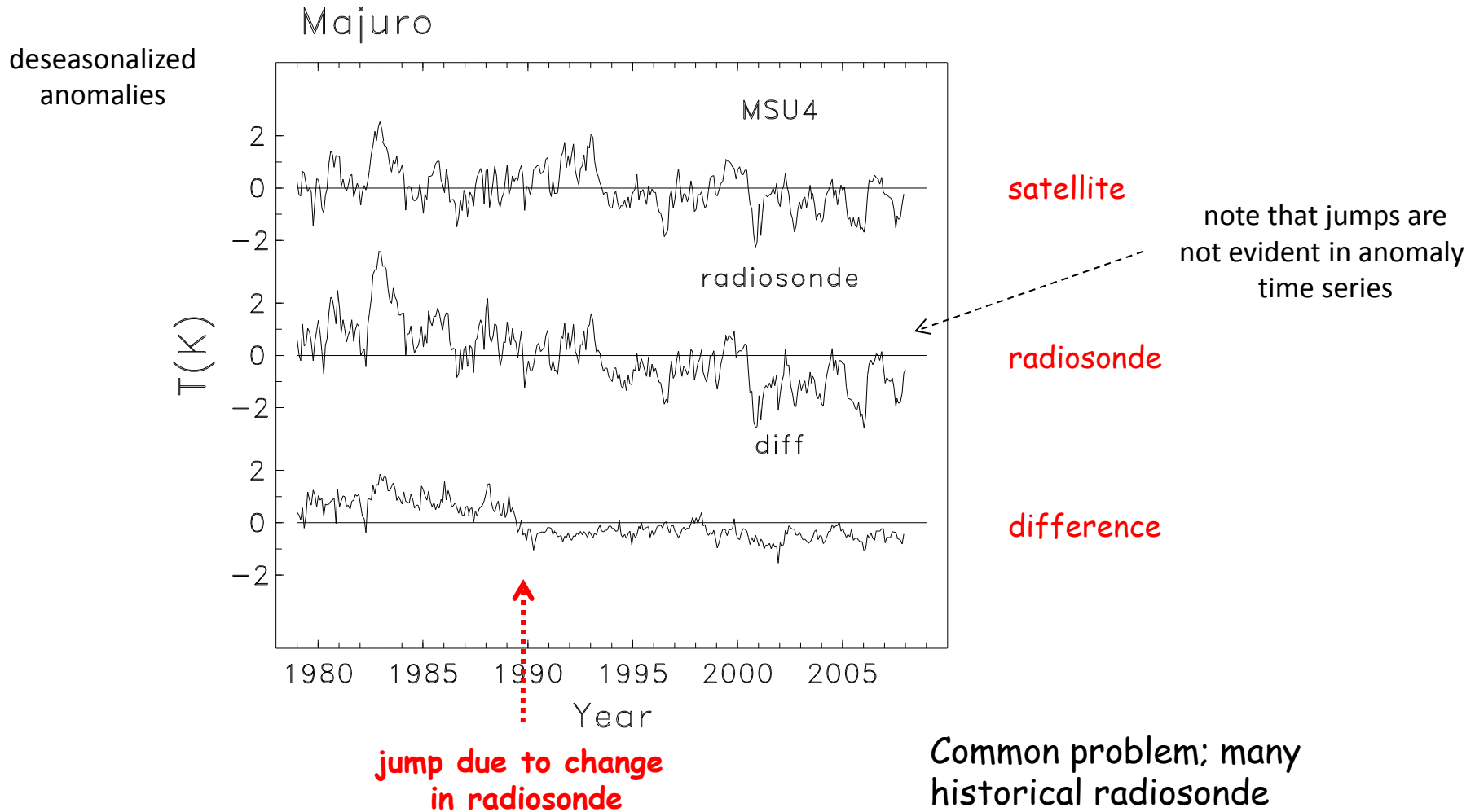


Corrections can be made using different techniques:

- Manual adjustments for ~80 key stations (RATPAC, Free et al , 2005)
- Statistical adjustments (HADAT2; Thorne et al, 2005)
- Statistical identification of 'break points' (IUK, Sherwood et al, 2008)
- Using meteorological data assimilation increments to identify break points (Raobcore, RICH; Haimberger et al, 2008)



## Example of radiosonde station with artificial change



Historical radiosonde results now available from  
6 separate homogenized data sets:

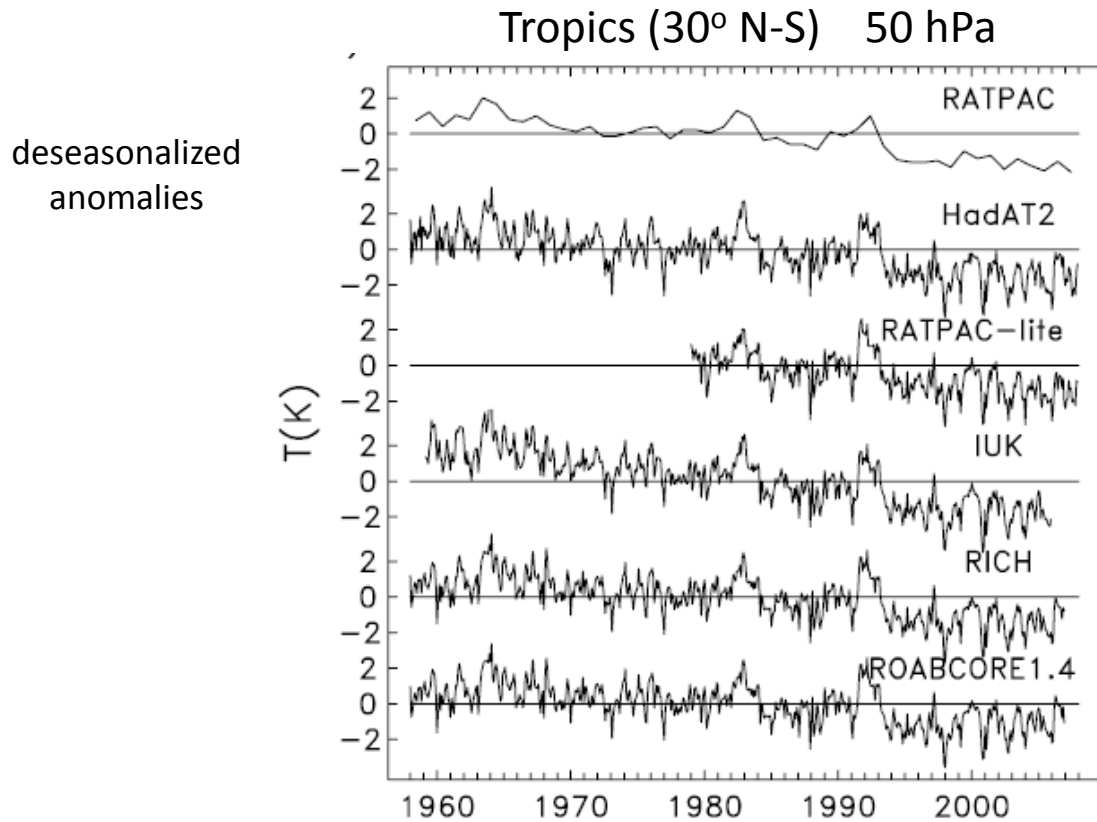
- RATPAC (Free et al, 2005) (expert judgement for 85 stations)
  - RATPAC-lite (Randel and Wu, 2006) (subset of RATPAC stations)
  - HadAT2 (Thorne et al, 2005) (use near neighbors to identify breaks)
  - IUK (Sherwood, 2007) (statistical fits to identify break points)
  - RAOBCORE 1.4 (Haimberger, 2007)
  - RICH (Haimberger et al., 2008)
- } (use ERA40 assimilation increments to identify breaks)

differences provide a measure of 'structural uncertainty'

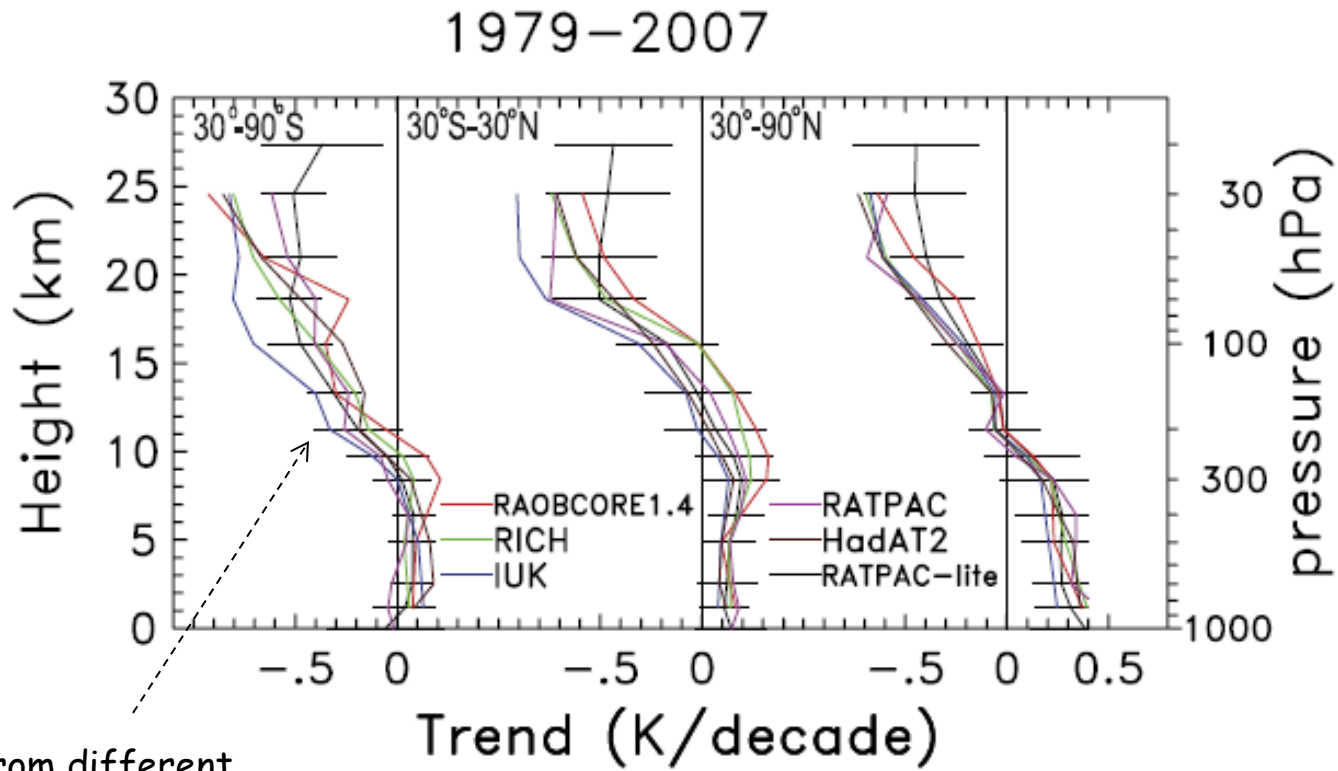
# An update of observed stratospheric temperature trends JGR, 2009

William J. Randel,<sup>1</sup> Keith P. Shine,<sup>2</sup> John Austin,<sup>3</sup> John Barnett,<sup>4</sup> Chantal Claud,<sup>5</sup>  
Nathan P. Gillett,<sup>6</sup> Philippe Keckhut,<sup>7</sup> Ulrike Langematz,<sup>8</sup> Roger Lin,<sup>9</sup> Craig Long,<sup>9</sup>  
Carl Mears,<sup>10</sup> Alvin Miller,<sup>9</sup> John Nash,<sup>11</sup> Dian J. Seidel,<sup>12</sup> David W. J. Thompson,<sup>13</sup>  
Fei Wu,<sup>1</sup> and Shigeo Yoden<sup>14</sup>

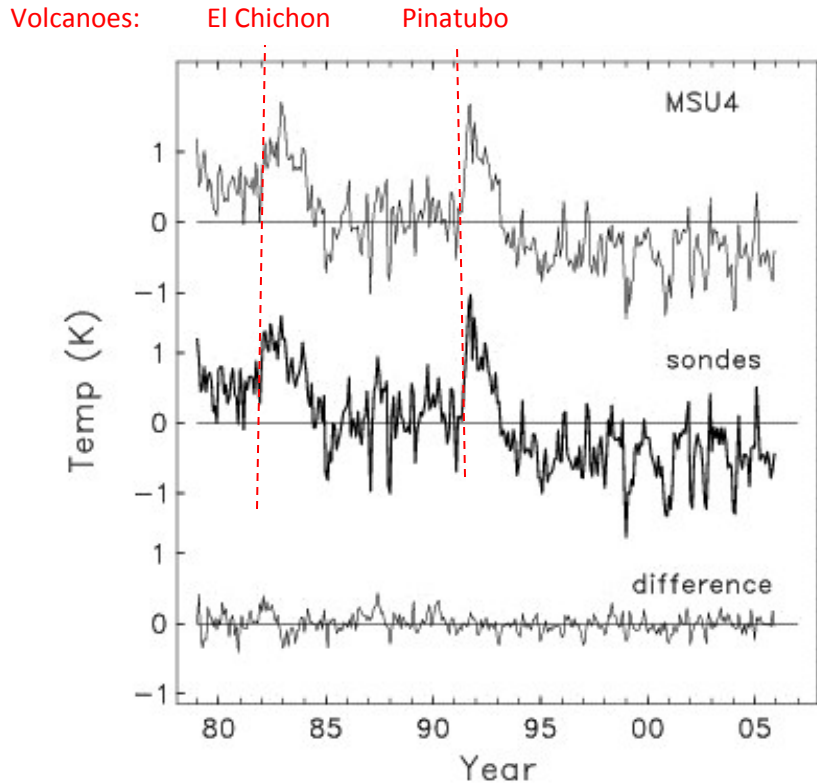
Comparison of time series  
from different homogenized  
radiosonde data sets



## Temperature trends from radiosonde data

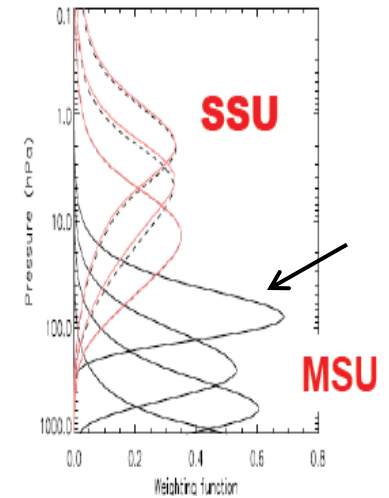


# Lower stratosphere temps: MSU4 satellite and radiosondes, 60 N-S



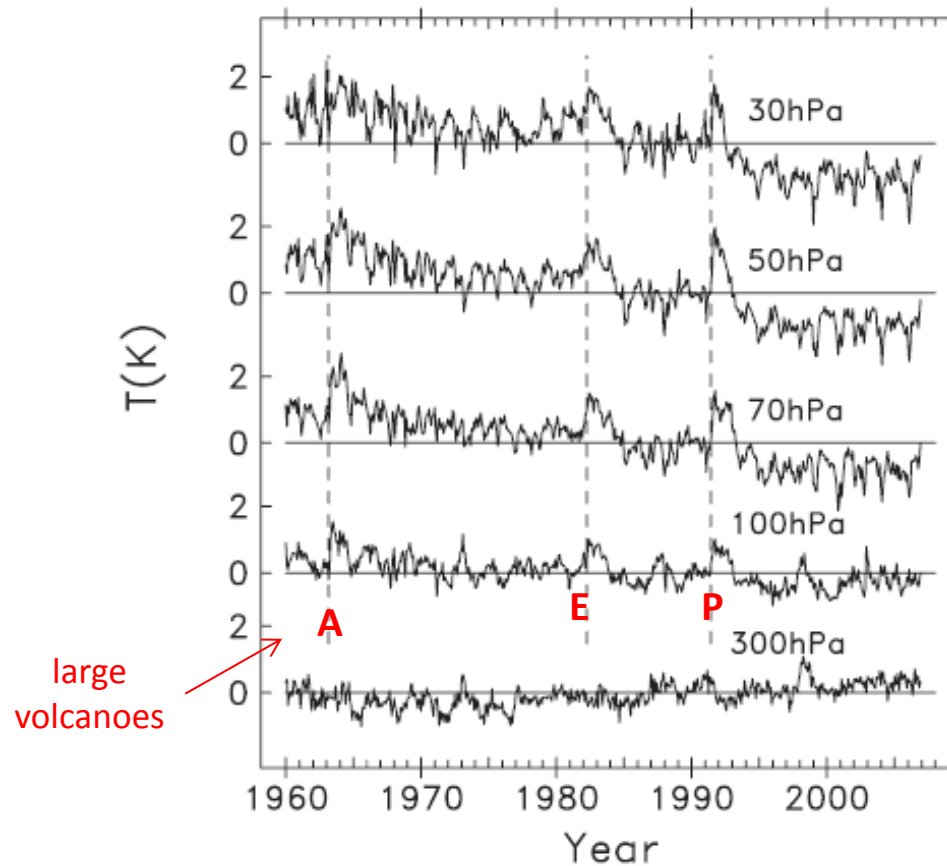
MSU4 satellite

Radiosondes, using  
RATPAC-lite stations



note relatively constant  
temps after ~1995

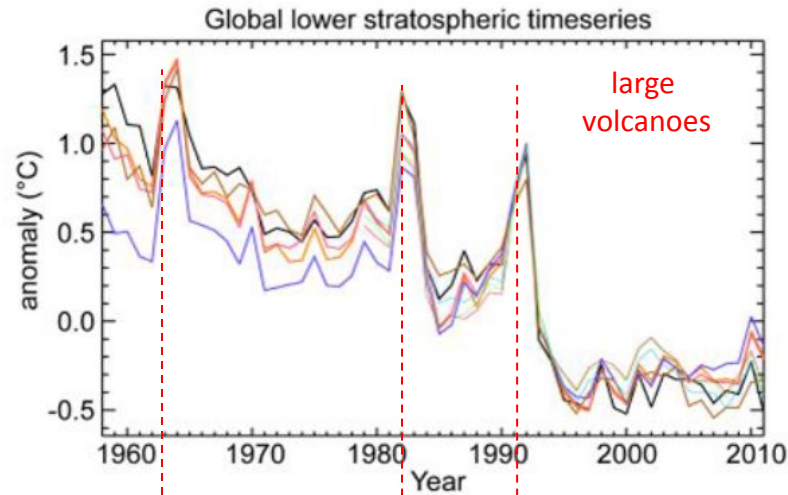
## Global average time series from RICH radiosonde data



Randel, 2010, American Geophysical Union

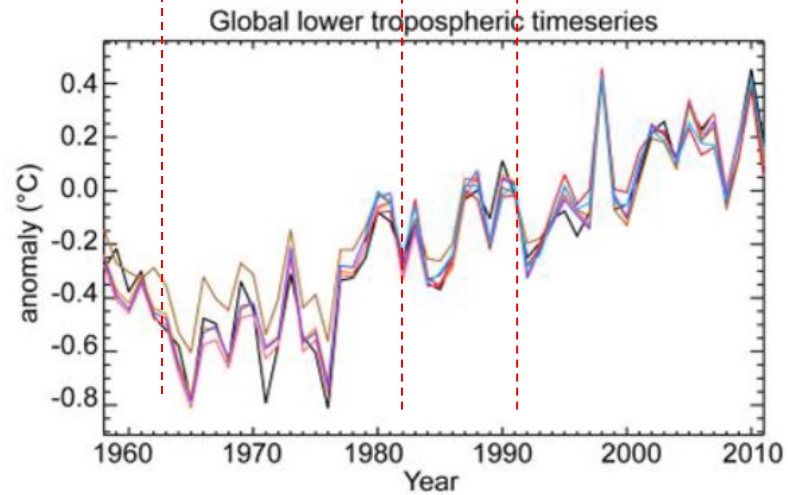
# Reasonable overall agreement among radiosonde and satellite data sets

lower stratosphere



black: satellite  
colors: radiosondes

lower troposphere



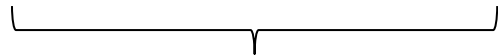
IPCC AR5 2014



## Quantifying temperature variability using multiple linear regression

From experience, stratospheric temperature is known to be influenced by the QBO, the 11-year solar cycle, volcanoes, ENSO, plus changes in CO<sub>2</sub> and O<sub>3</sub> and H<sub>2</sub>O

$$O3(t) = A1 * QBO1(t) + A2 * QBO2(t) + A3 * solar(t) + A4 * t$$



Use two orthogonal proxies  
for QBO



Long-term change  
or linear trend

Could also include other proxies, such as for ENSO, volcanoes or EP fluxes

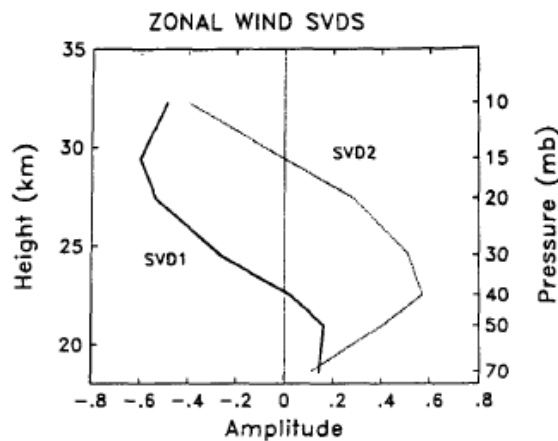
# Representation of the Equatorial Stratospheric Quasi-Biennial Oscillation in EOF Phase Space

JOHN M. WALLACE

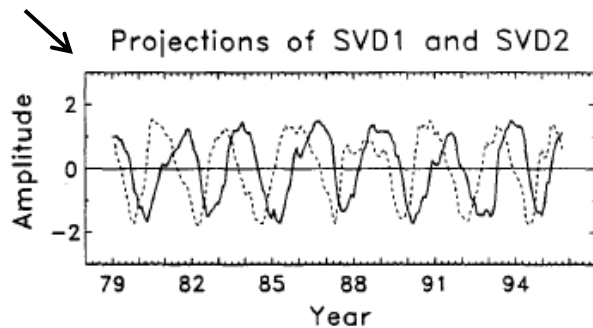
JAS 1993

*Department of Atmospheric Sciences, University of Washington, Seattle, Washington*

Key point: two orthogonal EOF's explain almost all of the variance tied to the QBO

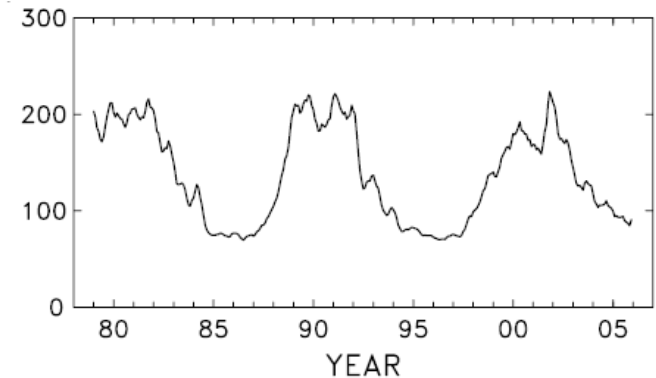


QBO1 and QBO2:  
orthogonal proxies

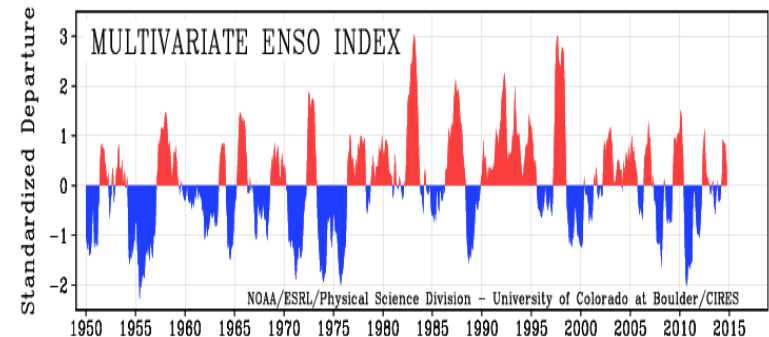


Other proxies:

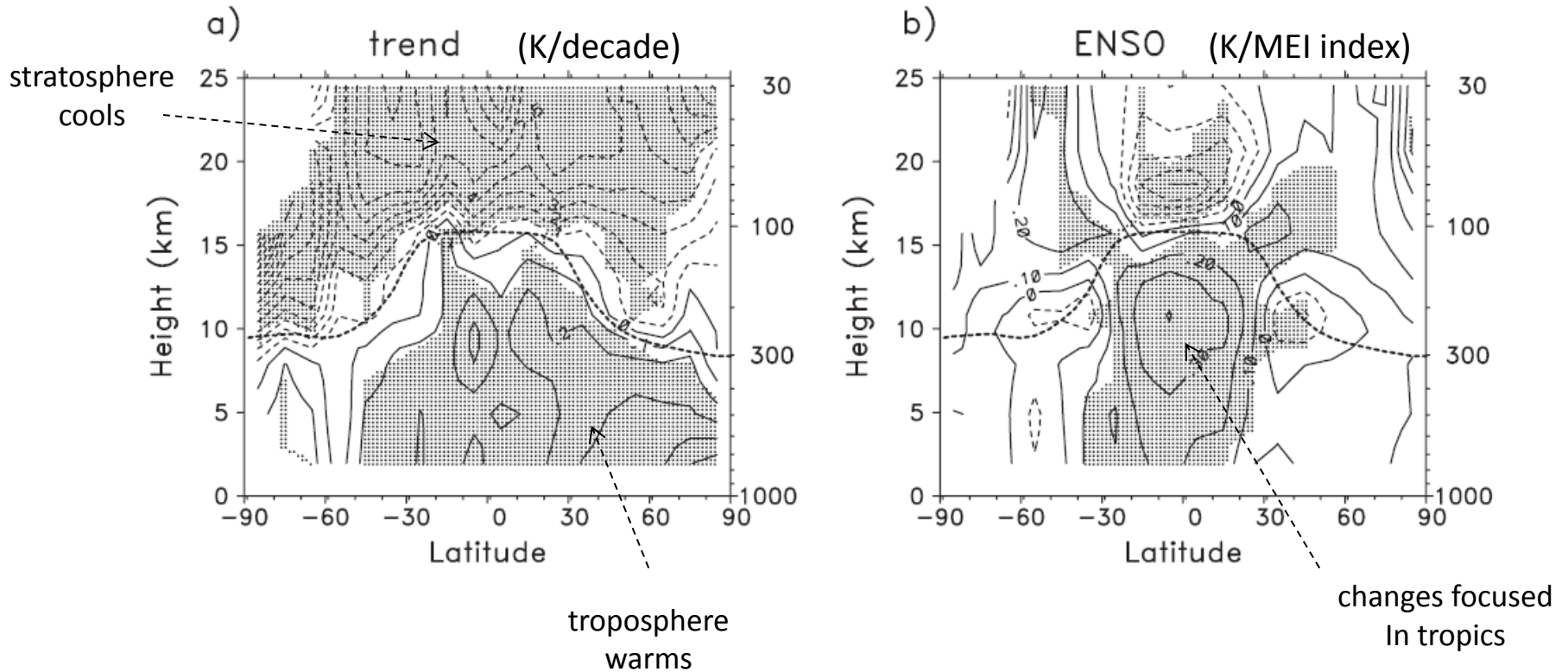
Solar cycle (F10.7 flux)



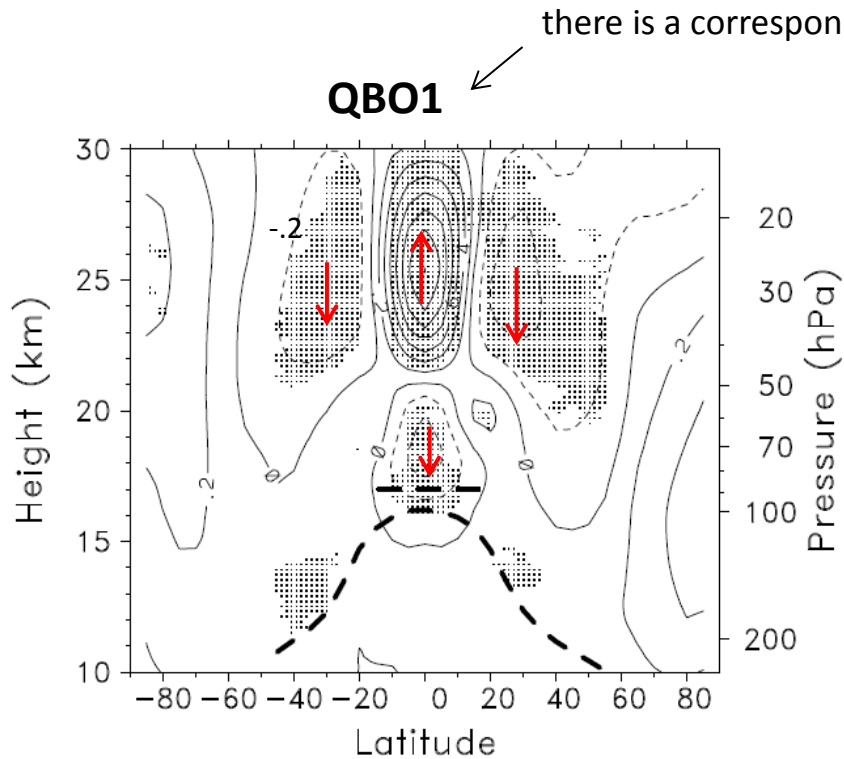
ENSO



# Temperature trends and ENSO signal derived from RICH radiosonde data 1970-2010



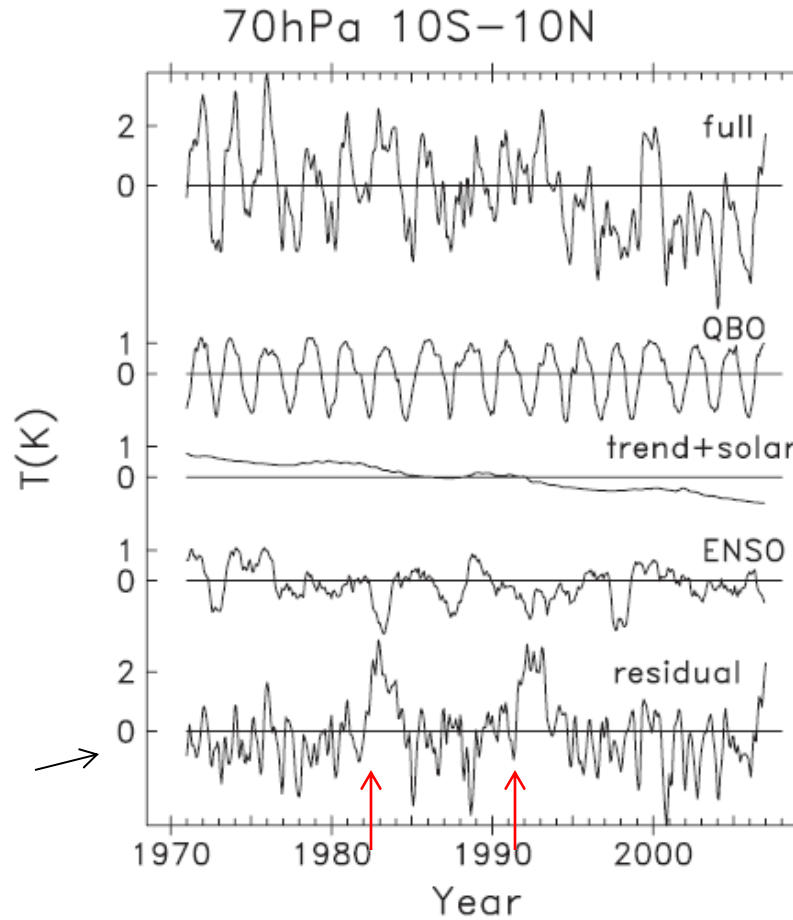
# Regression fits of QBO using GPS temperatures



- Signals confined to stratosphere
- Out-of-phase patterns in subtropics reflect meridional circulation

Variability in the tropical lower stratosphere:

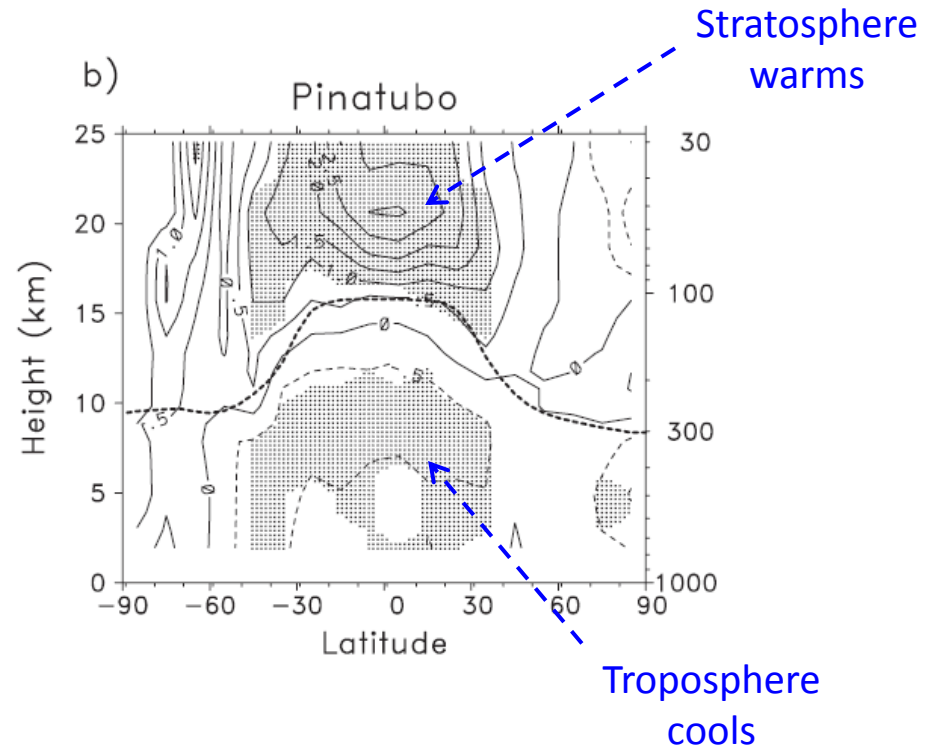
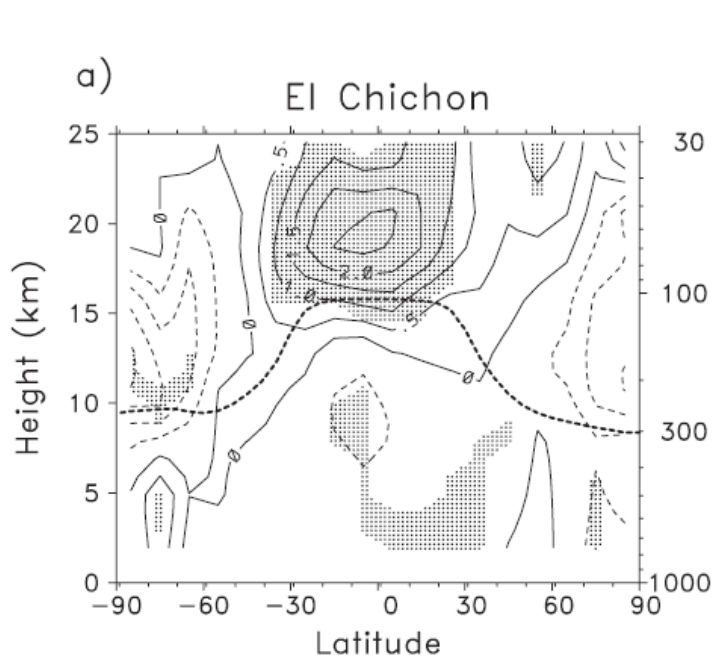
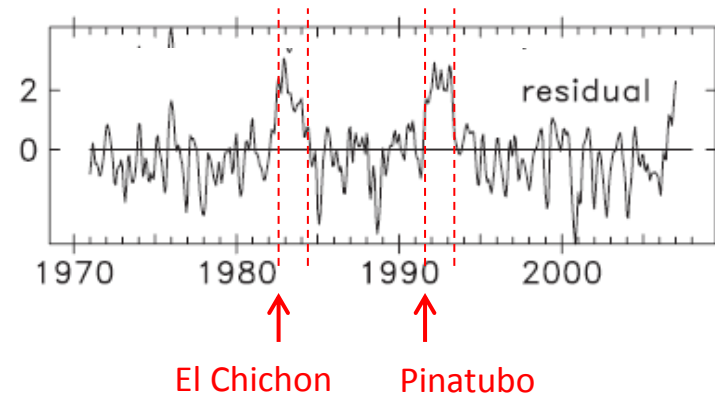
time series and regression fits  
at 70 hPa, 10° N-S



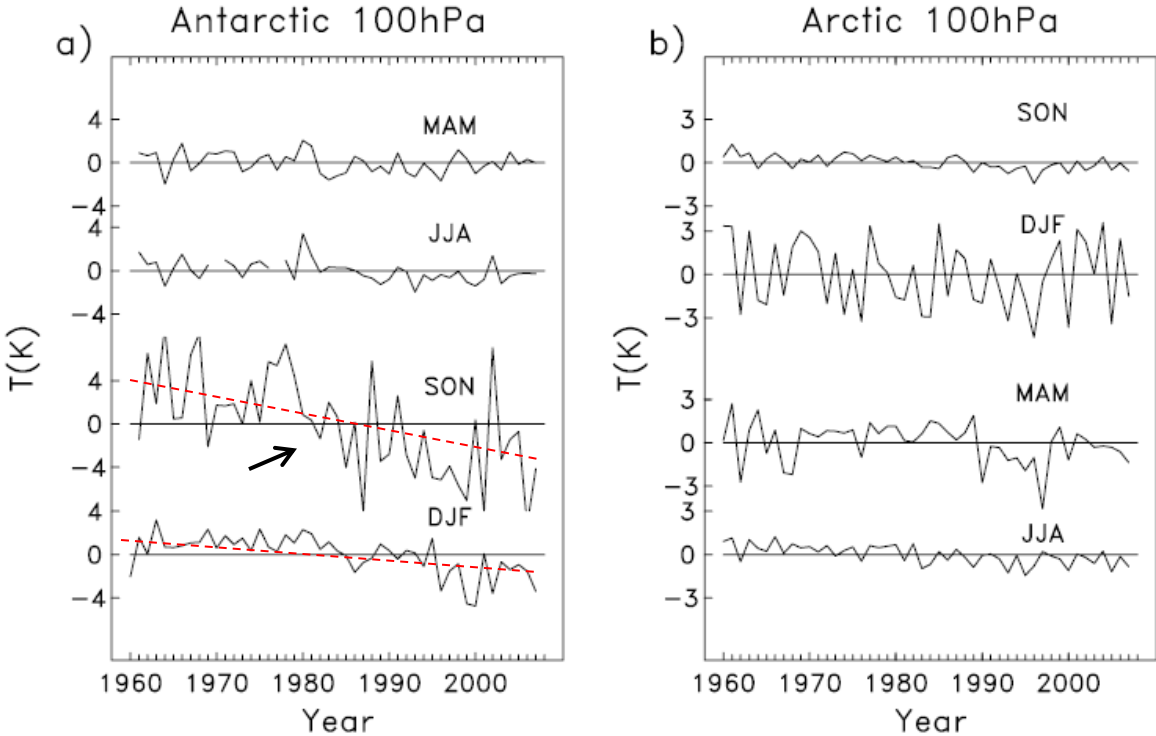
note that the volcanic signal  
is clear if you first remove  
'other' variability

Volcanic signals derived as 'residuals' to regression fits

Temperature anomalies for 2 years after volcanic eruptions



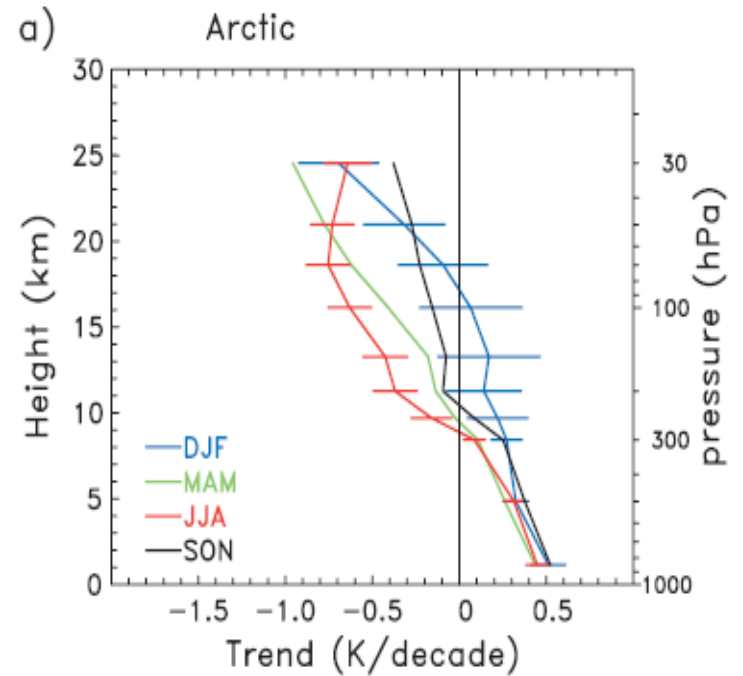
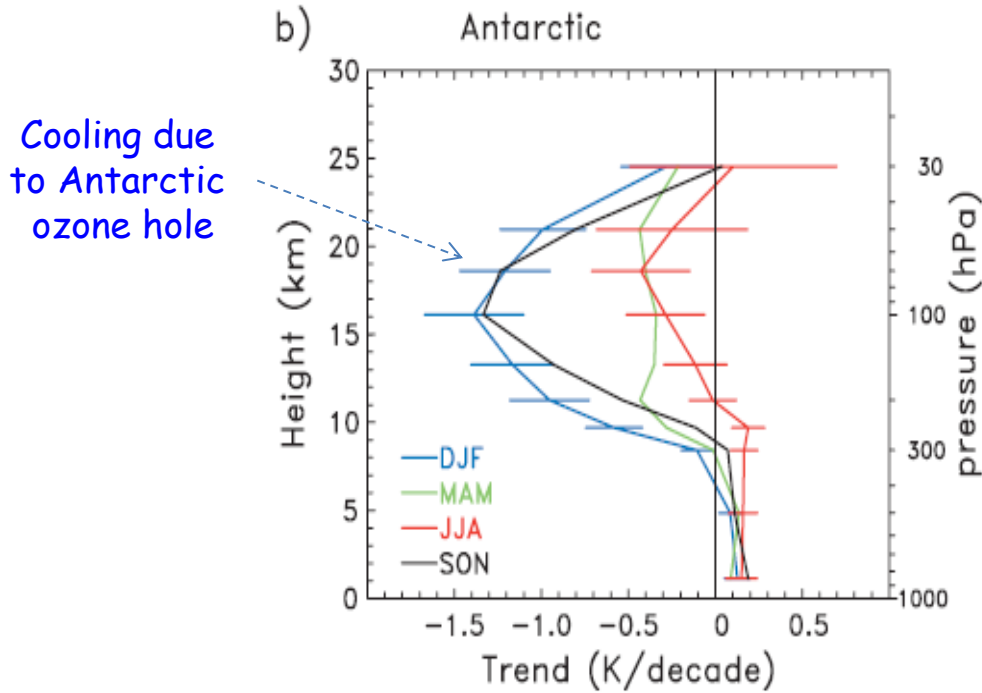
# Polar stratosphere temperatures



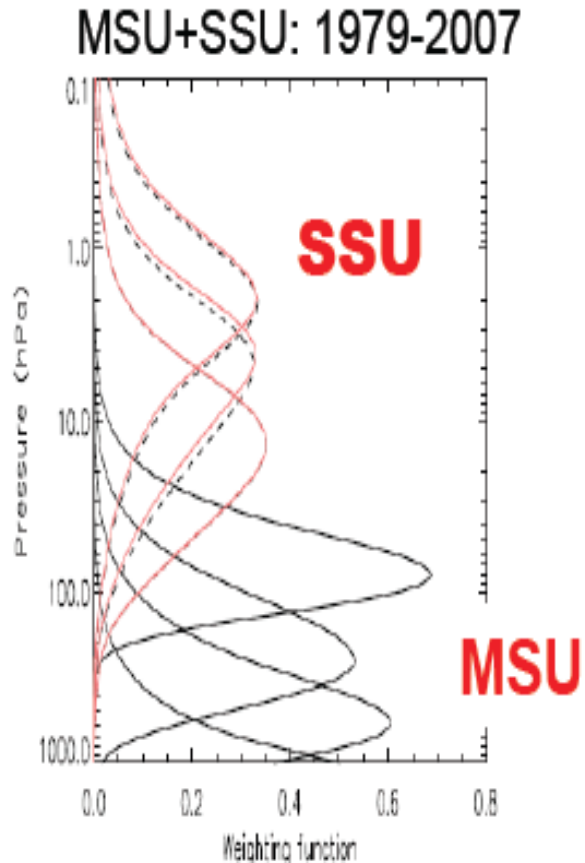
Large 'natural' year-to-year variability during winter



# Polar temperature trends

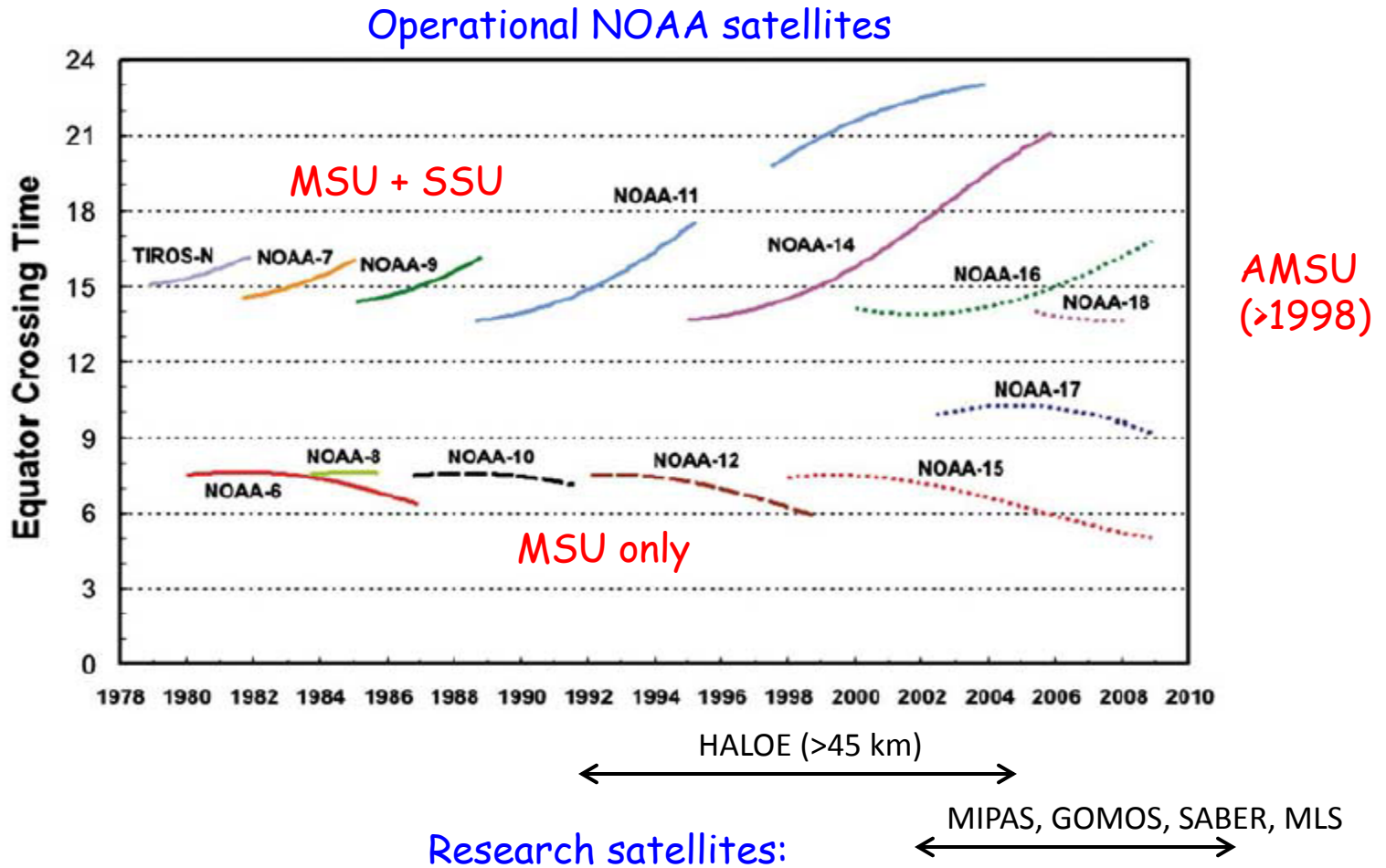


In the middle and upper stratosphere, satellite measurements are the primary data set for variability and trends

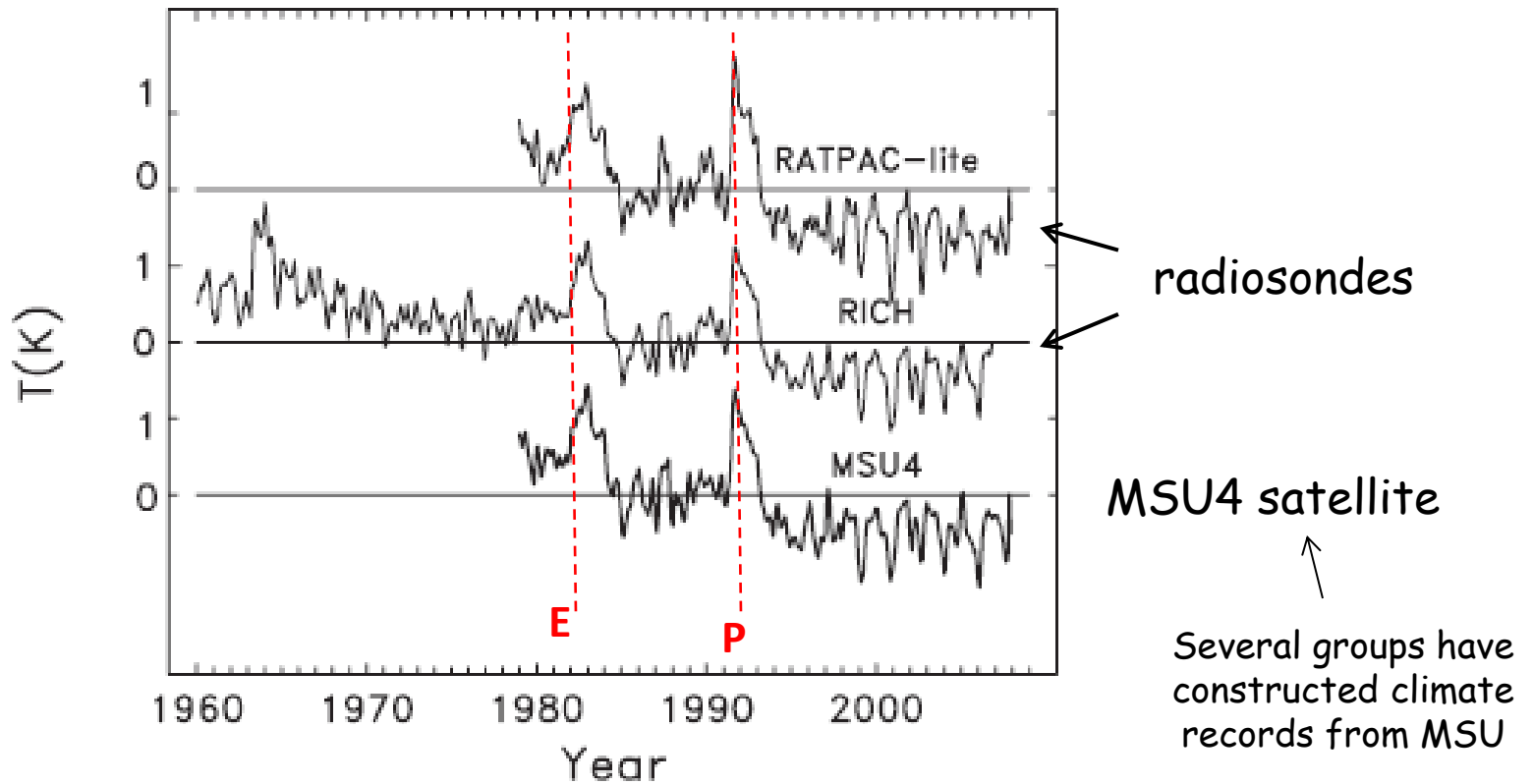


- Broad layer temperatures
- Derived from many separate operational instruments
- Long-term records need to be constructed for trend studies

# Satellite records are constructed from many separate instruments



Lower stratosphere temperatures (MSU4) are well characterized

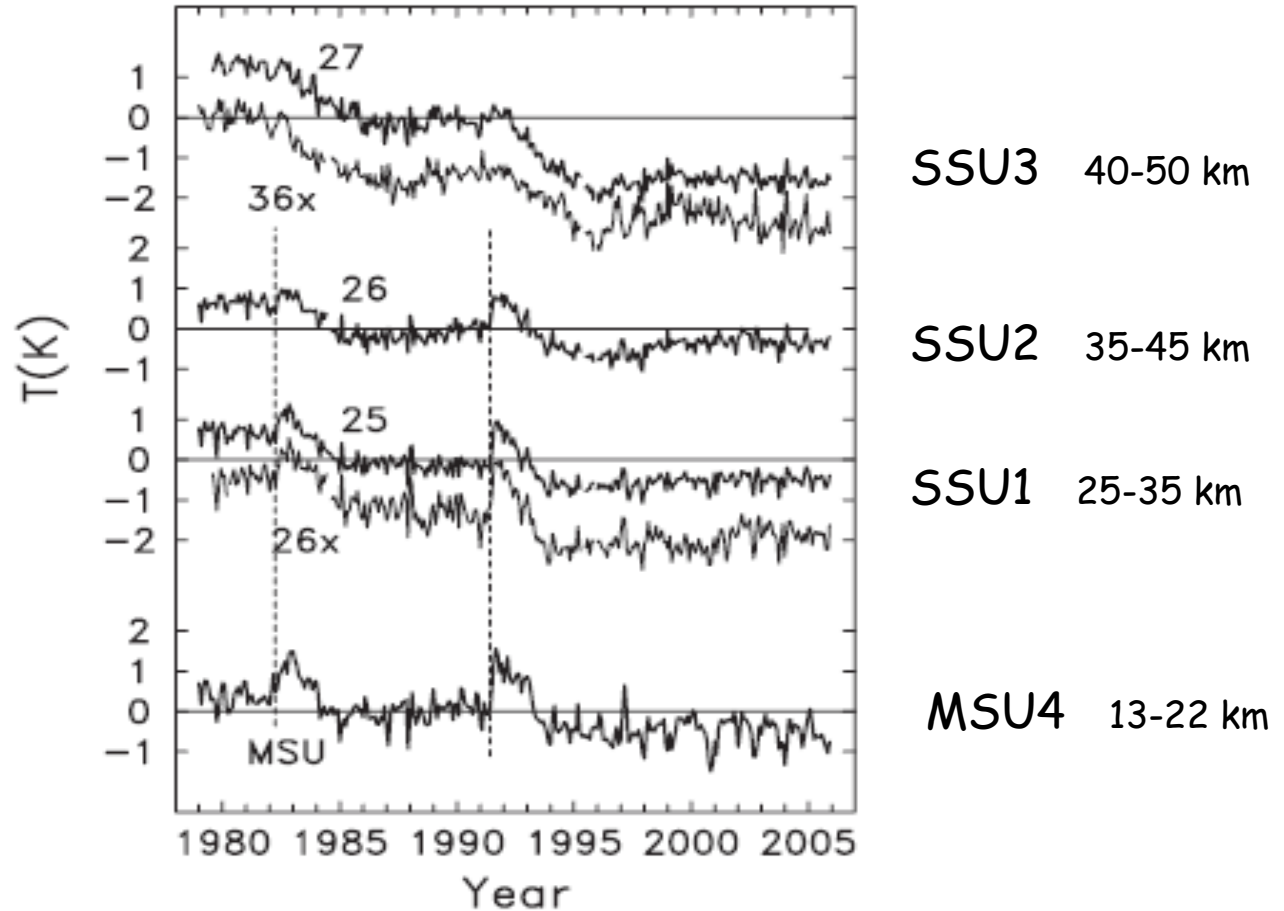


# Middle-upper stratosphere temperatures from SSU

Constructed by  
John Nash  
from UK Met Office

But:

- Details not well understood
- No independent analyses of SSU data

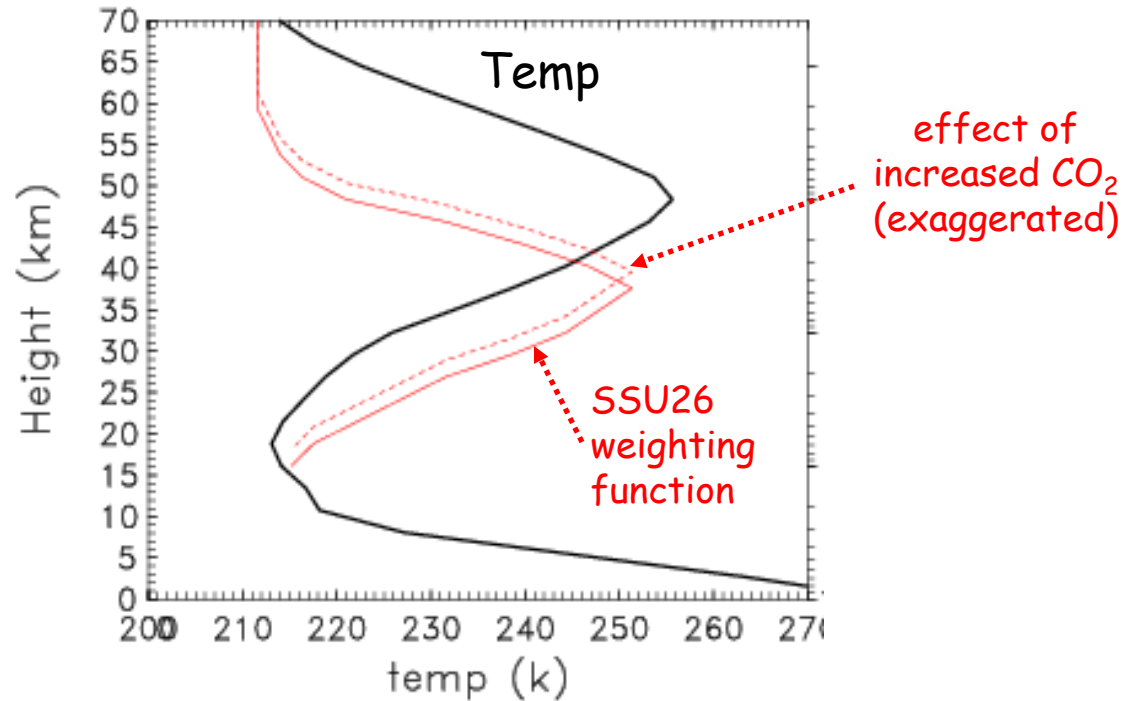


# SSU Data Issues

---

- instrument CO<sub>2</sub> leaking problem
- atmospheric CO<sub>2</sub> variations
- limb-effect
- diurnal drift effect
- inter-satellite biases
- No instruments on NOAA-10 and NOAA-12
- No overlap between NOAA-9 and NOAA-11

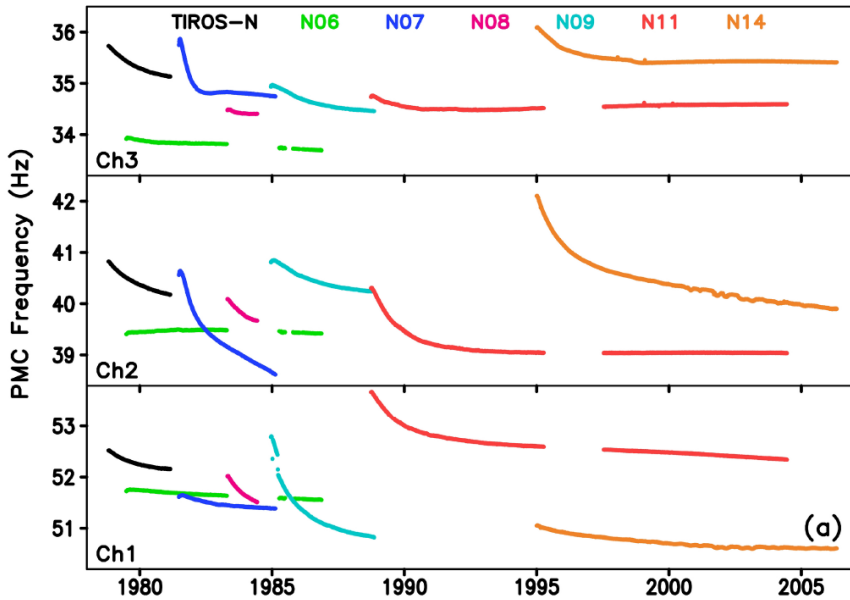
## CO<sub>2</sub> increases and the SSU weighting function



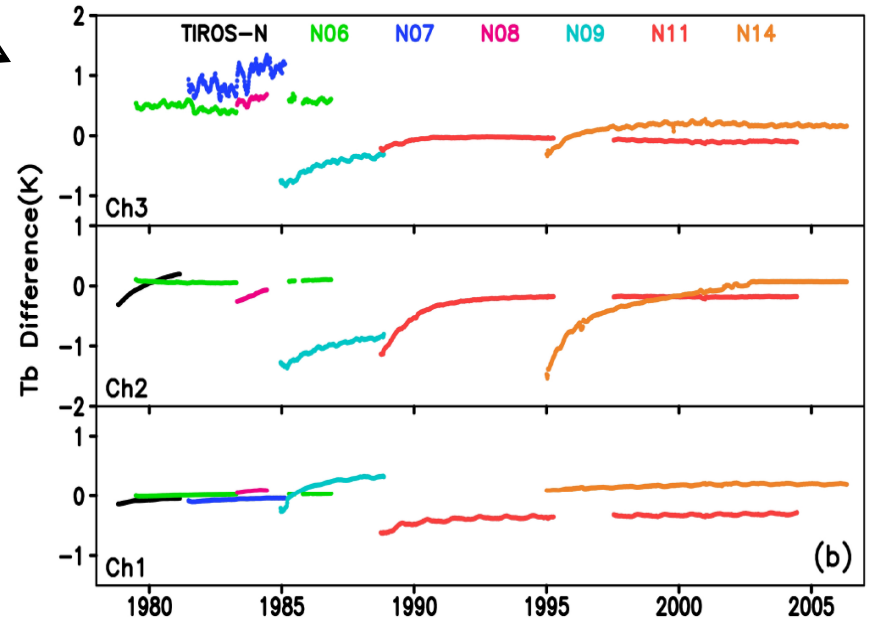
Higher CO<sub>2</sub> raises SSU weighting function, with resulting (apparent) positive temperature trend



SSU pressure modulator cells leak over time. These leaks cause a change in the modulator frequency over time, which can be used to monitor the gas leakage.



these effects on measured temperatures can be estimated using SSU radiative transfer model



# Construction of Stratospheric Temperature Data Records from Stratospheric Sounding Units

LIKUN WANG

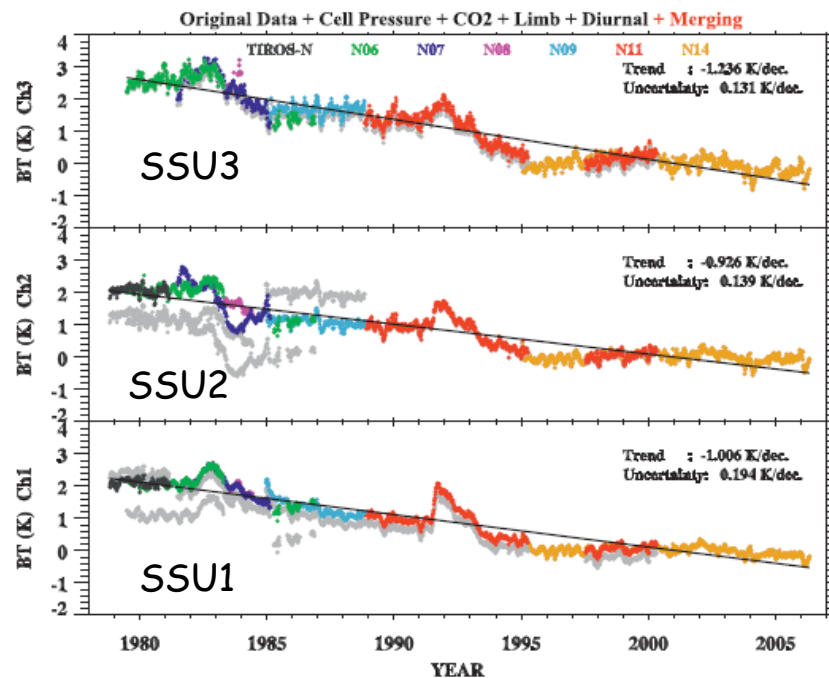
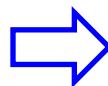
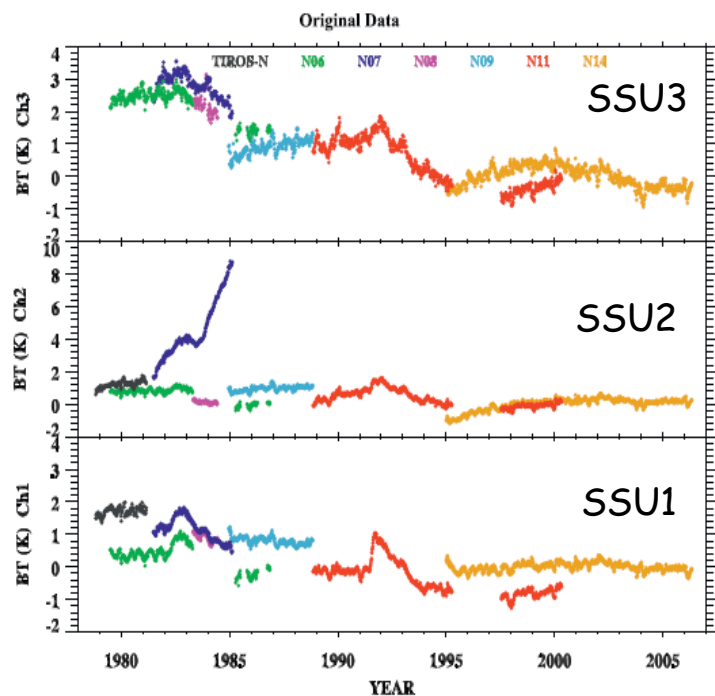
*Dell Services Federal Government, Fairfax, Virginia*

CHENG-ZHI ZOU

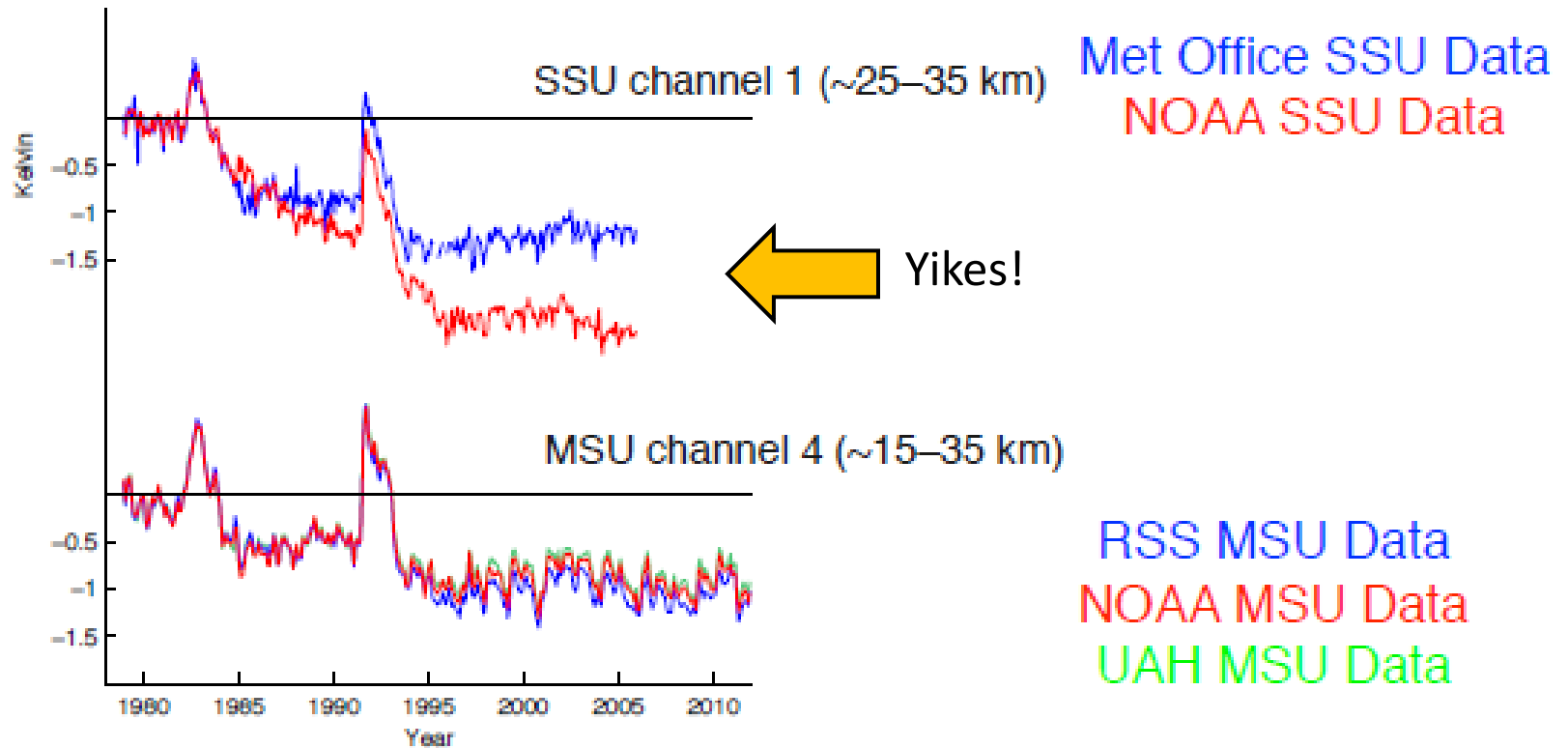
*NOAA/NESDIS/STAR, Camp Springs, Maryland*

J. Climate 2012

Recent independent analysis of SSU data



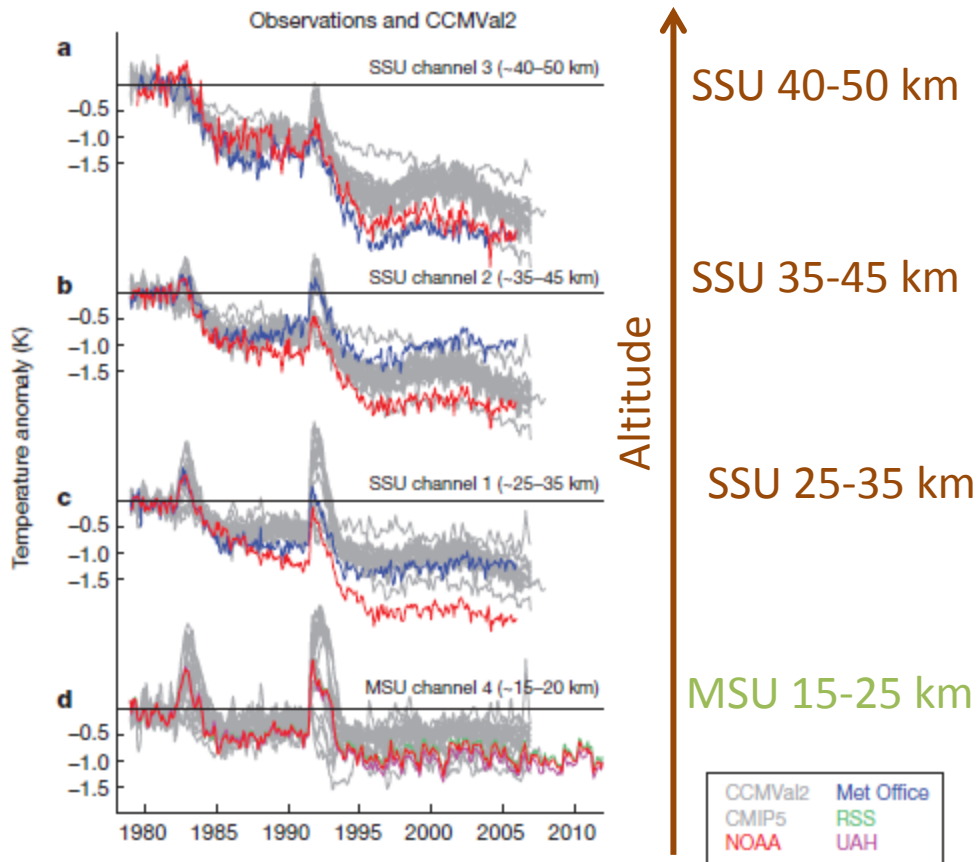
# Global-average Stratospheric Temperature



Thompson et al 2012

# Comparisons with Models

## Chemistry-Climate Models

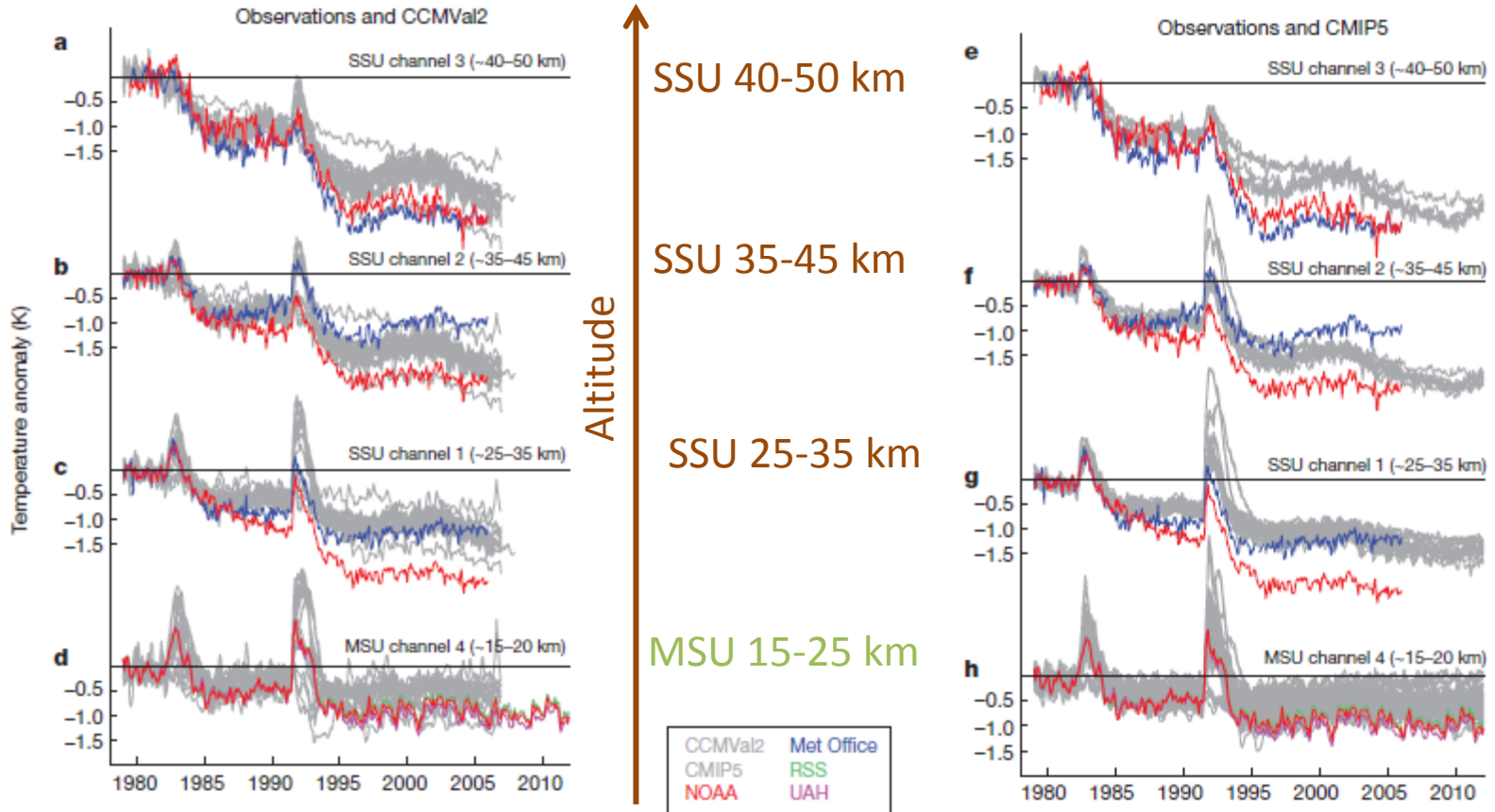


Thompson et al., 2012, Nature

# Comparisons with Models

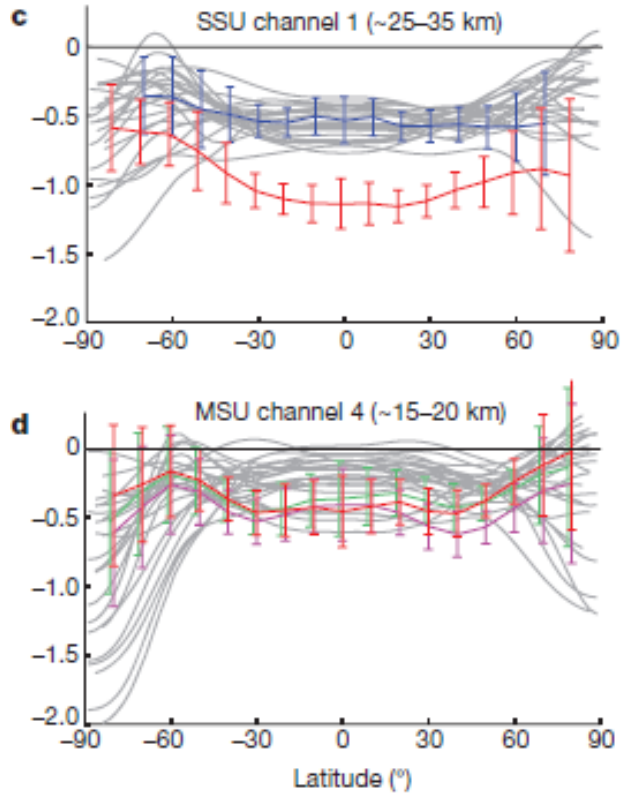
## Chemistry-Climate Models

## Atmosphere-Ocean Global Climate Models

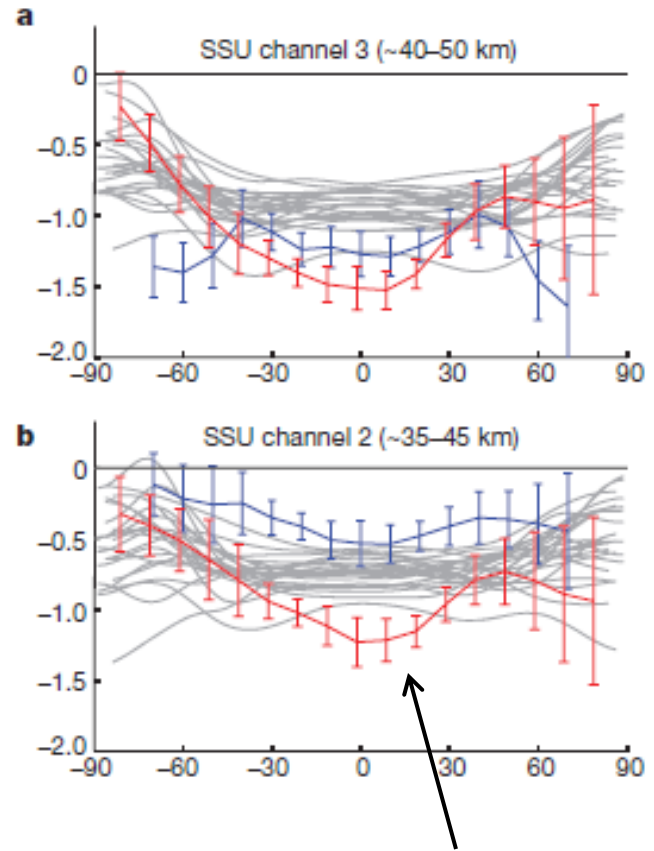


Thompson et al, Nature, 2012

# Latitudinal profile of trends

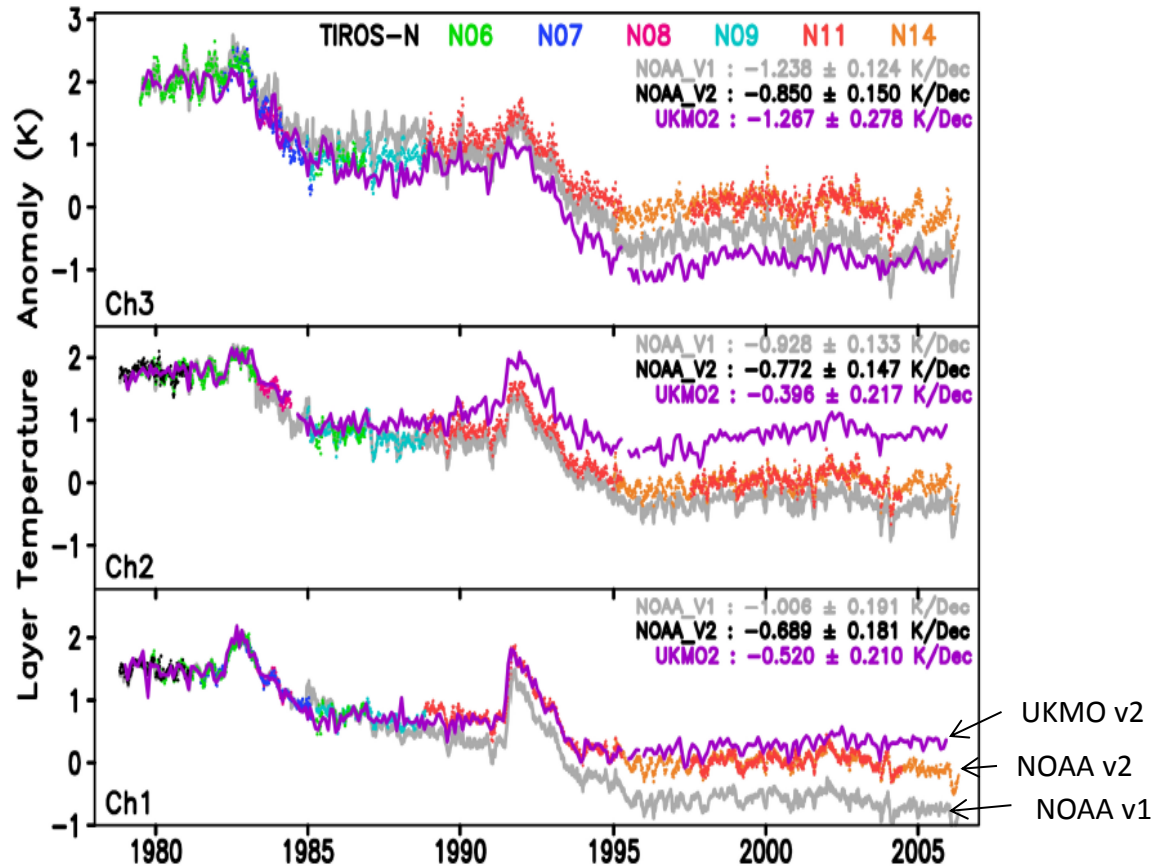


CCMVal2  
NOAA  
Met Office  
RSS  
UAH



Stronger cooling in tropics  
for NOAA data

# Not the last word: new, updated versions of NOAA and UKMO SSU data

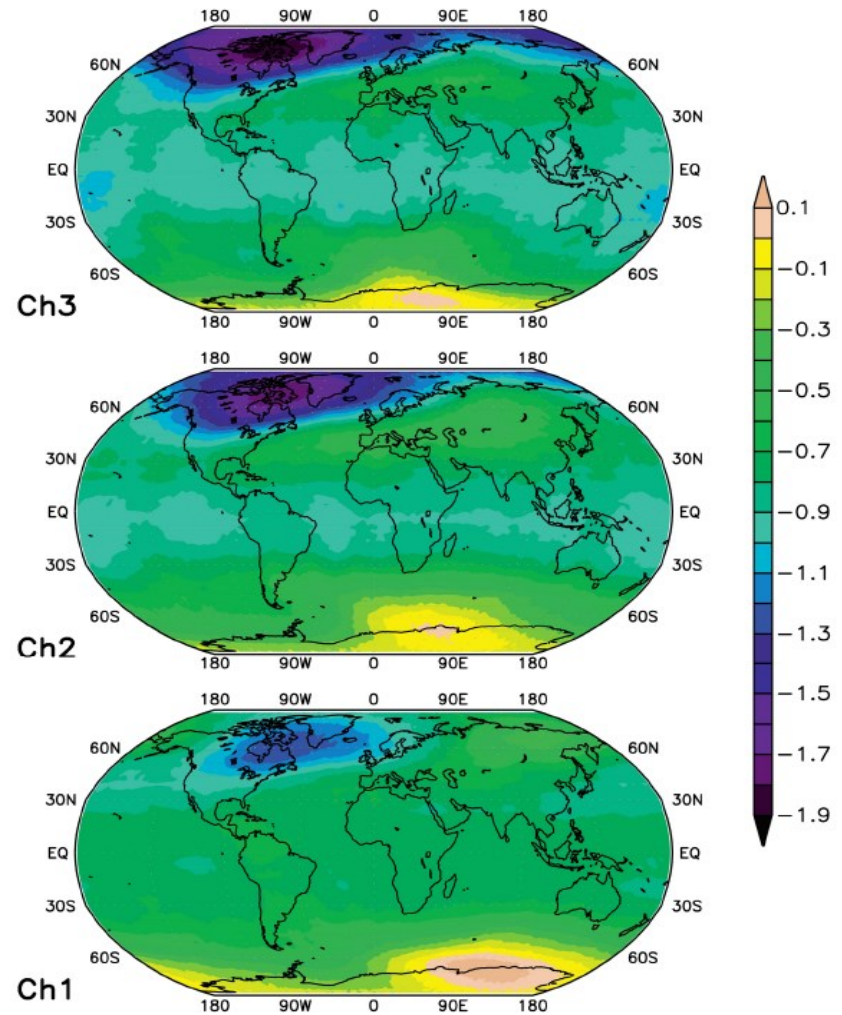


Zou et al, JGR 2014, in press



# Upper stratosphere temperature trends 1979-2006

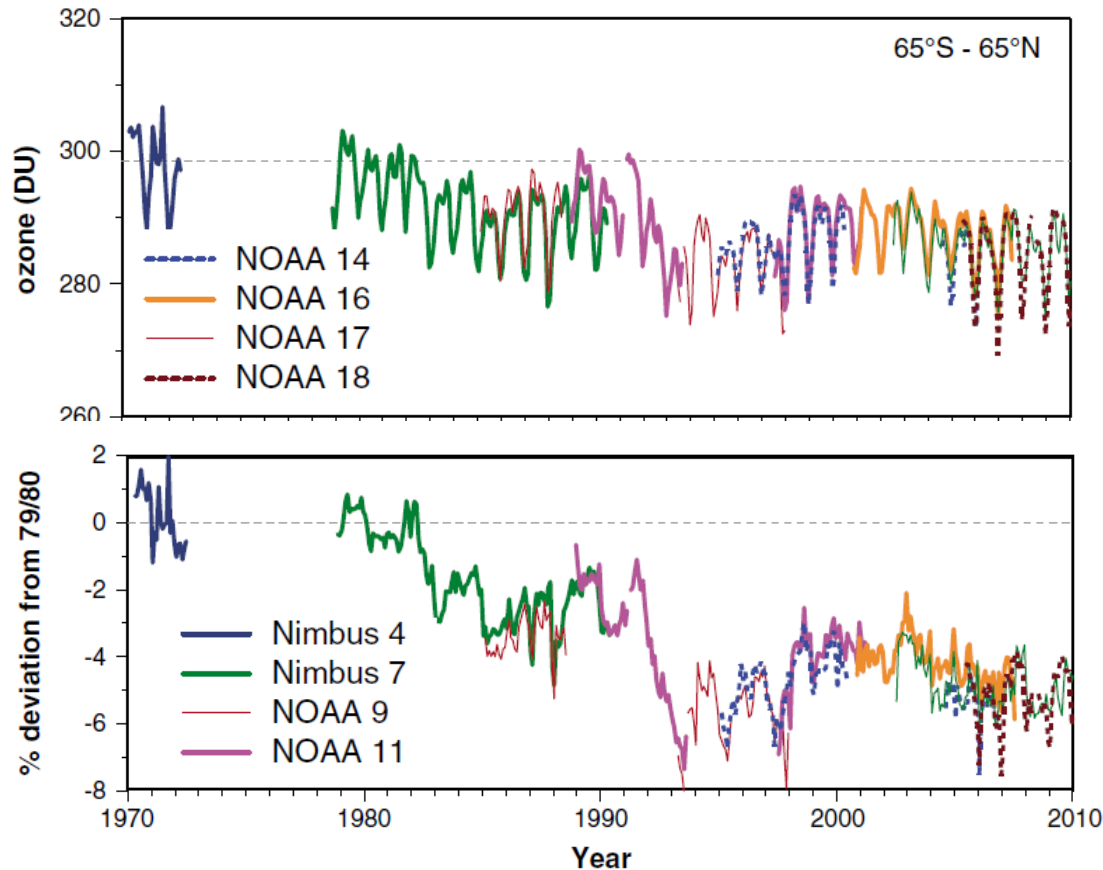
relatively 'flat'  
latitudinal structure



Zou et al, JGR 2014, in press

A similar situation exists for measurements of stratospheric ozone:

Global ozone anomalies derived from combined SBUV measurements



Global  
column ozone

anomalies

## Some important points:

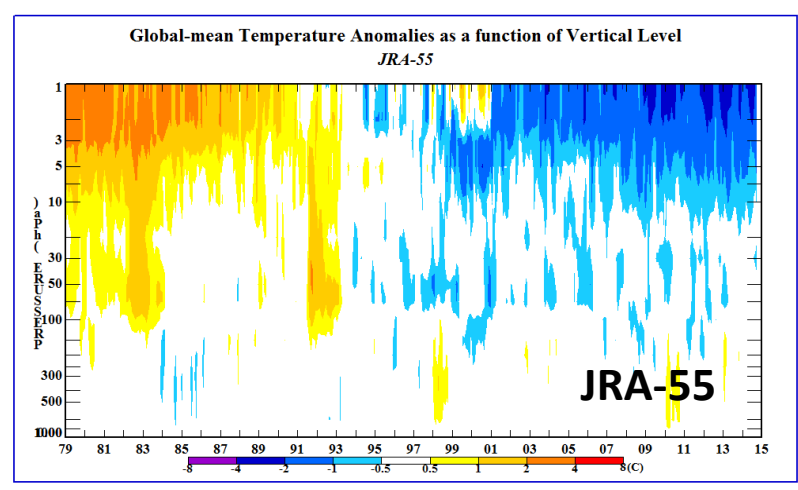
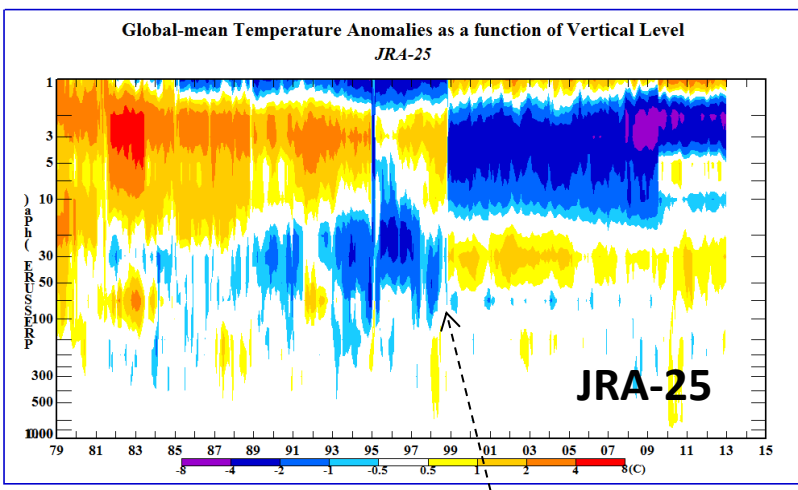
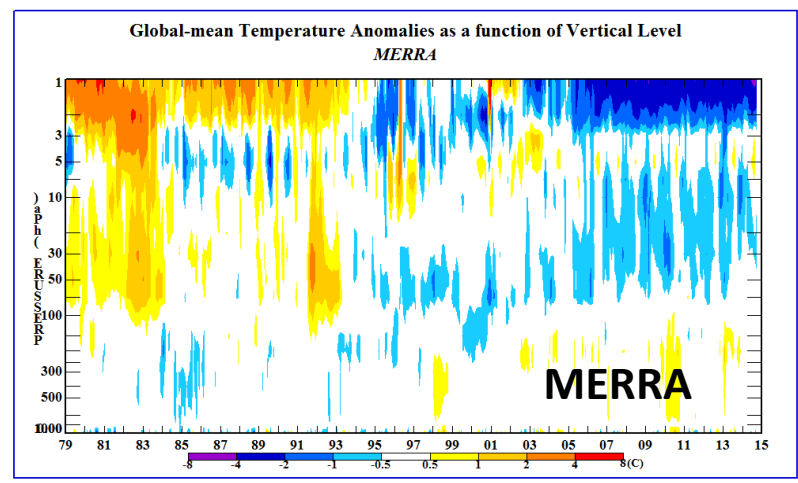
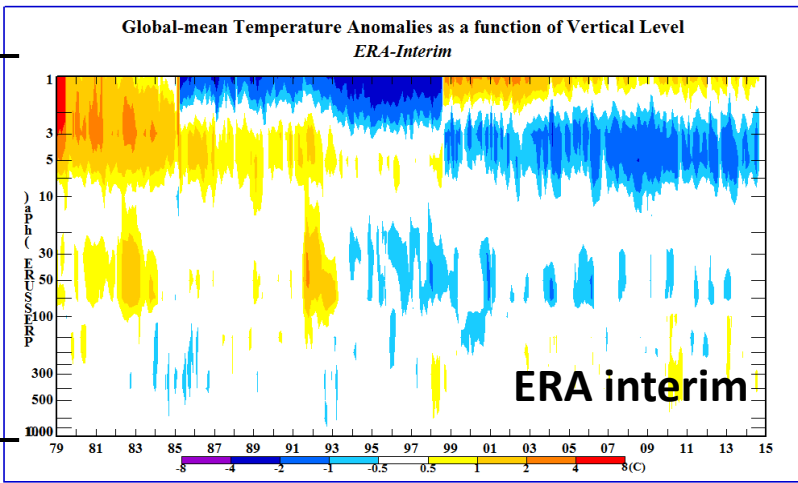
- Radiosondes and satellites primarily intended for weather forecasting, not climate monitoring
- Historical radiosonde data have artificial cooling biases, but these have been corrected using different techniques
- Long-term temperature changes are small, and correcting/merging data sets is difficult
- Valuable to have different groups evaluate and homogenize data sets (examples: radiosondes and MSU satellite data, and now SSU)
- Upper stratosphere satellite data (SSU) still a work in progress
- Meteorological reanalyses rely on satellite data, and can be affected by the same problems

# Global temperature anomalies from reanalyses

older generation

newer generation

0-50 km

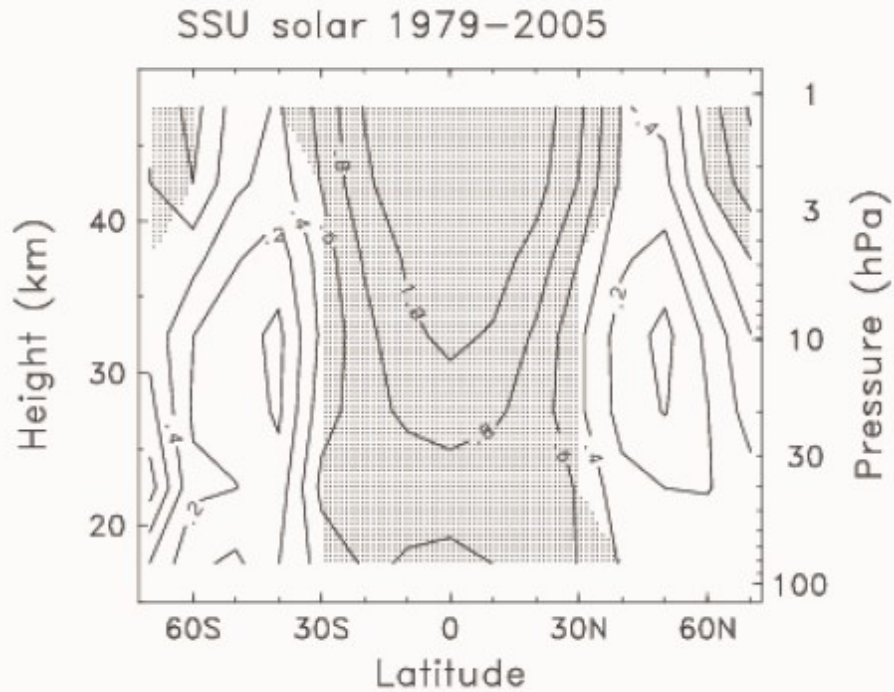


jumps due to satellite changes

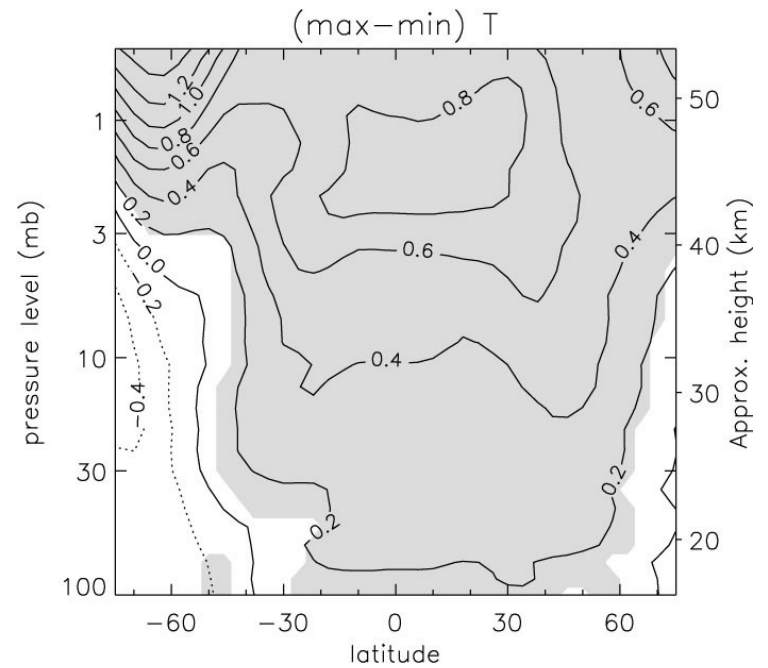
Extra slides

# 11-year solar cycle in temperature derived from SSU data

## Observed

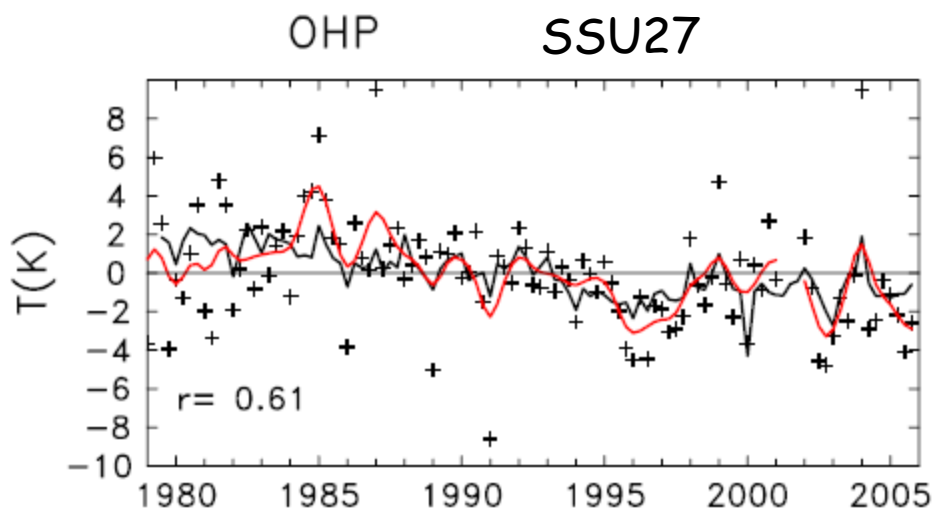


## WACCM model



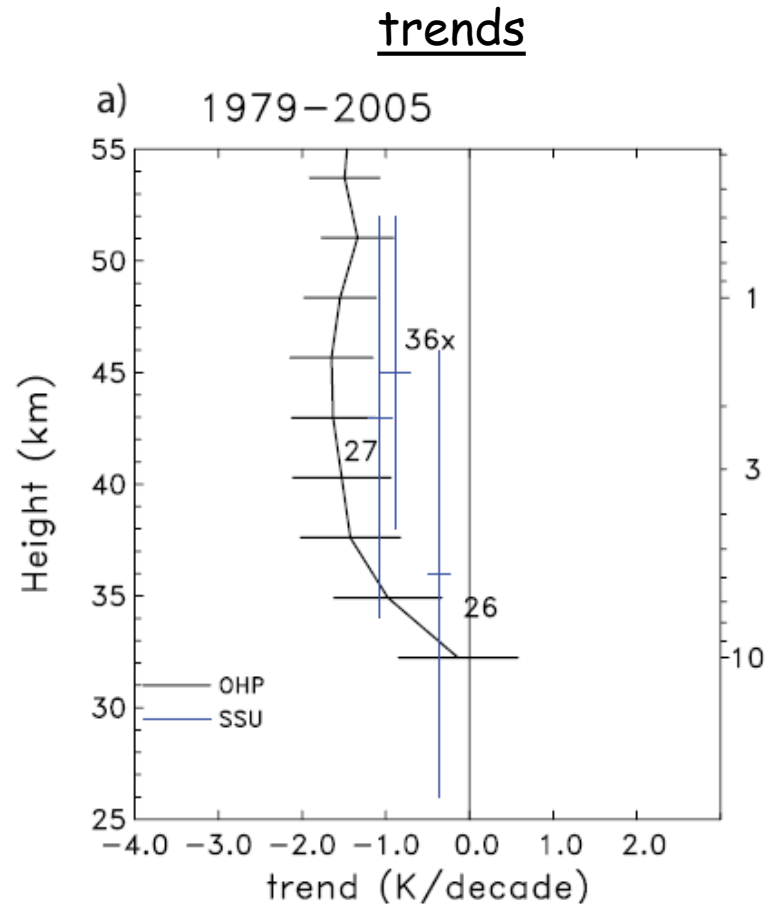
Marsh et al, 2007

## Comparison of SSU data with lidar measurements at OHP



↑  
Seasonal (3-month avg.) anomalies

Randel et al 2009



## Stratospheric water vapor

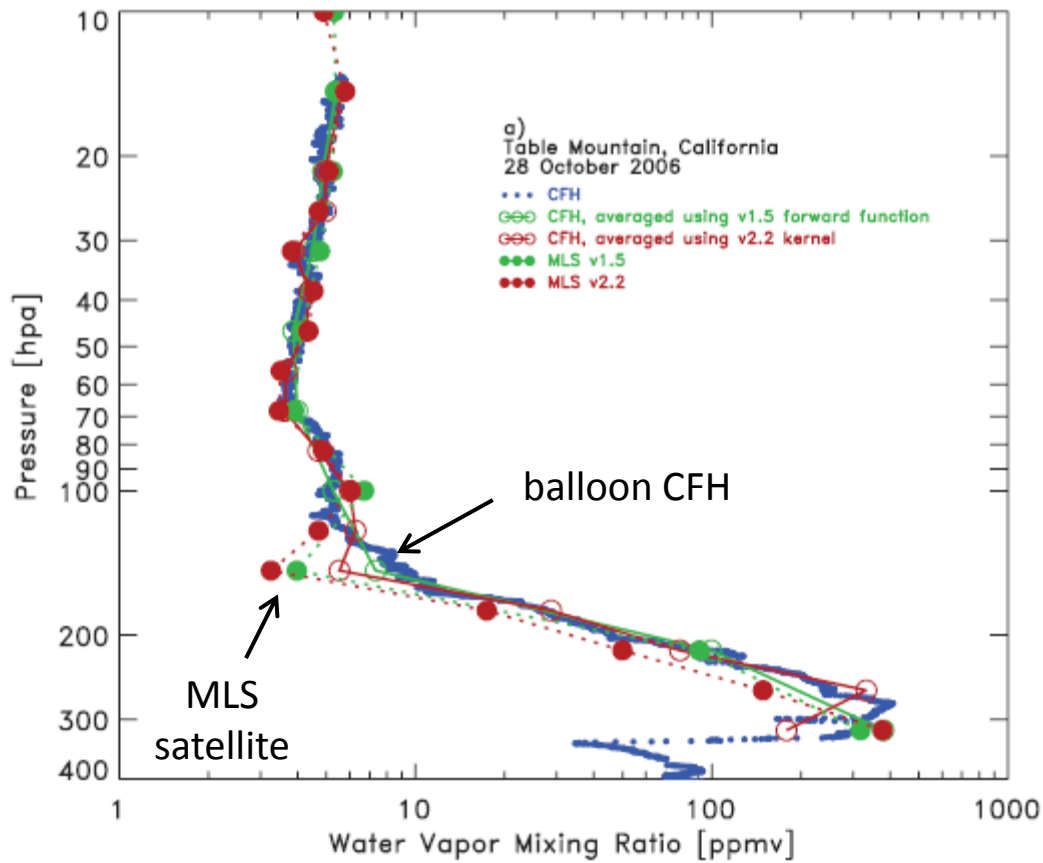
- Measurements of stratospheric H<sub>2</sub>O
- Global variability and seasonal cycle
- Simulations of H<sub>2</sub>O: trajectory models and global models
- Long-term variability, trends and links to tropical tropopause temperatures



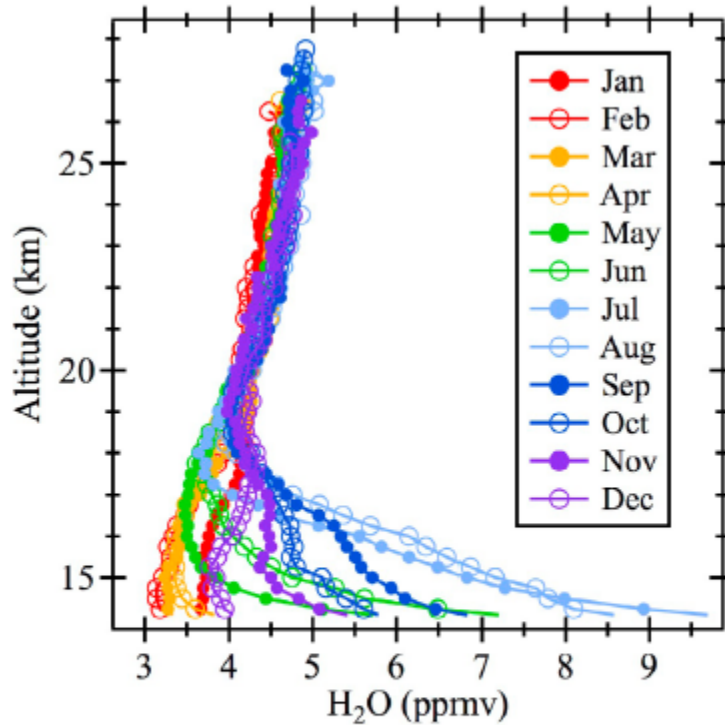
# Measurements of stratosphere water vapor

VÖMEL ET AL.: MLS WATER VAPOR VALIDATION BY CFH

JGR, 2009

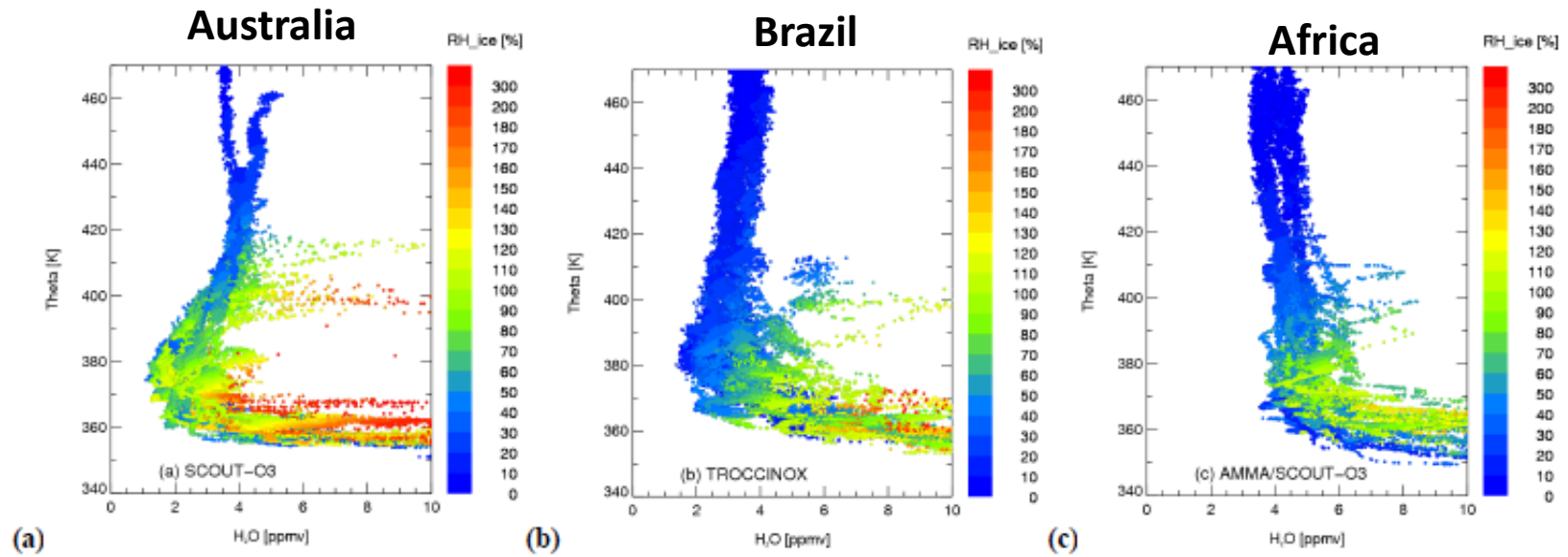


cryogenic  
frostpoint  
hygrometer

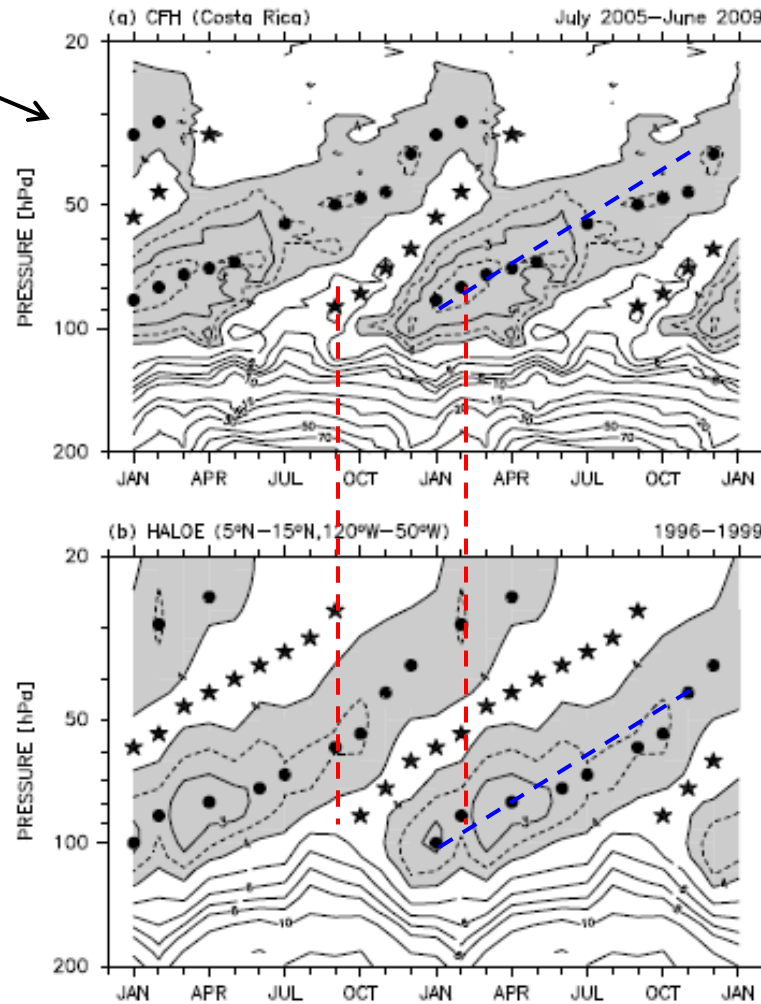
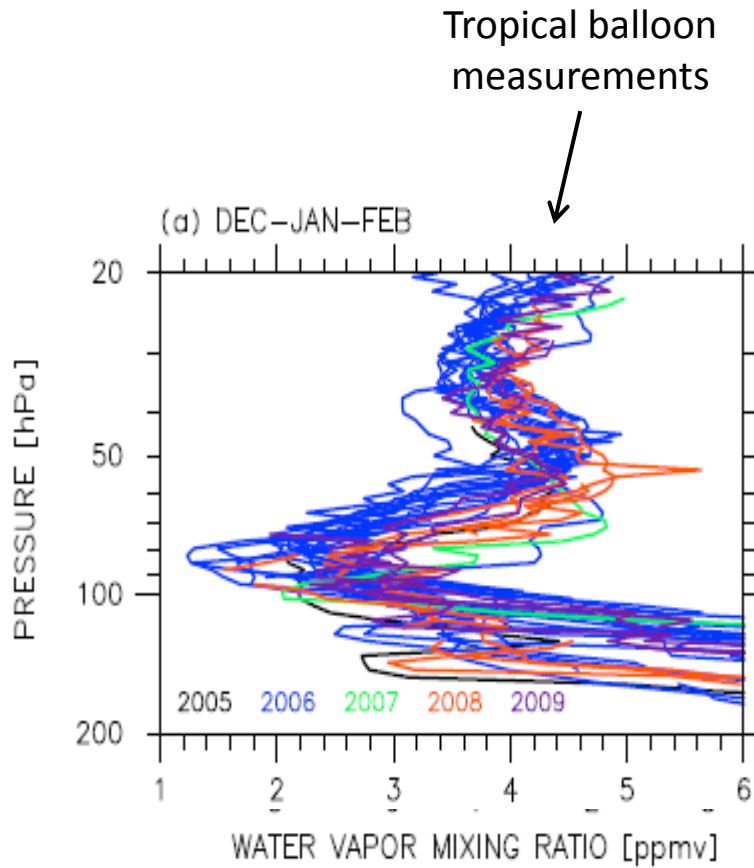


balloon frostpoint  
hygrometer measurements  
at Boulder (40° N)  
1980 – present  
(~ 1 per month)

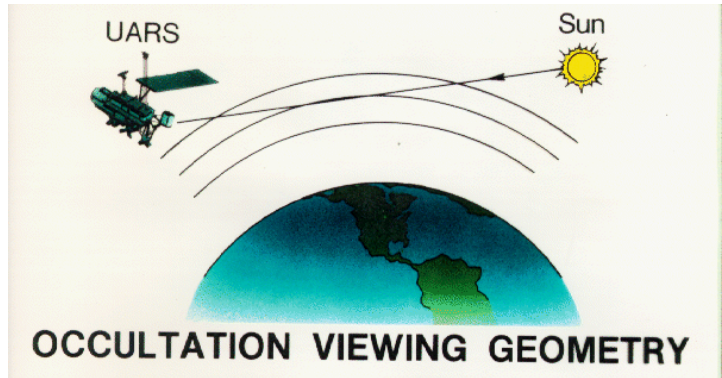
**Figure 3.** Monthly averaged vertical profiles of stratospheric water vapor over Boulder, Colorado. Each average profile is based on 22–37 individual soundings in the specified month during 1980–2010. The seasonal cycle is evident for altitudes <19 km.



**Fig. 2.** Vertical profiles of total water during the tropical aircraft campaigns (a) SCOUT-O3 in Northern Australia (November 2005), (b) TroCCiNOx in Brazil (February 2005), and (c) AMMA/SCOUT-O3 in West Africa (August 2008). Colour code denotes the relative humidity with respect to ice.

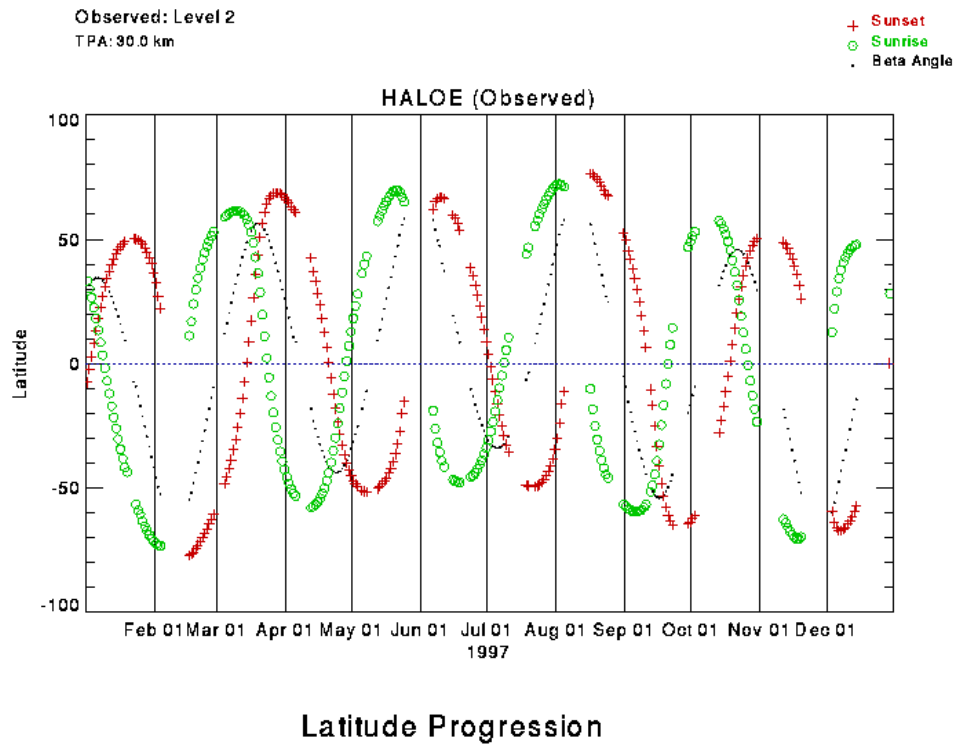


# HALOE solar occultation Measurements



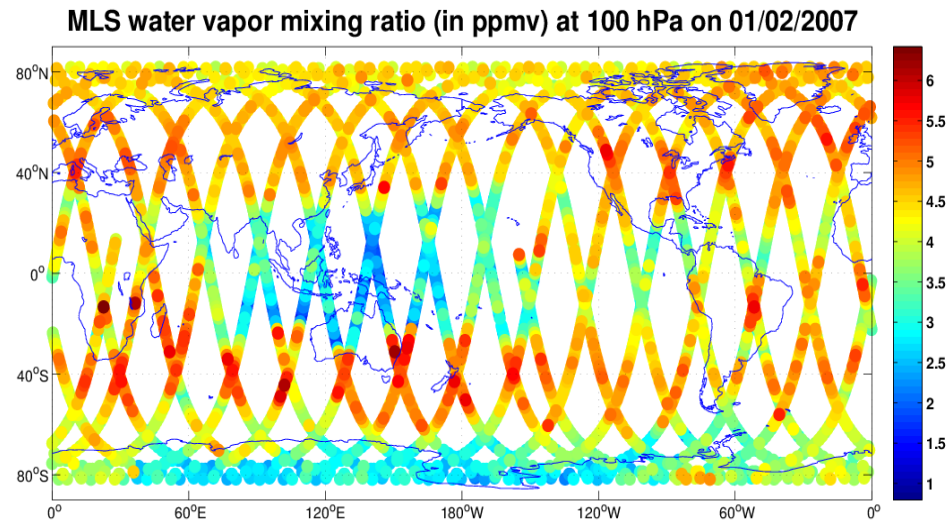
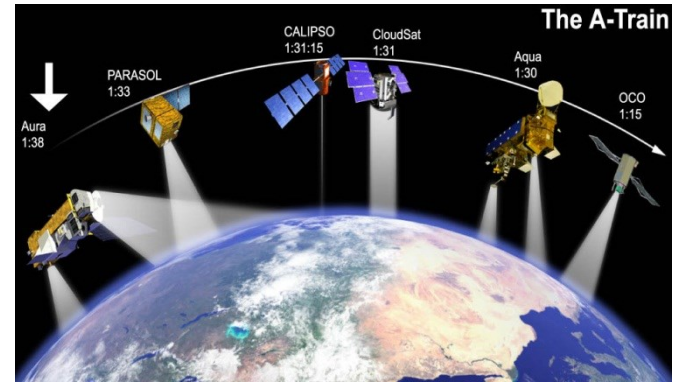
- Good vertical resolution  $\sim 2$  km
- Limited space-time sampling
- Observations 1992-2005

HALOE sampling for one year



# Aura Microwave Limb Sounder (MLS)

- Vertical resolution  $\sim 3$  km
- Daily global sampling
- Observations 2004-present



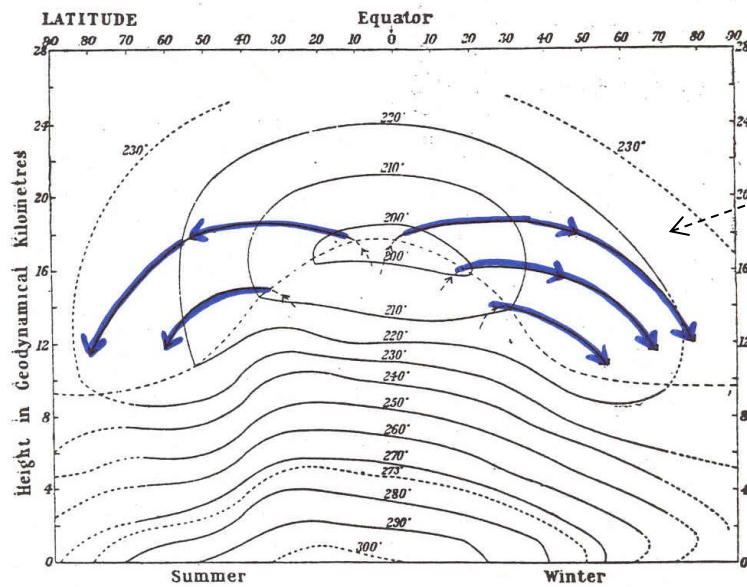
MLS daily  
orbital data



# EVIDENCE FOR A WORLD CIRCULATION PROVIDED BY MEASUREMENTS OF HELIUM AND WATER VAPOUR DISTRIBUTION IN THE STRATOSPHERE

By A. W. BREWER, M.Sc., A.Inst.P.

QJRMS, 1949



Isotherms over the Globe

FIG. 5. A supply of dry air is maintained by a slow mean circulation from the equatorial tropopause.

The stratosphere is extremely dry because air is dehydrated passing the cold tropical tropopause

Workshop on Brewer-Dobson circulation, Oxford University, December 1999





# EVIDENCE FOR A WORLD CIRCULATION PROVIDED BY MEASUREMENTS OF HELIUM AND WATER VAPOUR DISTRIBUTION IN THE STRATOSPHERE

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QJRMS, 1949

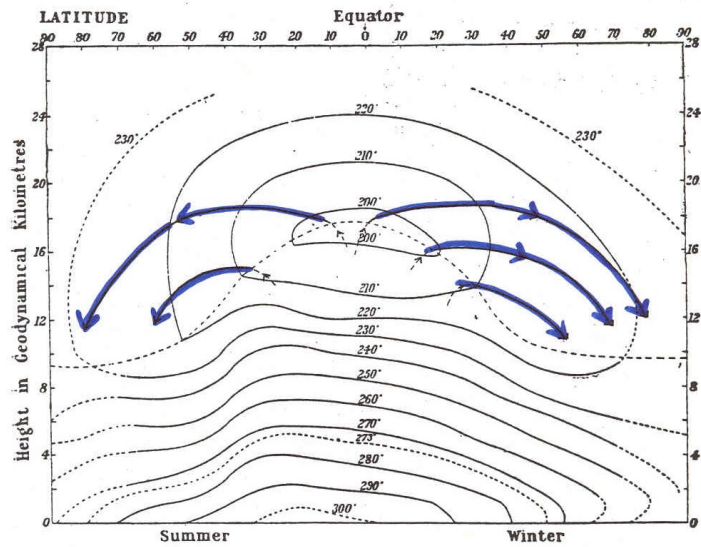
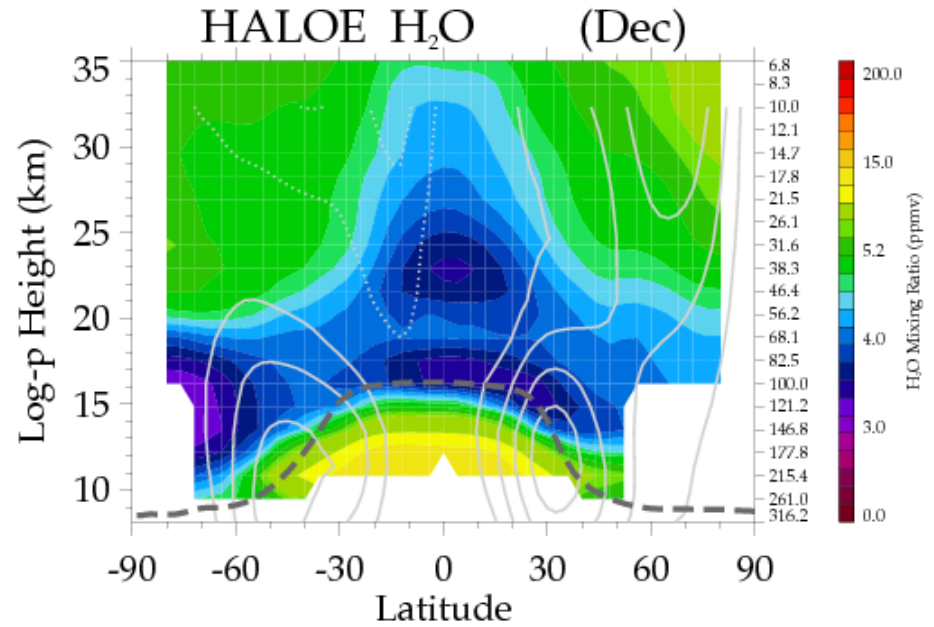
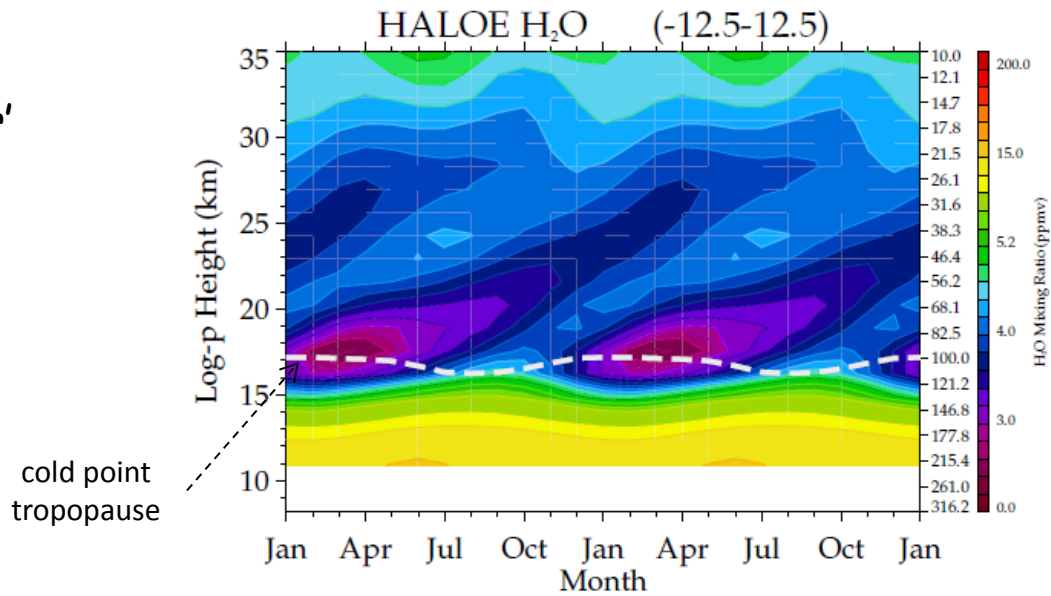


FIG. 5. A supply of dry air is maintained by a slow mean circulation from the equatorial tropopause.

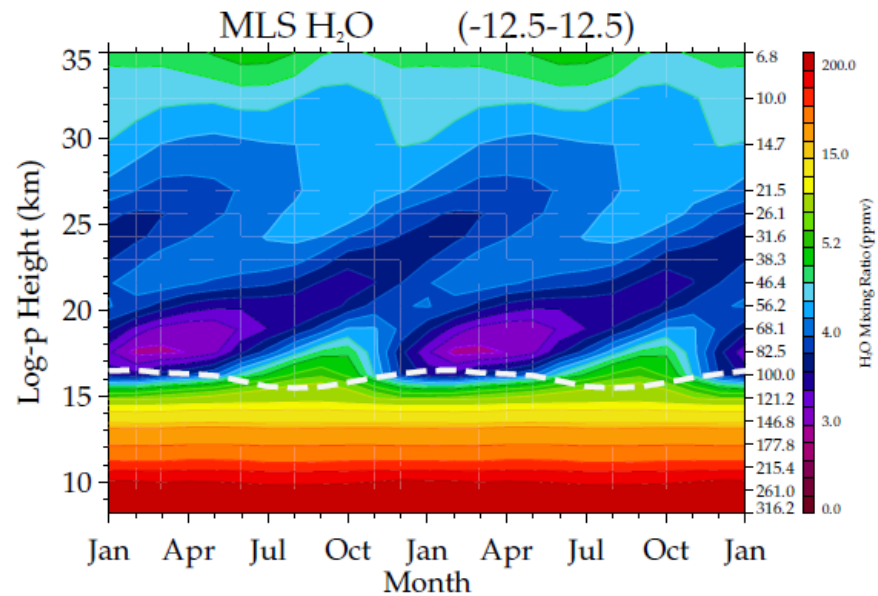
## HALOE global climatology



Climatological  
'tape recorder'

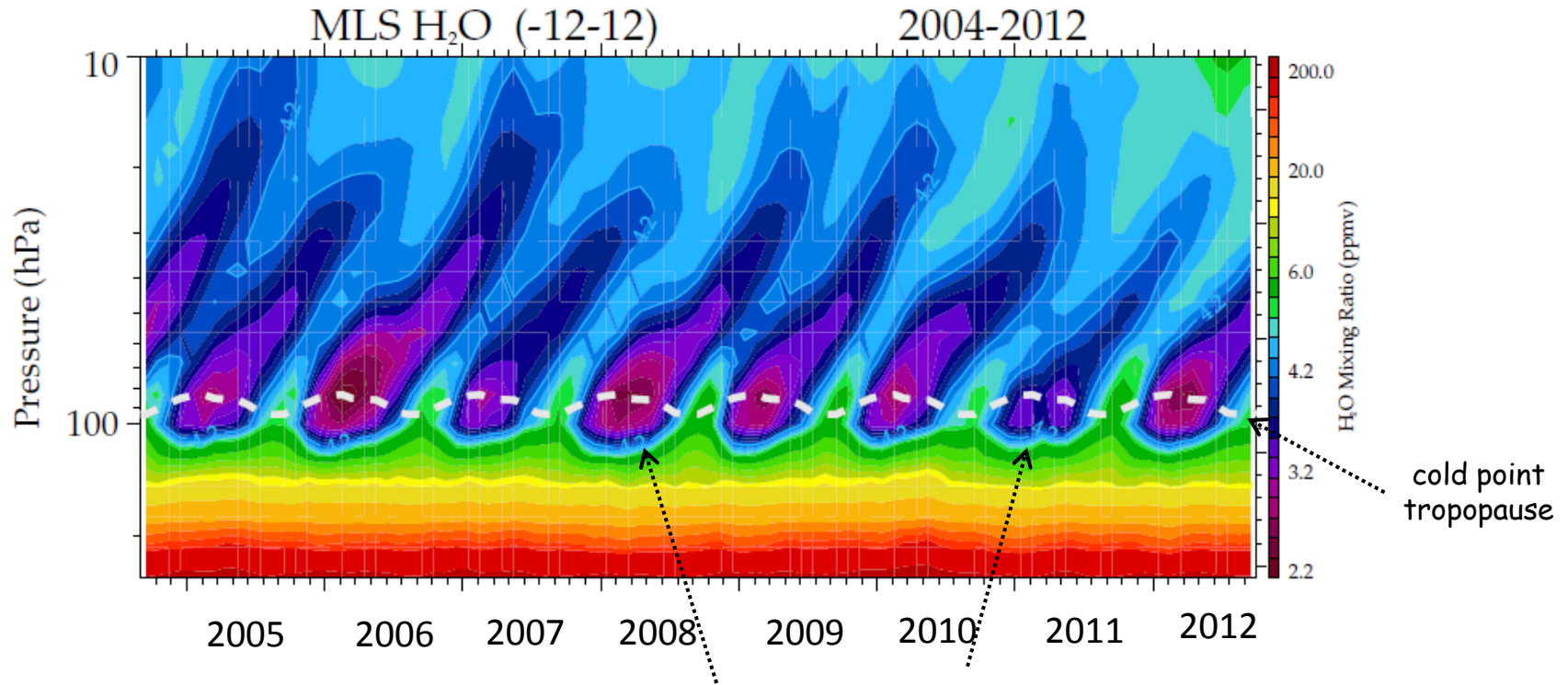


HALOE



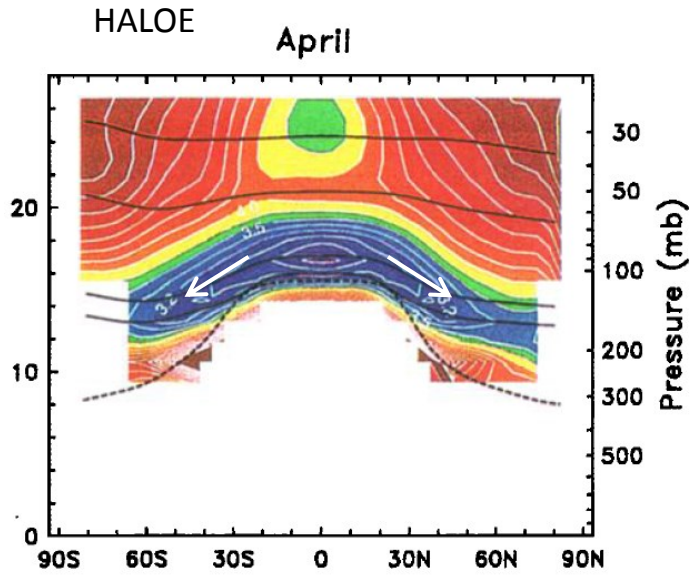
MLS

# Tropical tape recorder observed by MLS 2004-2012

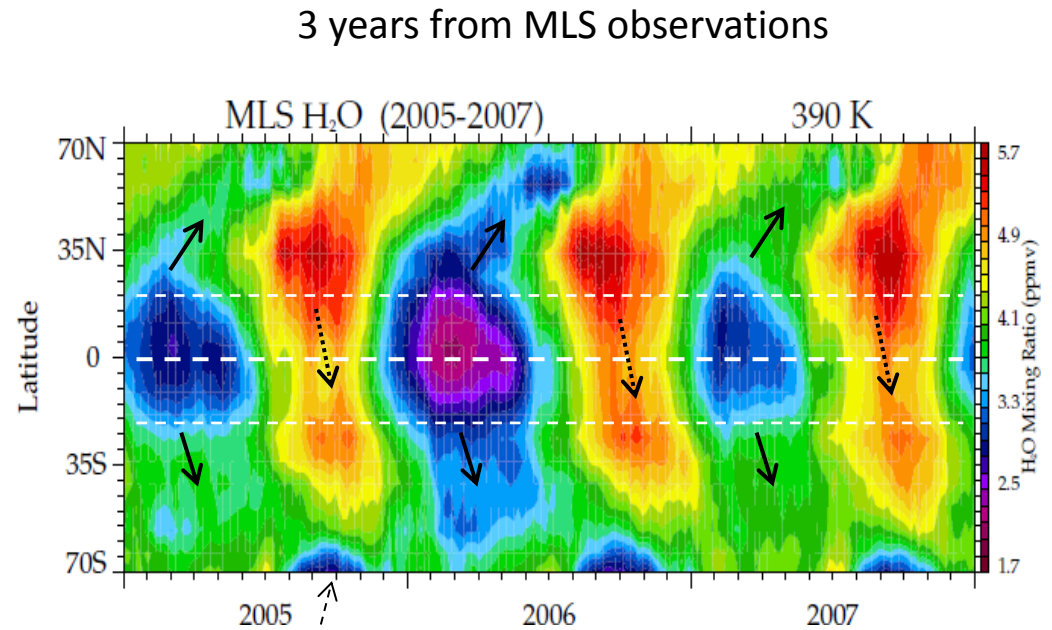


Interannual variations in tropopause temperature reflected in H<sub>2</sub>O

# Lower stratosphere horizontal tape recorder 390 K



↑  
quasi-horizontal transport  
in lower stratosphere, approximately  
following 400 K isentrope

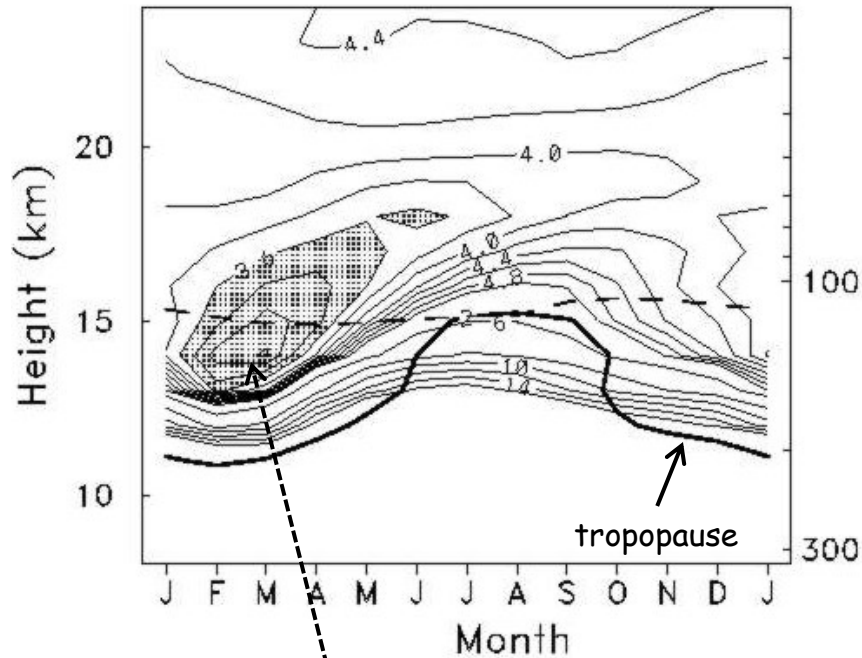


↑  
dehydration in  
Antarctic polar vortex

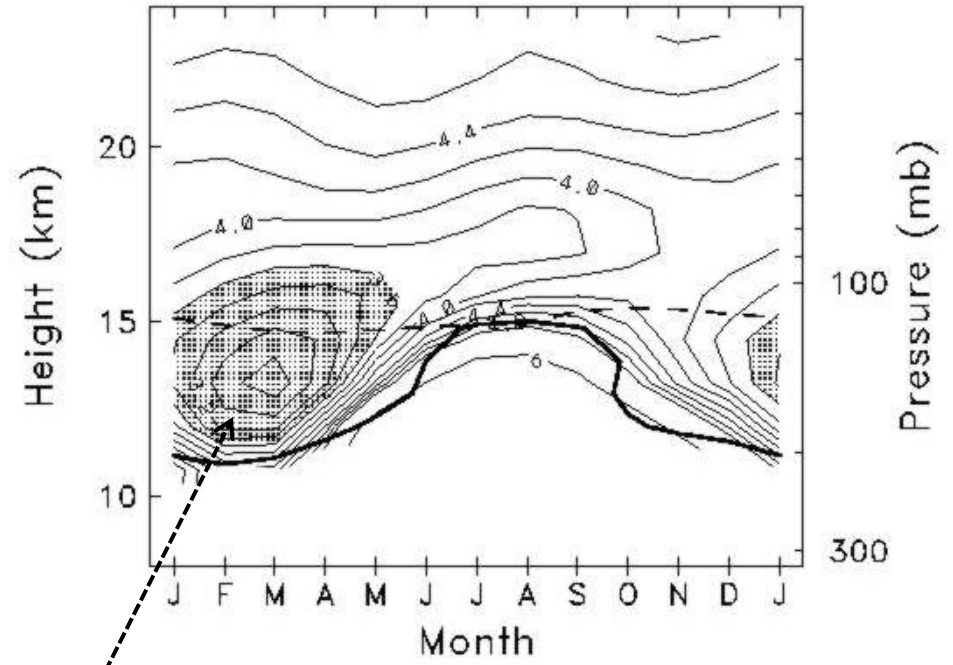
Tropical dehydration  
zone is ~20 N-S

# Climatology at Boulder (40° N)

## Balloon



## HALOE

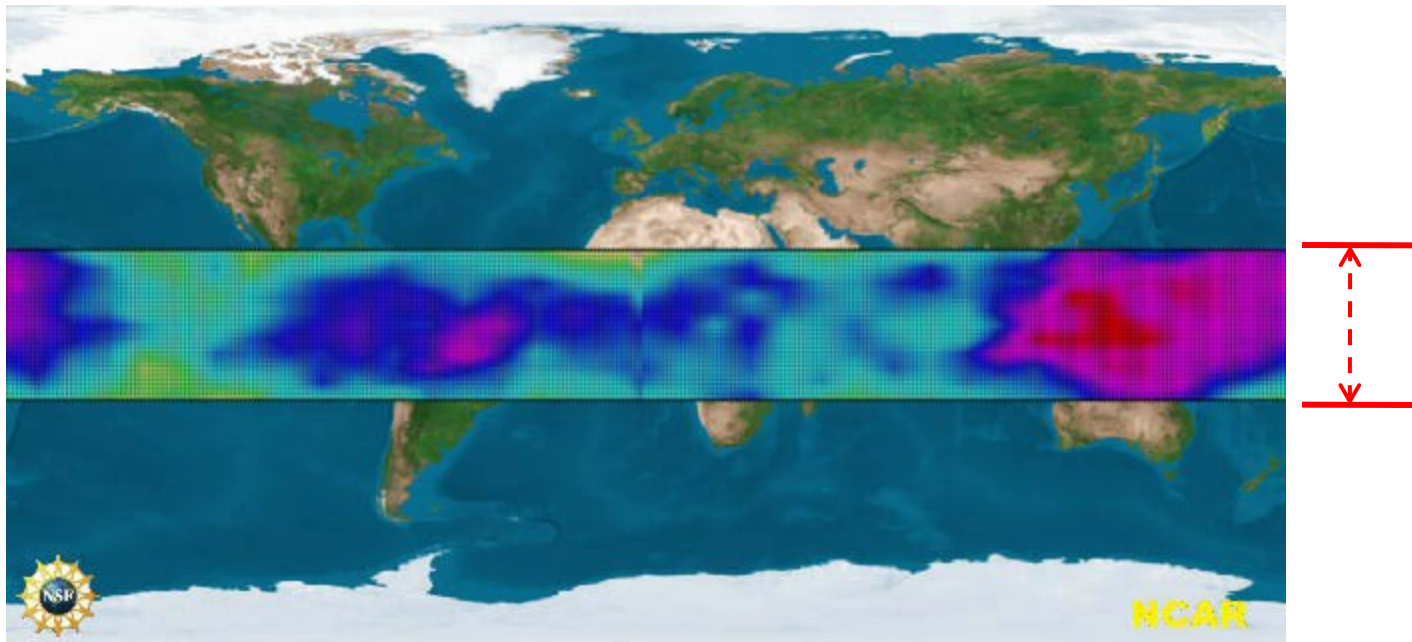


seasonal minimum due to transport from tropics



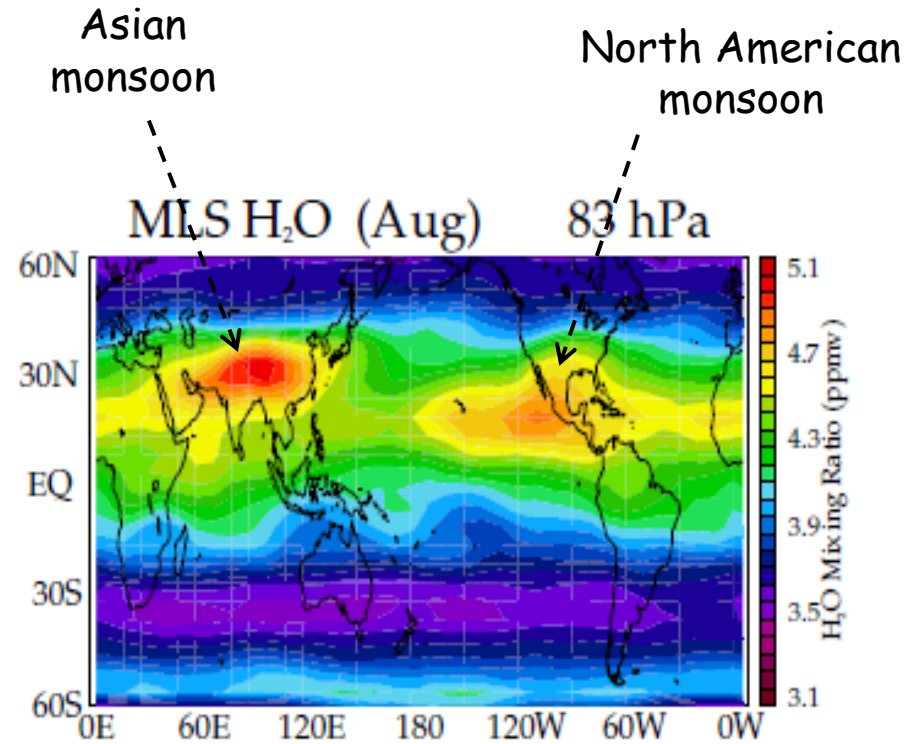
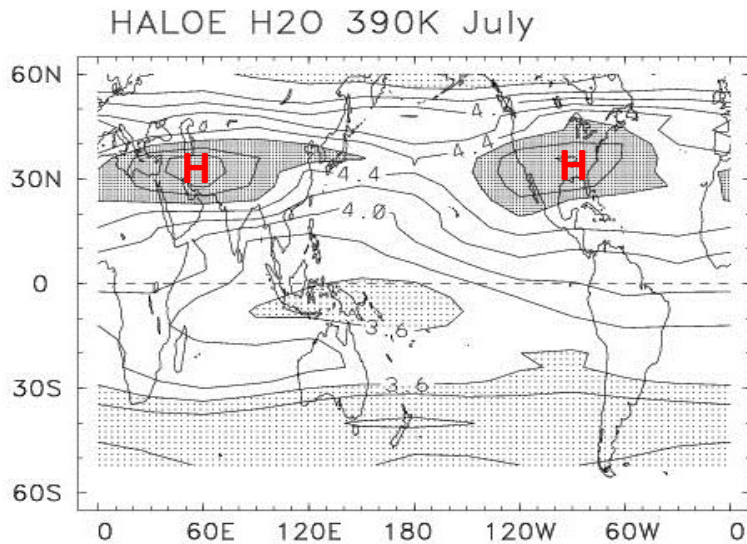
# Trajectory simulation of transport on 400 K isentrope

calculations for June-August 2001



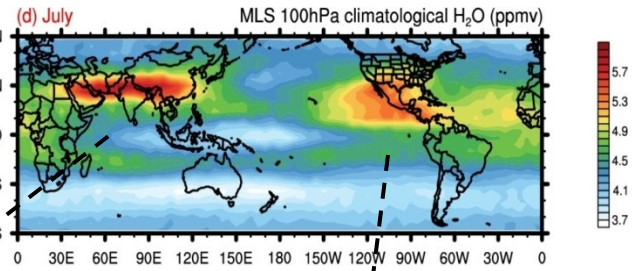
# Summertime lower stratosphere maxima linked to monsoon circulations

## HALOE climatology

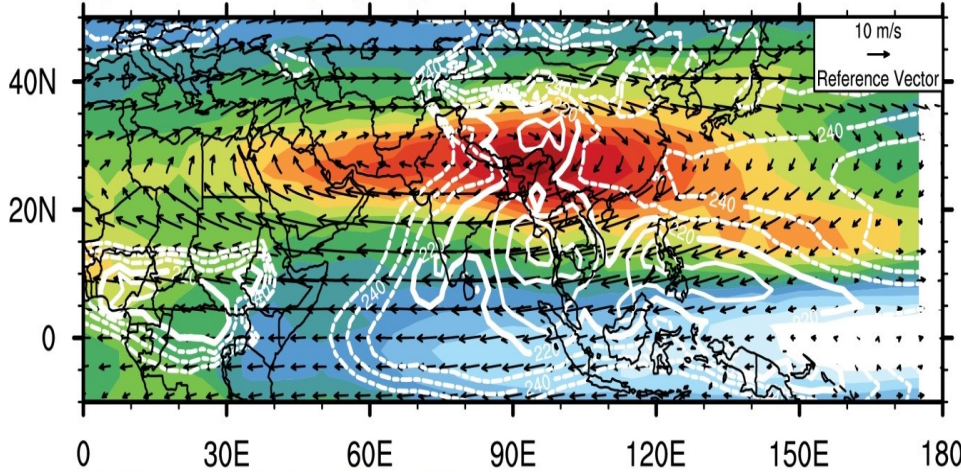


# Climatological circulation and OLR

## MLS climatology



(a) Asian monsoon (May-Sep)

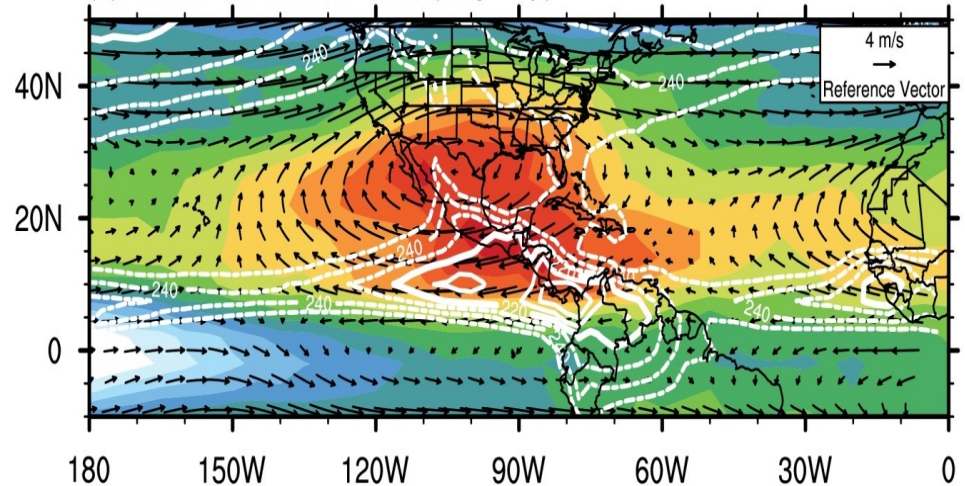


monsoon anticyclones,  
much stronger over Asia

white lines: OLR (deep convection)

100 hPa H<sub>2</sub>O aligned  
more with circulation  
than with deep convection

(a) North American monsoon (May-Sep)

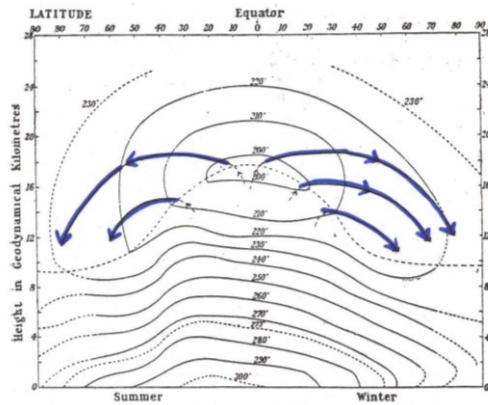




# Trajectory simulations of seasonal cycle

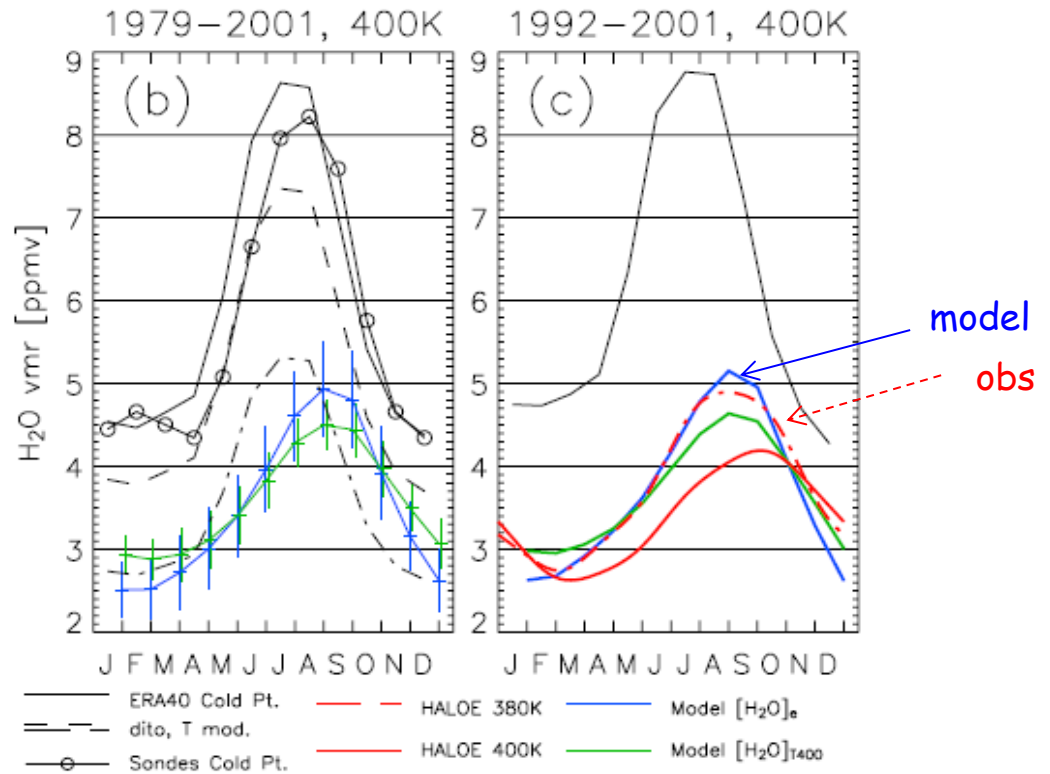
← so-called advection-condensation paradigm

\* dehydration at Lagrangian cold point \*



Brewer, 1949

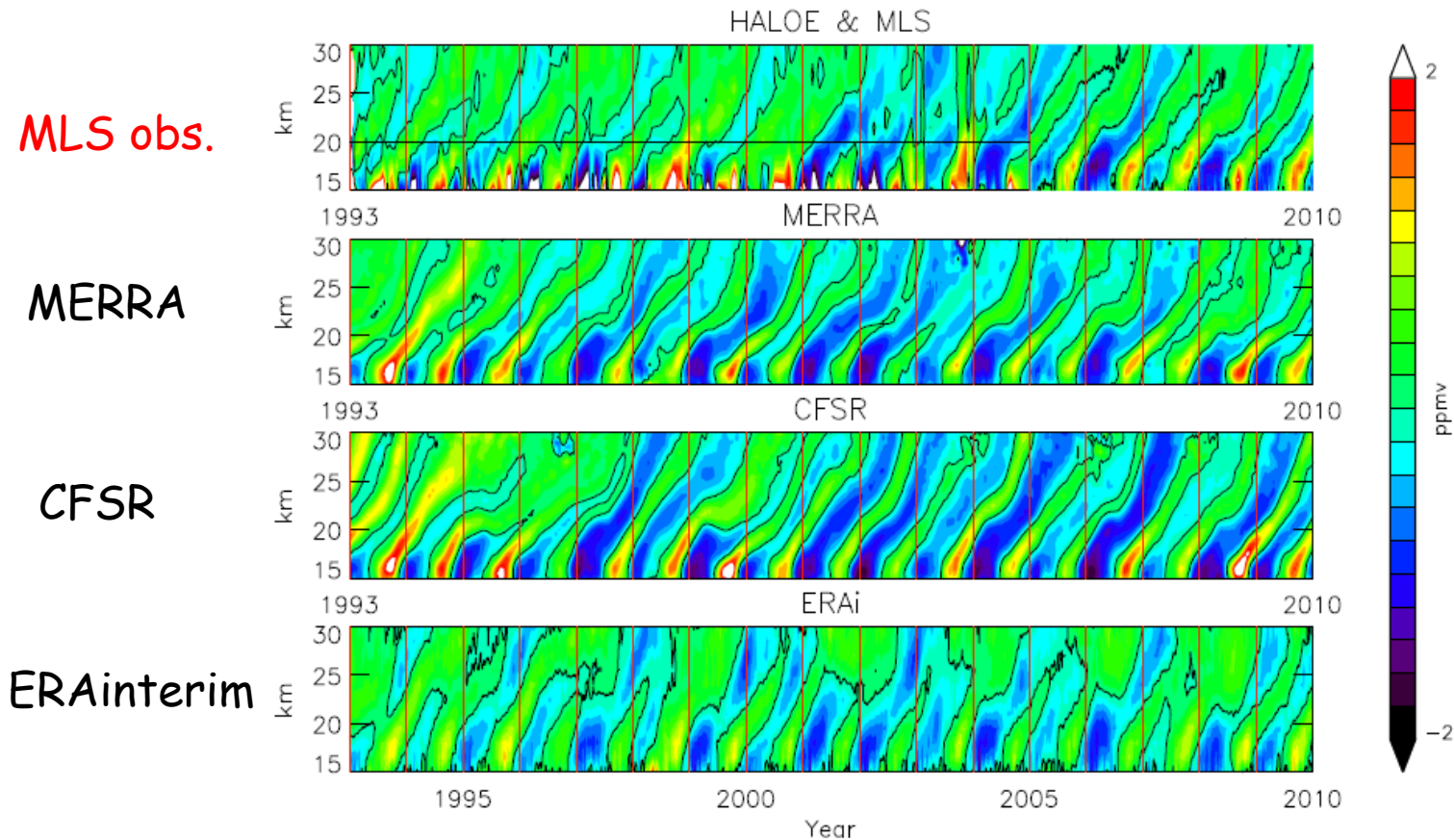
Note that results are sensitive to many details of the calculations: kinematic vs. diabatic trajectories, temperature data, supersaturation,....



Fueglistaler et al 2005 JGR  
also Liu, Fueglistaler, Haynes, JGR 2010

# Trajectory calculations based on different data sets

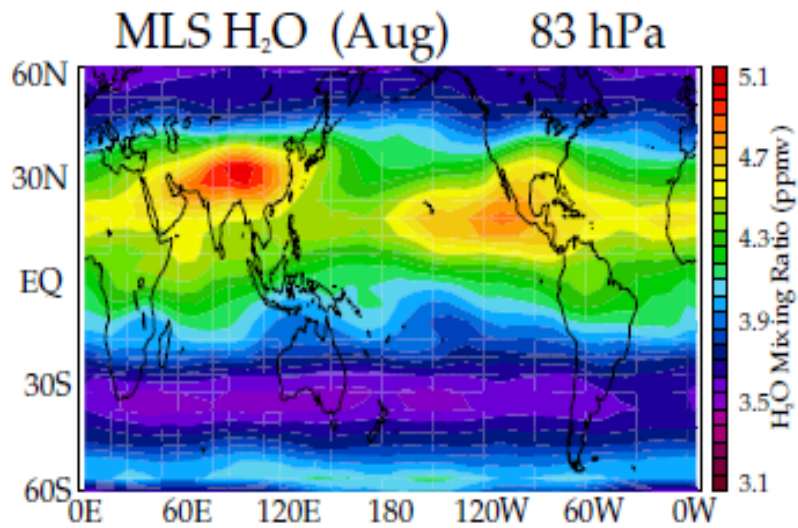
Schoeberl et al 2012 ACP



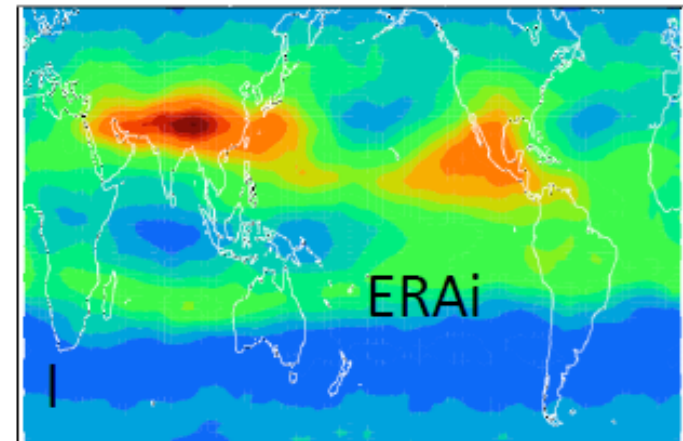
Details are sensitive to the meteorological data

# Water vapor in summer monsoons simulated in trajectory models

observations



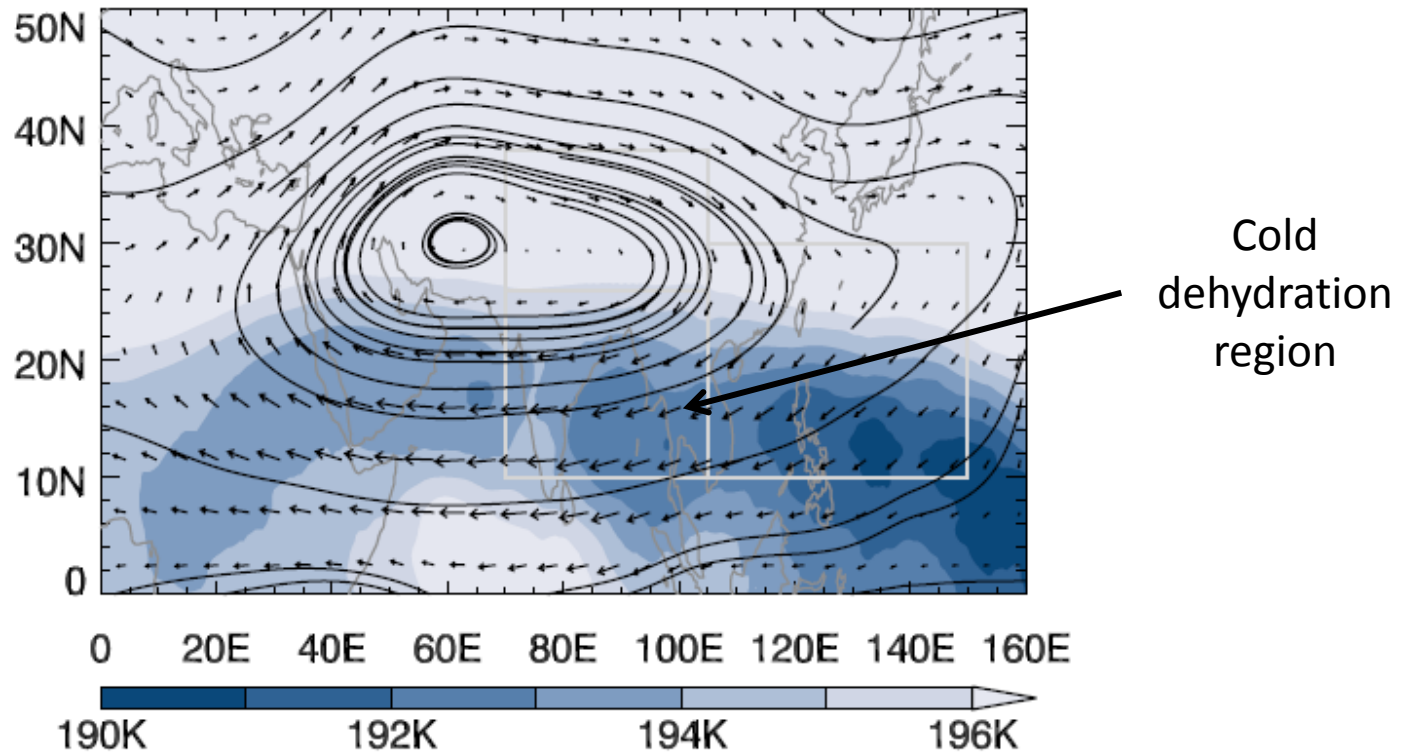
trajectory model



Schoeberl et al 2013

# Trajectory simulation of dehydration in Asian monsoon

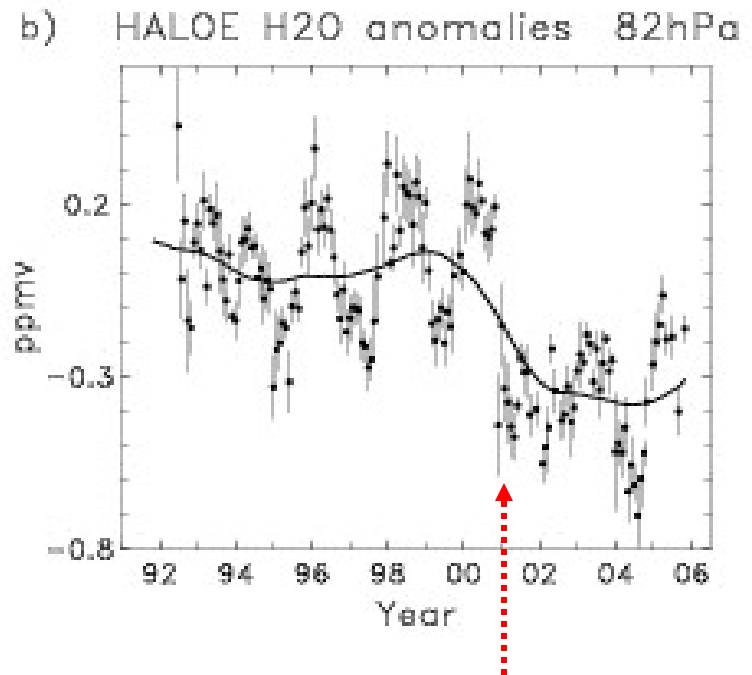
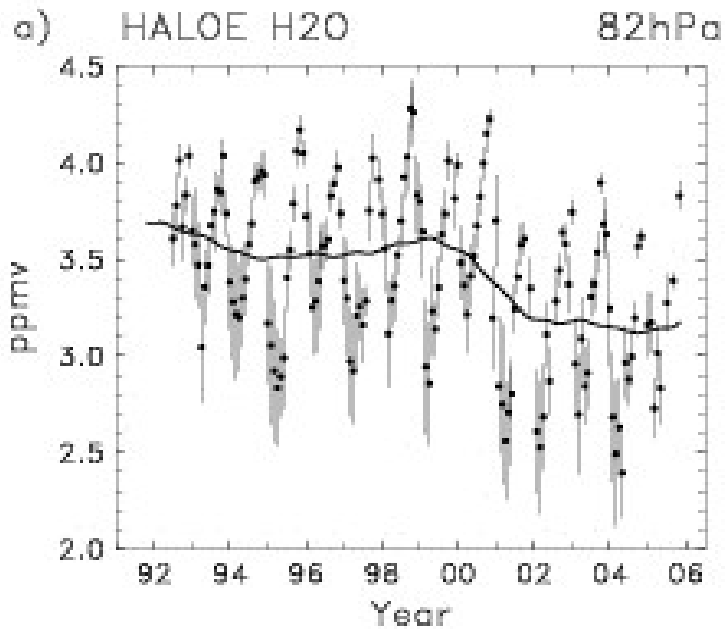
Wright et al 2011 JGR



## Interannual changes in stratospheric water vapor

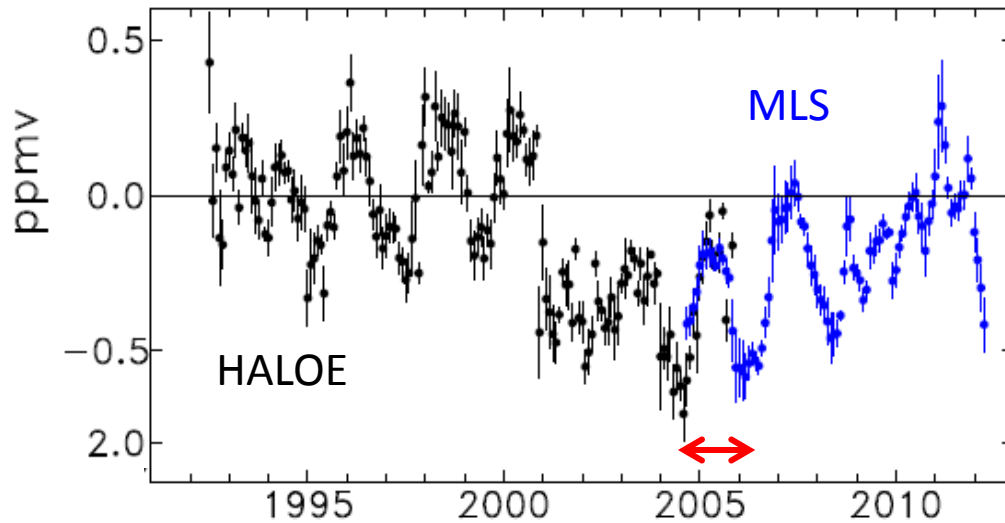
HALOE global mean, 82 hPa

deseasonalized



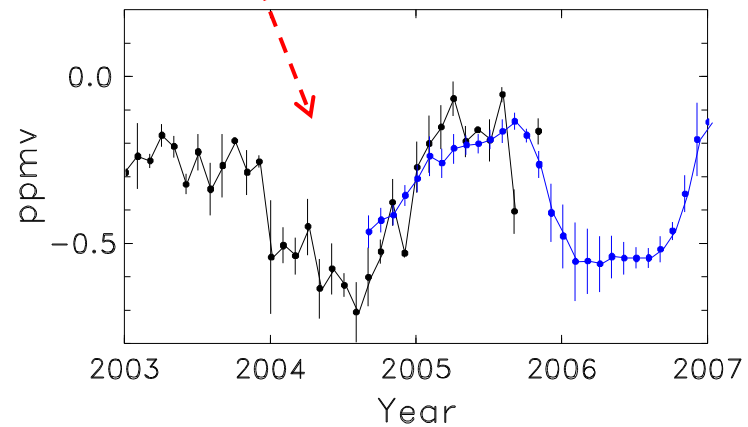
decrease after 2001

# Extending the satellite record: HALOE + Aura MLS data



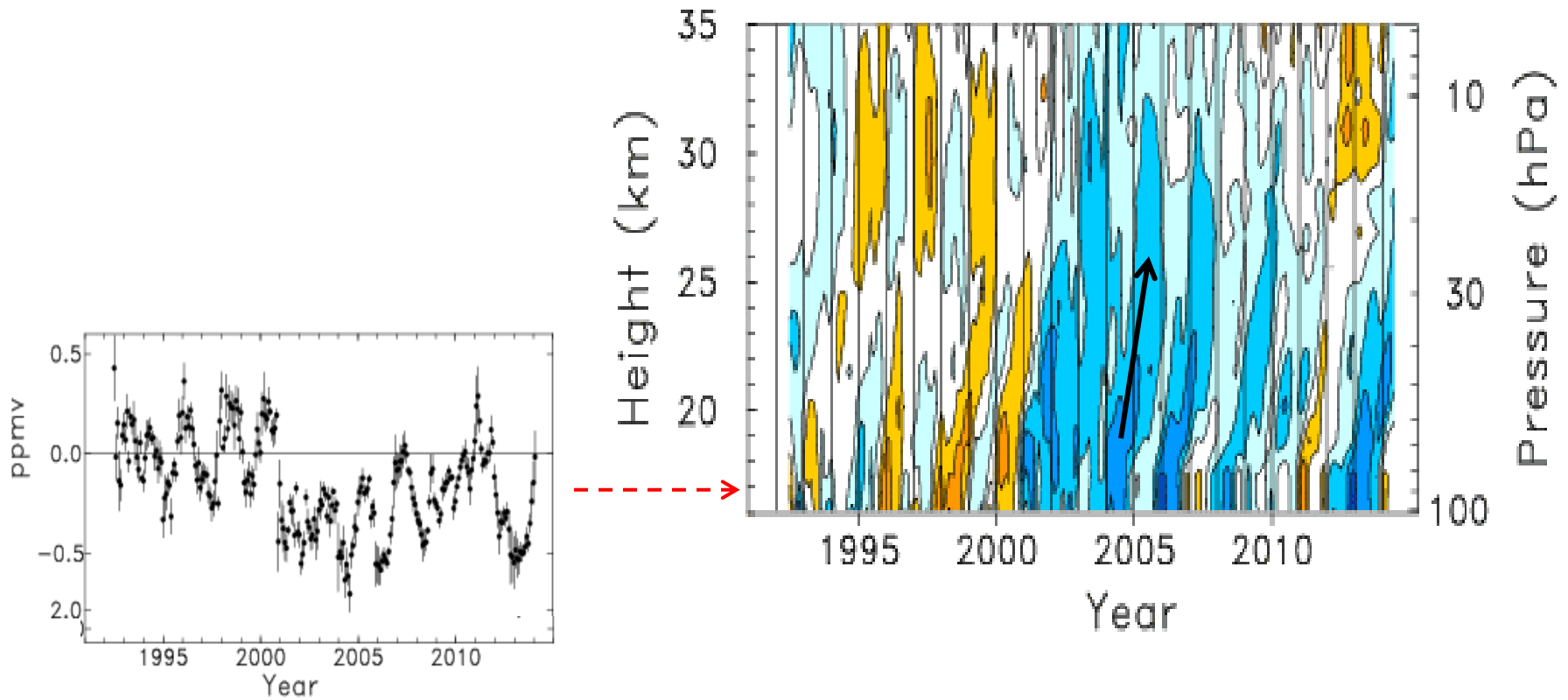
← Variability tied to the QBO. What else?

overlap during  
2004-2005

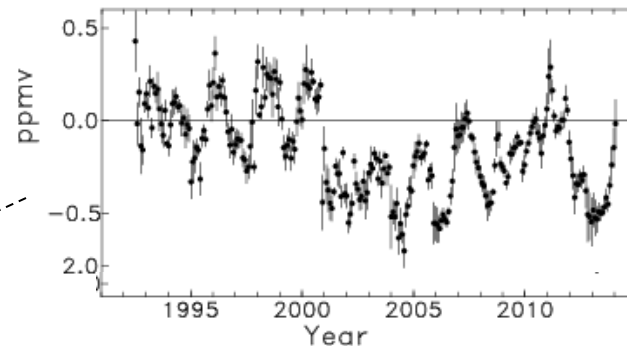
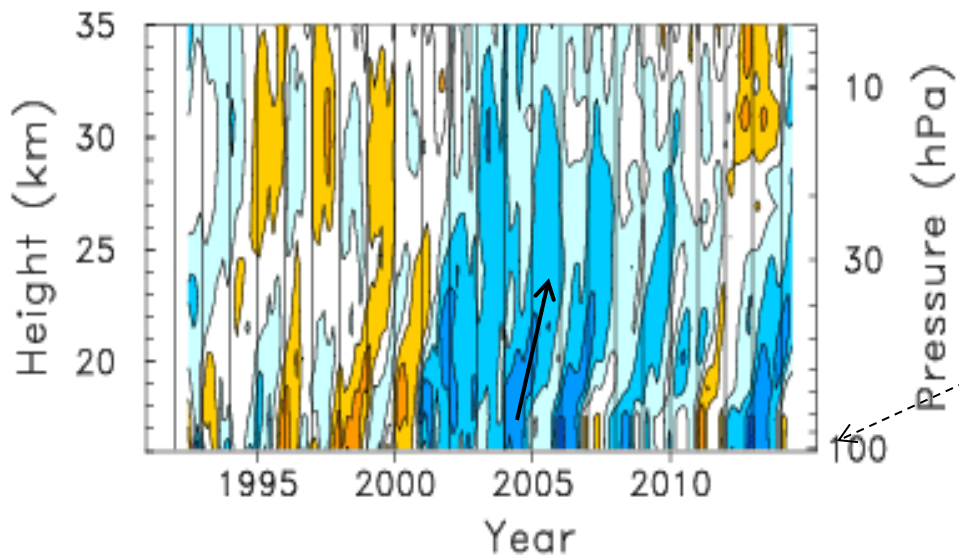


H<sub>2</sub>O anomalies originate near the tropical tropopause, and propagate coherently with time

vertical propagation

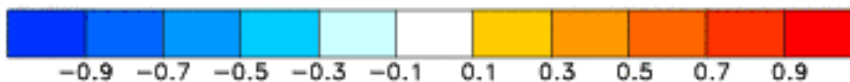
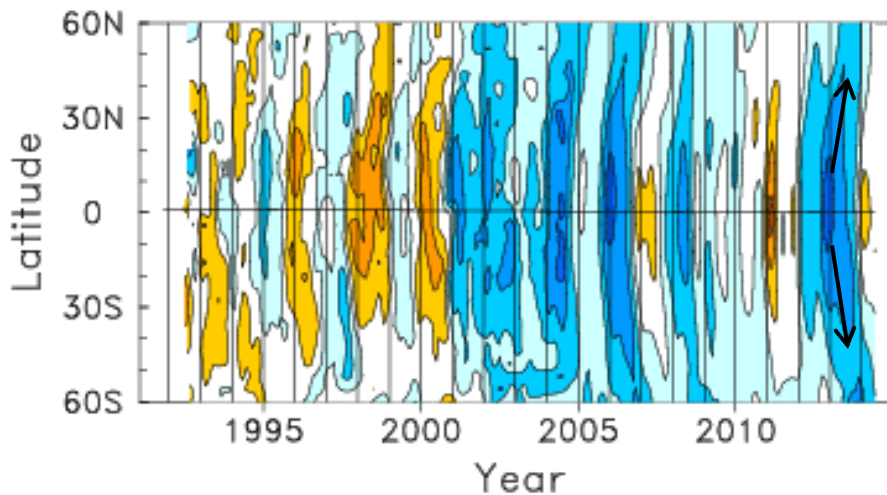


vertical propagation



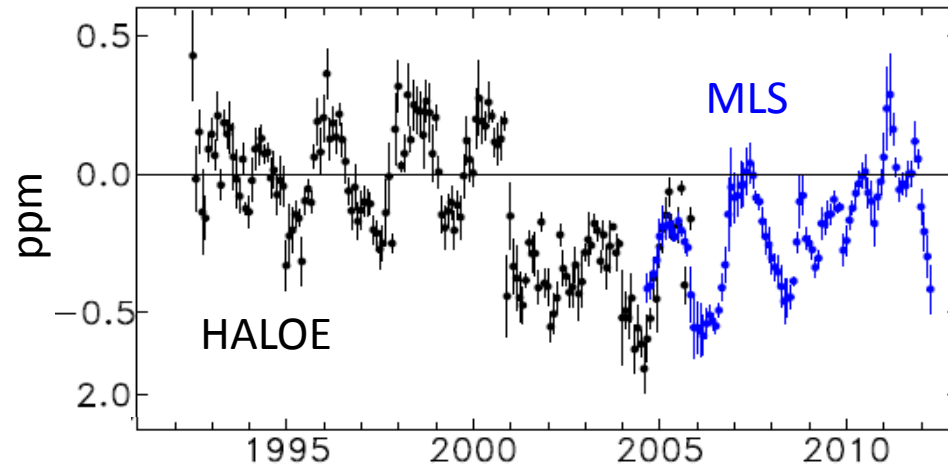
latitudinal propagation from tropics

HALOE H<sub>2</sub>O anomalies 82hPa

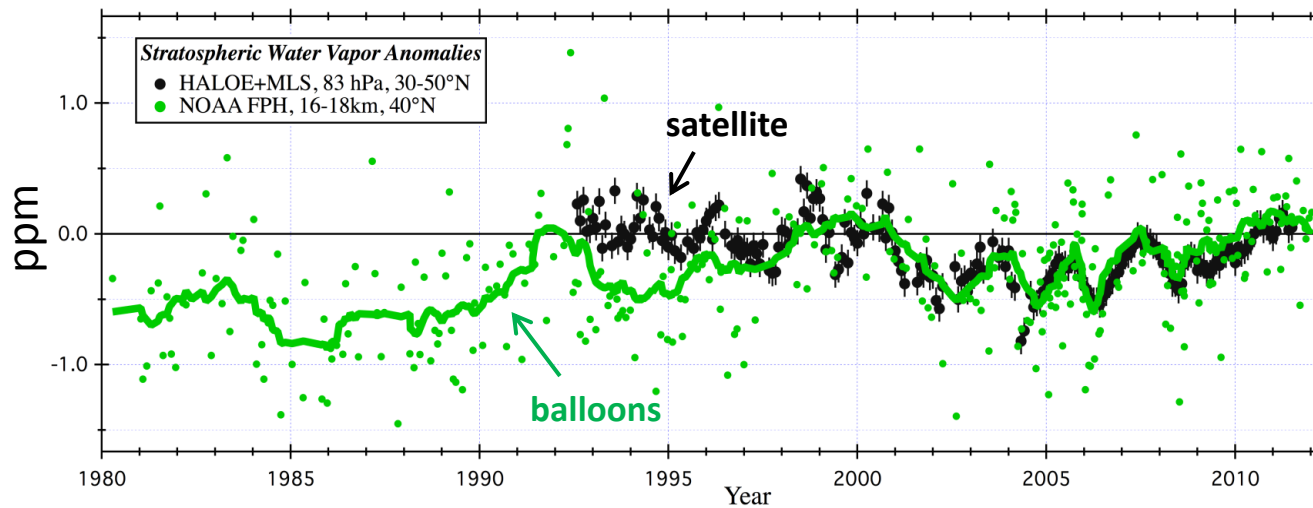




# Comparisons with the Boulder balloon record

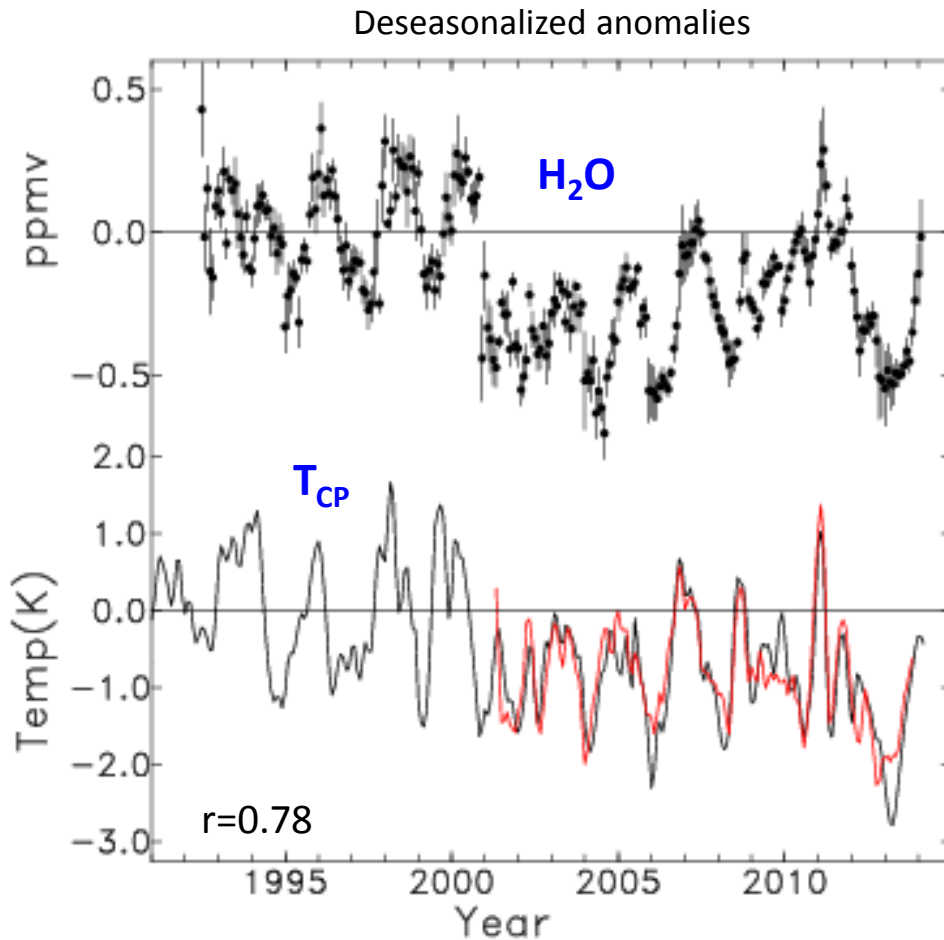


near-global



measurements  
near Boulder (40° N)

# Correlated variations in stratospheric H<sub>2</sub>O and cold point temperatures



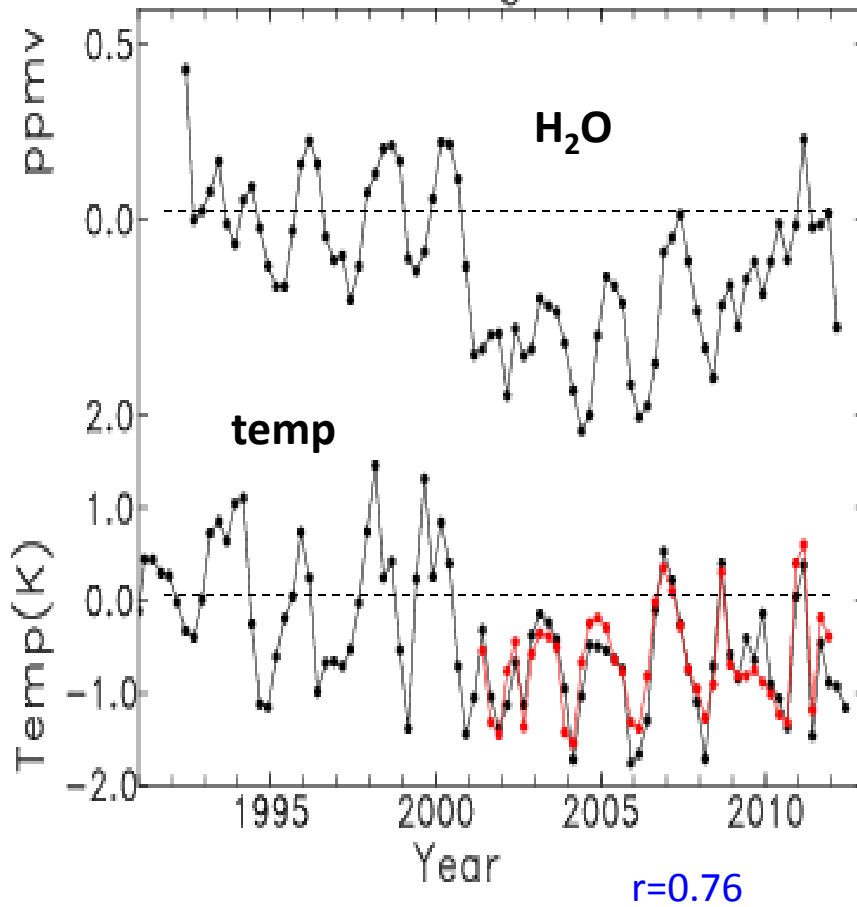
most recent plot, updated through 2013

near-global mean (60° N-S)  
water vapor at 82 hPa  
from combined HALOE-MLS data

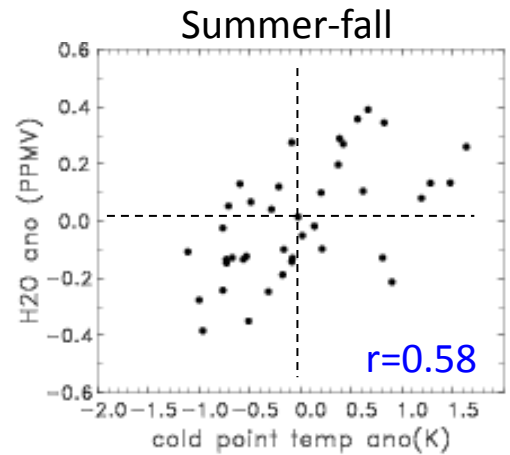
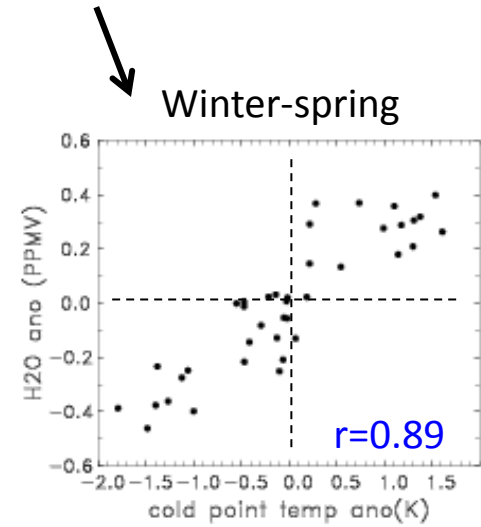
cold-point tropical  
tropopause temperatures

black: radiosondes  
red: GPS (after 2001)

Same data, 3-month averages

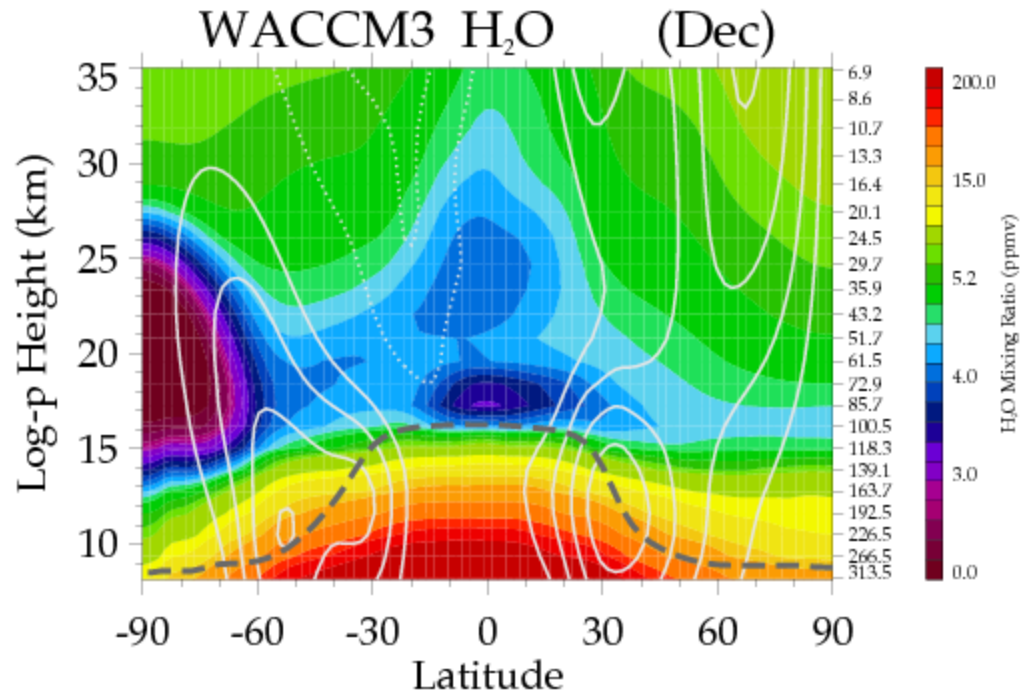


Very strong correlation during cold season

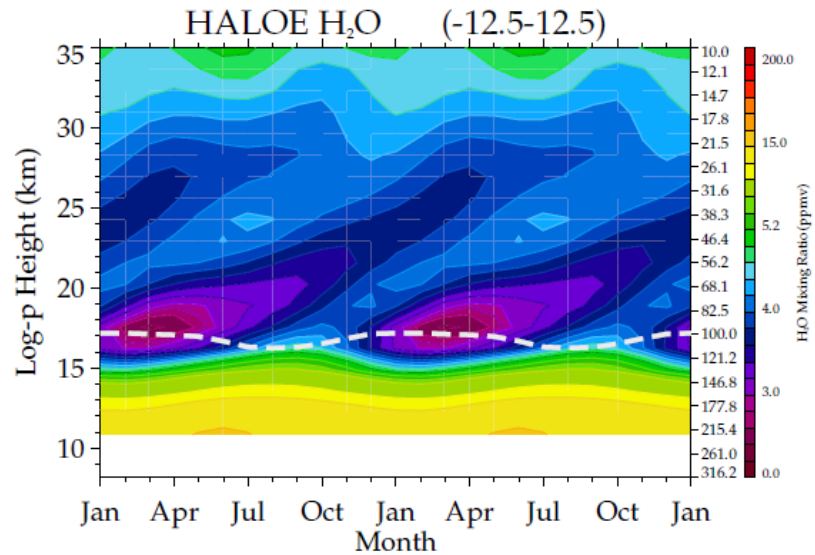


Seasonal correlations

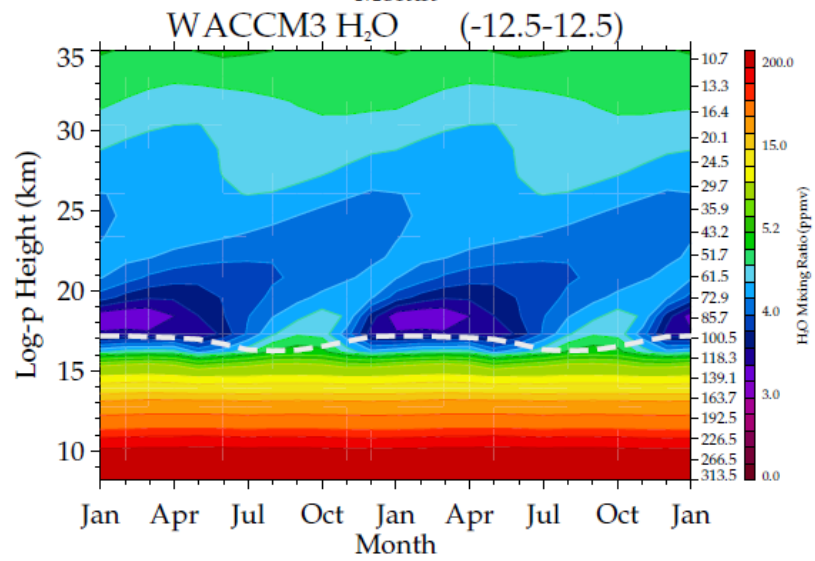
# Chemistry-climate model simulations from WACCM



'tape recorder'  
HALOE vs. WACCM

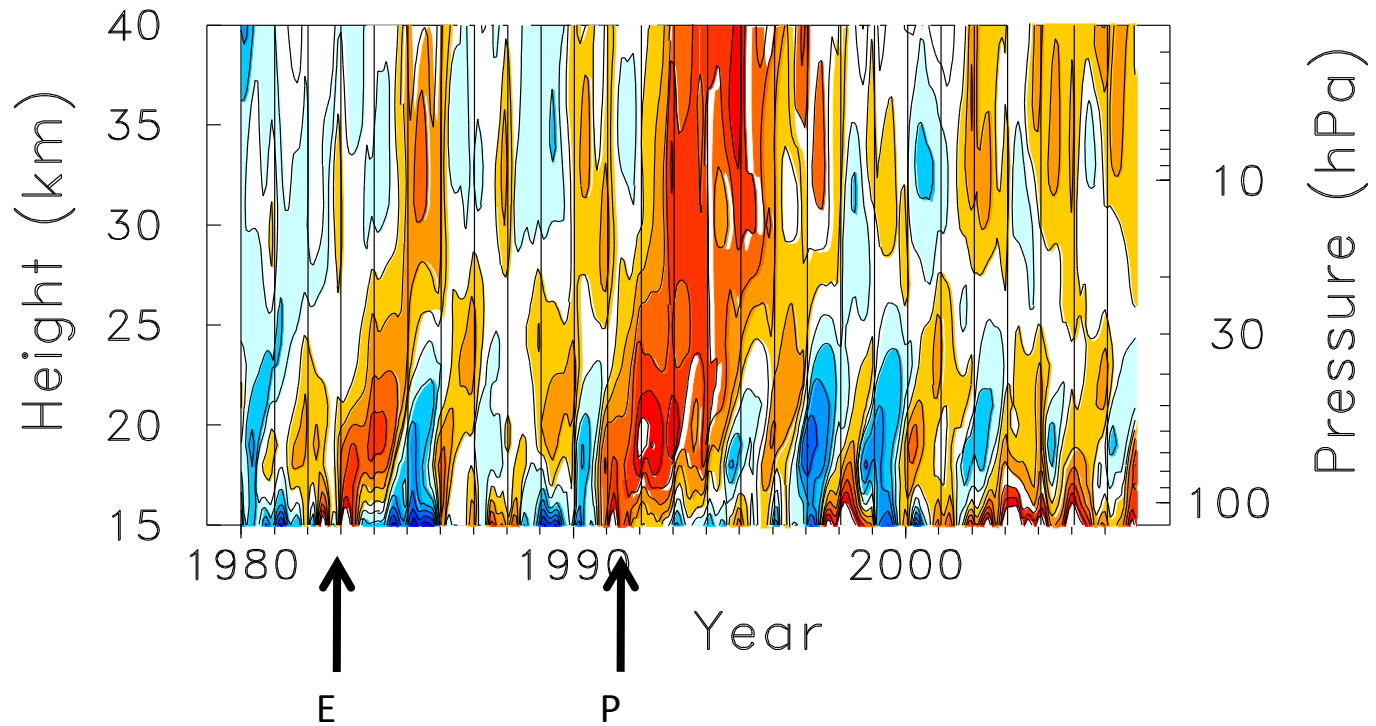


HALOE



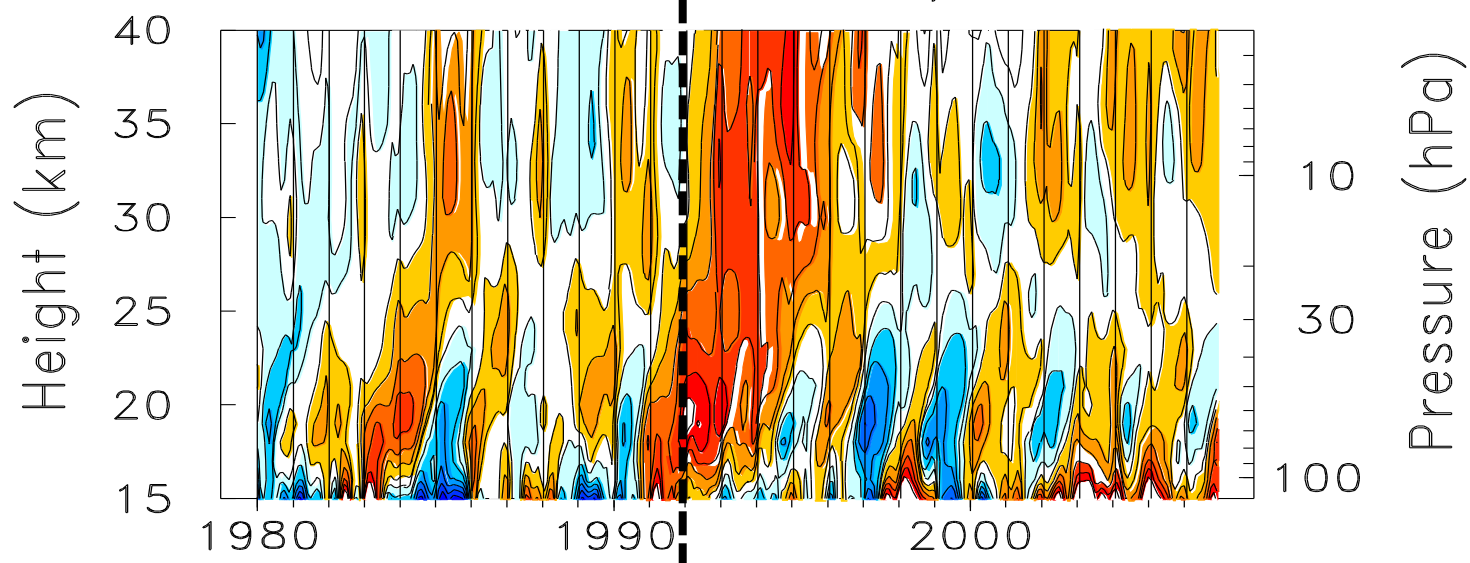
WACCM

# WACCM H<sub>2</sub>O anomaly 50S–50N



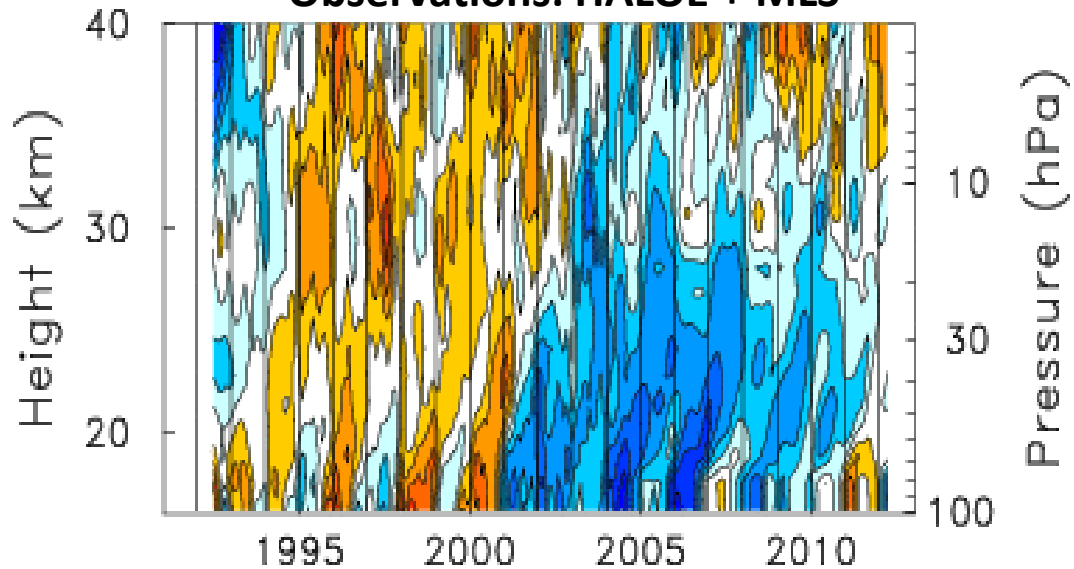
In the model, volcanoes dominate interannual variability

# WACCM H<sub>2</sub>O anomaly 50S–50N

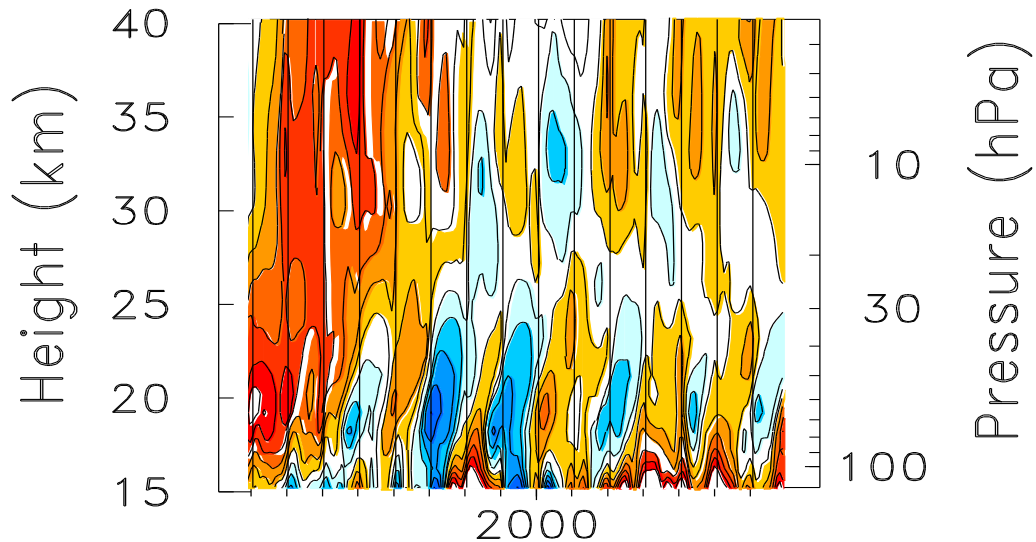


very different  
variability  
after 1992

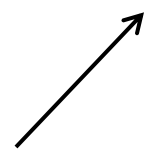
# Observations: HALOE + MLS



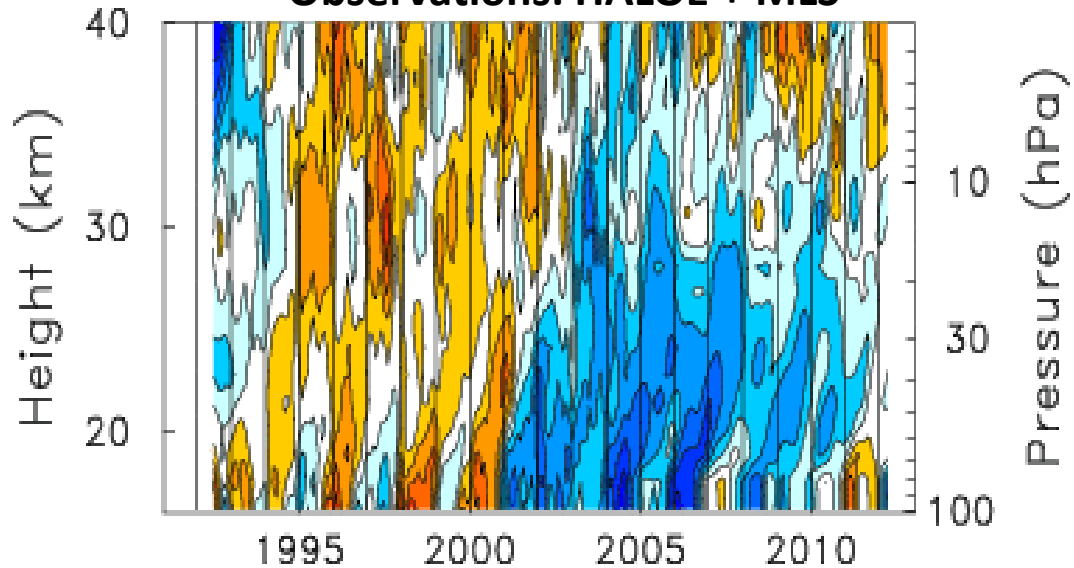
# W<sub>1</sub> anomaly 50S-50N



very different  
variability  
after 1992



# Observations: HALOE + MLS





## Key points:

- Stratospheric H<sub>2</sub>O seasonal cycle is well understood. Tropical dehydration mainly during boreal winter (cold season). Tape recorder, rapid global transport in lower stratosphere, monsoons in UTLS during NH summer. Also Antarctic dehydration.
- Interannual changes for satellite record (1992-2013) in good (quantitative) agreement with tropical cold point. Cold point controls stratospheric water vapor; what controls the cold point?
- What controls water vapor in summer monsoon regions?  
Is deep overshooting convection important?
- Simulation of seasonal cycle in trajectory calculations and chemistry-climate models is reasonable. Interannual variability in models is different from observations.

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